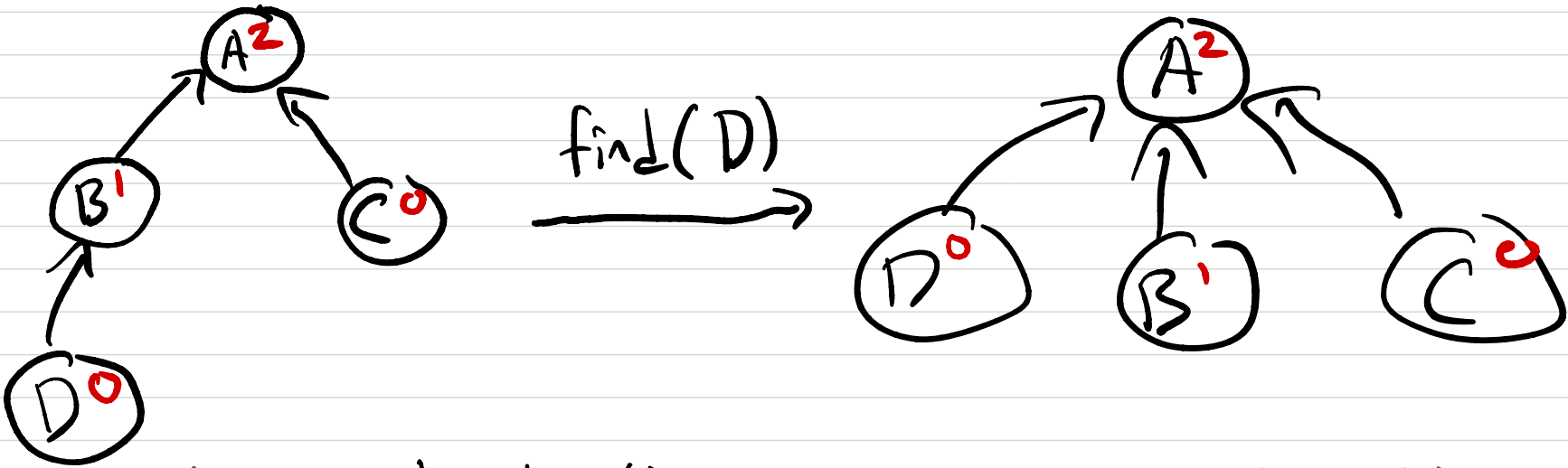


Today:

1. Finish Union find
2. Set cover
3. Dynamic programming?

Recap: Union find data structure (with path compression)

- $\text{makeset}(x)$
- $\text{find}(x)$
- $\text{merge}(x, y)$



Last time: $\text{makeset}(O(1))$ time
 $\text{find} / \text{union} : O(\log(n))$ (without path compression)

Today: A sequence of n makesets and m union/find ops
takes $O((n+m) \log^*(n))$ time
(any operation takes $\log^* n$ time) (w/ path compression)

Key idea: Group elements x by $\text{rank}(x)$

Intervals: $\begin{matrix} \text{"-1"} & \text{"0"} & \text{"1"} & \text{"2"} & \text{"3"} & \text{"4"} \\ [0] & [1] & (1, 2] & (2, 4] & (4, 16] & (16, 65536] \end{matrix}$

For $i \geq 1$, interval i is $(2^{\uparrow(i-1)}, 2^{\uparrow i}]$, $2^{\uparrow i} = \underbrace{2^{2^{\cdot^{\cdot^{\cdot}}}}}_i$

Def: Element x is interval i at some time if:

- x is not a root
- x 's $\text{rank}(x)$ is in interval i

Two facts: 1. Largest nonempty interval is $i = \log^*(n) - 1$

2. # of elements in interval i

$$is \leq \frac{n}{2^{\uparrow i}}$$

Consider find(x). Cost is # of edges in

Consider $\uparrow$ in this path. 3 types of edges:

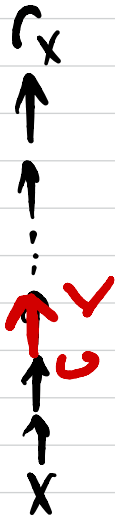
Type 1: v is a root, $O(1)$ cost per find

Type 2: v is not a root, u 's interval $\neq v$'s interval

$O(\log^*(n))$ type 2 edges per find

Type 3: v is not a root, u 's interval = v 's interval

Can be a lot! "u's type 3 edge"



Cost of type 3 edges

$\sum_{\text{element } u} (\# \text{ of times } u \text{ is type 3 edge})$

Suppose u is in interval i

$\text{rank}(u)$

$(2^{\uparrow(i-1)}, 2^{\uparrow(i-1)} + 1, \dots, 2^{\uparrow i}]$

u is type 3 edge $\leq 2^{\uparrow i}$ times

$$\text{cost} \leq \sum_{\text{elements } u} 2^{\uparrow i} = \sum_{\substack{\text{intervals} \\ i = -1}}^{\log^* n - 1} 2^{\uparrow i} \cdot (\# \text{ elements in interval})$$

u 's interval

$$\leq \sum_i 2^{\uparrow i} \frac{n}{2^{\uparrow i}} = \sum_i n = O(n \log^* n)$$

$\square$

In summary

For each find, type 1 & 2 edges cost $O(\log^*(n))$

Over all finds, type 3 edges cost $O(n \log^*(n))$

$$\text{Total cost} = O(m \cdot \log^*(n) + n \log^*(n))$$

(average $\log^*(n)$ per operation)

Recall: Can do even better! average $\alpha(n, m, n) / \text{operation}$

↑
inverse Ackermann

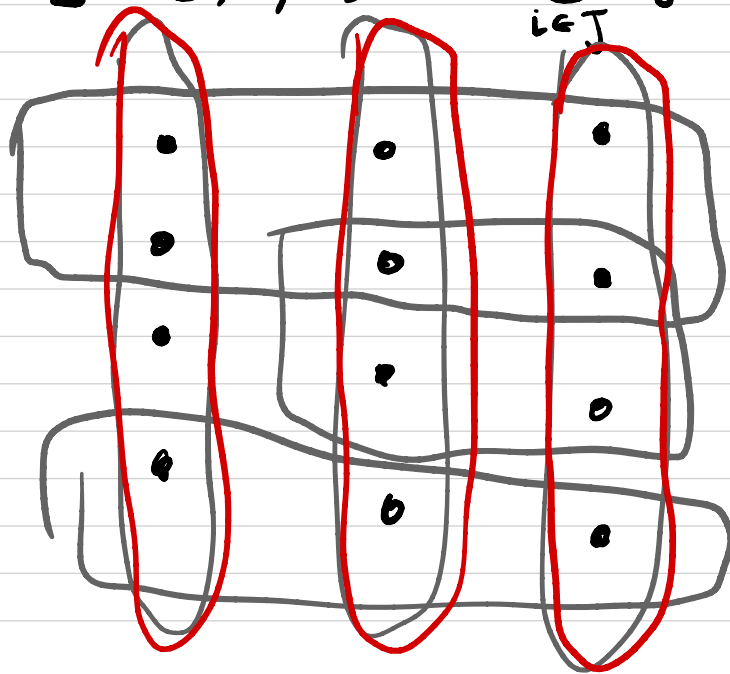
Set Cover

Input:

- Universe of n elements $U = \{1, \dots, n\}$
- Subsets $S_1, \dots, S_m \subseteq U$ s.t. $S_1 \cup \dots \cup S_m = U$

Output: Collection of these subsets covering U of minimal size k
i.e. $J \subseteq \{1, \dots, m\}$ s.t. $\bigcup_{i \in J} S_i = U$

Example:

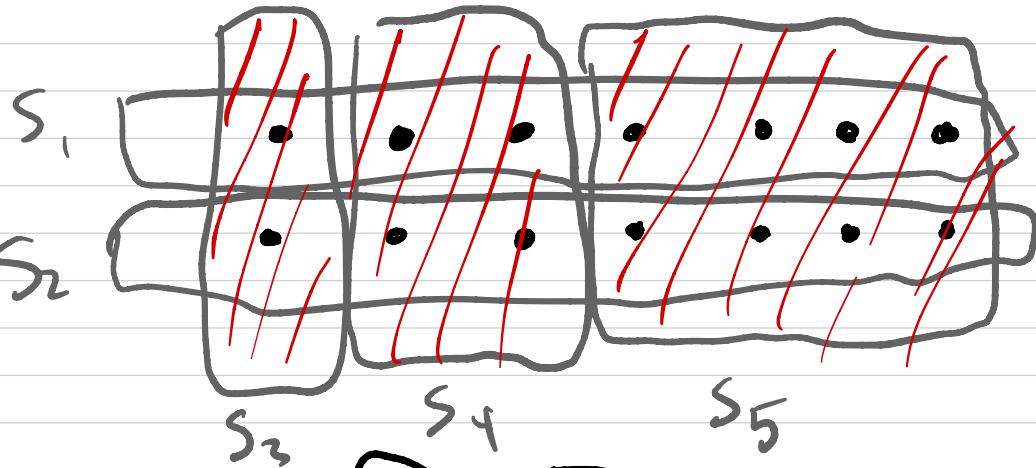


Minimal set
cover size

$$k = 3$$

Greedy algorithm for set cover

Repeat until all elements of U are covered:
Pick the next set S with largest # of uncovered elements

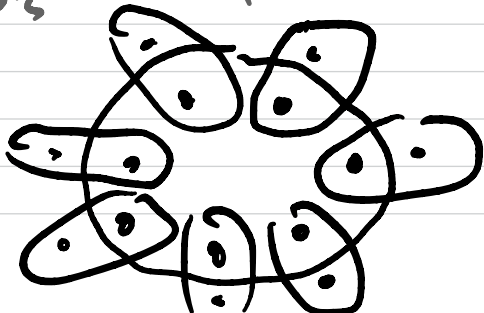


Greedy picks: S_5, S_4, S_3

Optimal: S_1, S_2

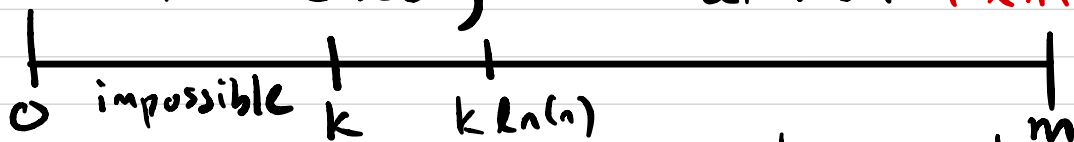
Opt size $k=2$
Greedy fails! $\therefore$

Greedy picks all 8 sets
Optimal just the petals, $k=7$



Theorem: "Greedy solution is not too bad"

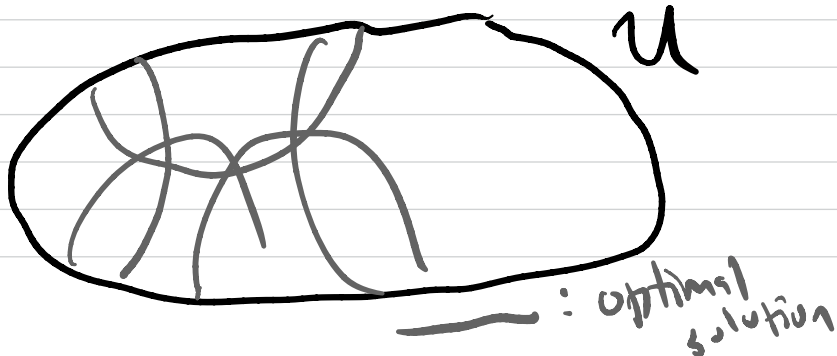
If optimal solution uses k sets,
then Greedy uses at most $k \ln(n)$ sets



Pf: Let n_t be # of elements not covered
after t steps of Greedy. (E.g. $n_0 = n$)

Goal: show for $t = k \ln(n)$, $n_t < 1$
 $\Rightarrow n_t = 0$, Greedy covers U w/ t sets.

Claim 1: $n_1 \leq n_0 - n_0/k$



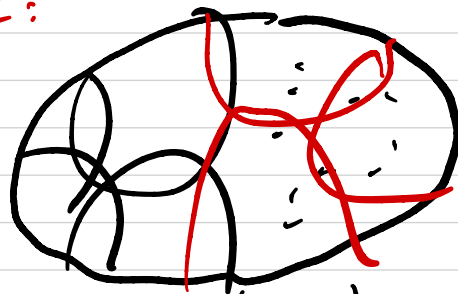
Optimal solution uses k sets

Therefore, $\exists$ set covering
 $\geq n/k$ elements

Greedy picks largest set,
which is of size $\geq n/k$ II

Claim 2: $n_{t+1} \leq n_t - \frac{n_t}{k} = n_t \left(1 - \frac{1}{k}\right)$

Pf:



U
 n_t points

— : sets chosen by greedy

— : sets chosen by optimal

Optimal solution covers these n_t points

$\Rightarrow \exists$ a set that covers $\geq n_t/k$ of these points

Greedy picks largest set, covers $\geq \frac{n_t}{k}$ remaining points $\square$

$$n_t \leq n_{t-1} \left(1 - \frac{1}{k}\right) \leq n_{t-2} \left(1 - \frac{1}{k}\right)^2 \leq \dots \leq n_0 \left(1 - \frac{1}{k}\right)^t = n \left(1 - \frac{1}{k}\right)^t$$

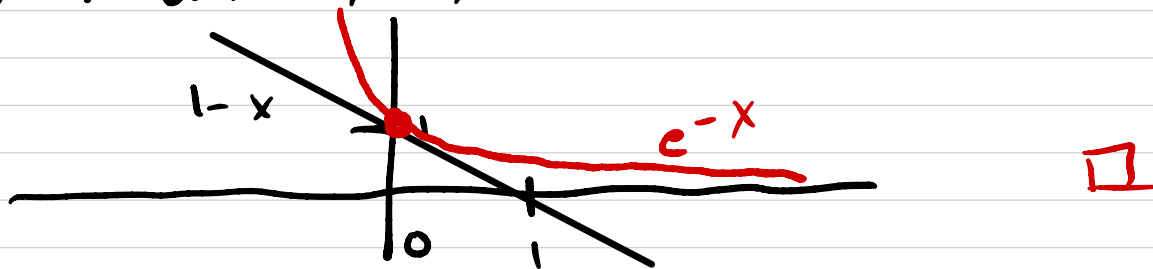
Question: for $t = k \ln(n)$, can we show $n \left(1 - \frac{1}{k}\right)^t < 1$?

We have shown: $n_t \leq n \left(1 - \frac{1}{k}\right)^t$

[Intuition: $\left(1 - \frac{1}{k}\right)^k \approx 1/e$
 $\left(1 - \frac{1}{k}\right)^t = \left(\left(1 - \frac{1}{k}\right)^k\right)^{t/k} \approx (1/e)^{t/k}$

Fact: For all $x \neq 0$, $1 - x < e^{-x}$

Pf:



$$n_t \leq n \left(1 - \frac{1}{k}\right)^t < n \left(e^{-1/k}\right)^t = n e^{-t/k}$$

$1-x < e^{-x}$

When $t = k \ln(n)$, $n_t < n e^{-k \ln(n)/k} = n e^{-\ln(n)}$
 $= \frac{n}{n} = 1$ $\square$

Greedy does not solve set cover

Greedy outputs $k \ln(n)$ sets, where $k = \text{OPT}$
"Greedy achieves approximation $\ln(n)$ "

We don't know any efficient alg for set cover.

But we do "know" the best efficient approximation alg
It achieves approximation $\ln(n)$
It is Greedy!

Thm: If $\exists$ an efficient alg for set cover
with approximation $0.999 \ln(n)$, then

- then would be efficient alg for set cover
- " " " " " " factoring
- " " " " " " 3sat

• $P = NP$