CS162
Operating Systems and Systems Programming
Lecture 10

Monitors (Finished),
Scheduling 1: Concepts and Classic Policies

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Recall Two Uses of Semaphores

Mutual Exclusion (initial value = 1)

- Also called “Binary Semaphore” or “mutex”.
- Can be used for mutual exclusion, just like a lock:

```
semaP(&mysem);
  // Critical section goes here
semaV(&mysem);
```

Scheduling Constraints (initial value = 0)

- Allow thread 1 to wait for a signal from thread 2
  - thread 2 schedules thread 1 when a given event occurs
- Example: suppose you had to implement ThreadJoin which must wait for thread to terminate:

```
Initial value of semaphore = 0
ThreadJoin {
   semaP(&mysem);
}
ThreadFinish {
   semaV(&mysem);
}
```


Recall Full Solution to Bounded Buffer (coke machine)

```
Semaphore fullSlots = 0;     // Initially, no coke
Semaphore emptySlots = bufSize;
                             // Initially, num empty slots
Semaphore mutex = 1;         // No one using machine

Producer(item) {
   semaP(&emptySlots);       // Wait until space
   semaP(&mutex);            // Wait until machine free
   Enqueue(item);
   semaV(&mutex);
   semaV(&fullSlots);        // Tell consumers there is
                             // more coke
}
Consumer() {
   semaP(&fullSlots);        // Check if there's a coke
   semaP(&mutex);            // Wait until machine free
   item = Dequeue();
   semaV(&mutex);
   semaV(&emptySlots);       // tell producer need more
   return item;
}
```

fullSlots signals coke

Critical sections using mutex protect integrity of the queue

emptySlots signals space

Recall: Monitors and Condition Variables

- Monitor: a lock and zero or more condition variables for managing concurrent access to shared data
  - Use of Monitors is a programming paradigm
  - Some languages like Java provide monitors in the language
- Condition Variable: a queue of threads waiting for something *inside* a critical section
  - Key idea: allow sleeping inside critical section by atomically releasing lock at time we go to sleep
  - Contrast to semaphores: Can't wait inside critical section
- Operations:
  - `Wait(&lock)`: Atomically release lock and go to sleep. Re-acquire lock later, before returning.
  - `Signal()`: Wake up one waiter, if any
  - `Broadcast()`: Wake up all waiters
- Rule: Must hold lock when doing condition variable ops!

Recall: Structure of *Mesa* Monitor Program

- Monitors represent the synchronization logic of the program
  - Wait if necessary
  - Signal when change something so any waiting threads can proceed
- Basic structure of mesa monitor-based program:

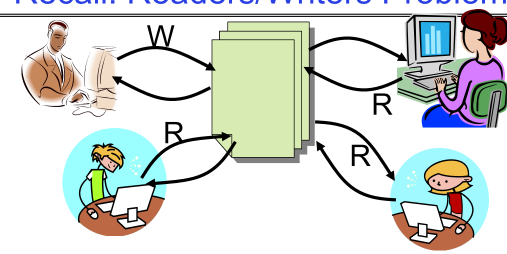
```
lock
while (need to wait) {
   condvar.wait();
}
unlock
```

Check and/or update state variables
Wait if necessary

```
do something so no need to wait

lock

condvar.signal();

unlock
```

Check and/or update state variables

Recall: Readers/Writers Problem



- Motivation: Consider a shared database
  - Two classes of users:
    - » Readers – never modify database
    - » Writers – read and modify database
  - Is using a single lock on the whole database sufficient?
    - » Like to have many readers at the same time
    - » Only one writer at a time

Recall: Code for a Reader

```
Reader() {
  // First check self into system
  acquire(&lock);
  while ((AW + WW) > 0) { // Is it safe to read?
    WR++;                 // No. Writers exist
    cond_wait(&okToRead,&lock);// Sleep on cond var
    WR--;                 // No longer waiting
  }
  AR++;                   // Now we are active!
  release(&lock);
  // Perform actual read-only access
  AccessDatabase(ReadOnly);
  // Now, check out of system
  acquire(&lock);
  AR--;                   // No longer active
  if (AR == 0 && WW > 0)  // No other active readers
    cond_signal(&okToWrite);// Wake up one writer
  release(&lock);
}
```


Recall: Code for a Writer

```
Writer() {
  // First check self into system
  acquire(&lock);
  while ((AW + AR) > 0) { // Is it safe to write?
    WW++;                 // No. Active users exist
    cond_wait(&okToWrite,&lock);  // Sleep on cond var
    WW--;                 // No longer waiting
  }
  AW++;                   // Now we are active!
  release(&lock);
  // Perform actual read/write access
  AccessDatabase(ReadWrite);
  // Now, check out of system
  acquire(&lock);
  AW--;                   // No longer active
  if (WW > 0){            // Give priority to writers
    cond_signal(&okToWrite);// Wake up one writer
  } else if (WR > 0) {    // Otherwise, wake reader
    cond_broadcast(&okToRead); // Wake all readers
  }
  release(&lock);
}
```

Questions

- Can readers starve? Consider Reader() entry code:

```
while ((AW + WW) > 0) { // Is it safe to read?
  WR++;                 // No. Writers exist
  cond_wait(&okToRead,&lock);// Sleep on cond var
  WR--;                 // No longer waiting
}
AR++;                   // Now we are active!
```

- What if we erase the condition check in Reader exit?

```
AR--;                   // No longer active
if (AR == 0 && WW > 0)  // No other active readers
  cond_signal(&okToWrite);// Wake up one writer
```

- Further, what if we turn the signal() into broadcast()

```
AR--;                   // No longer active
cond_broadcast(&okToWrite); // Wake up sleepers
```

- Finally, what if we use only one condition variable (call it "**okContinue**") instead of two separate ones?
  - Both readers and writers sleep on this variable
  - Must use broadcast() instead of signal()

Use of Single CV: okContinue

```
Reader() {
   // check into system
   acquire(&lock);
   while ((AW + WW) > 0) {
      WR++;
      cond_wait(&okContinue,&lock);
      WR--;
   }
   AR++;
   release(&lock);

   // read-only access
   AccessDbase(ReadOnly);

   // check out of system
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
      cond_signal(&okContinue);
   release(&lock);
}
```

```
Writer() {
   // check into system
   acquire(&lock);
   while ((AW + AR) > 0) {
      WW++;
      cond_wait(&okContinue,&lock);
      WW--;
   }
   AW++;
   release(&lock);

   // read/write access
   AccessDbase(ReadWrite);

   // check out of system
   acquire(&lock);
   AW--;
   if (WW > 0){
      cond_signal(&okContinue);
   } else if (WR > 0) {
      cond_broadcast(&okContinue);
   }
   release(&lock);
```

What if we turn okToWrite and okToRead into okContinue (i.e. use only one condition variable instead of two)?

Use of Single CV: okContinue

```
Reader() {
   // check into system
   acquire(&lock);
   while ((AW + WW) > 0) {
      WR++;
      cond_wait(&okContinue,&lock);
      WR--;
   }
   AR++;
   release(&lock);

   // read-only access
   AccessDbase(ReadOnly);

   // check out of system
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
      cond_signal(&okContinue);
   release(&lock);
}
```

```
Writer() {
   // check into system
   acquire(&lock);
   while ((AW + AR) > 0) {
      WW++;
      cond_wait(&okContinue,&lock);
      WW--;
   }
   AW++;
   release(&lock);

   // read/write access
   AccessDbase(ReadWrite);

   // check out of system
   acquire(&lock);
   AW--;
   if (WW > 0){
      cond_signal(&okContinue);
   } else if (WR > 0) {
      cond_broadcast(&okContinue);
   }
```

Consider this scenario:
- **R1 arrives**
- **W1, R2 arrive while R1 still reading → W1 and R2 wait for R1 to finish**
- **Assume R1's signal is delivered to R2 (not W1)**

Use of Single CV: okContinue

```
Reader() {
   // check into system
   acquire(&lock);
   while ((AW + WW) > 0) {
      WR++;
      cond_wait(&okContinue,&lock);
      WR--;
   }
   AR++;
   release(&lock);

   // read-only access
   AccessDbase(ReadOnly);

   // check out of system
   acquire(&lock);
   AR--;
   if (AR == 0 && WW > 0)
      cond_broadcast(&okContinue);
   release(&lock);
}
```

Need to change to broadcast()!

```
Writer() {
   // check into system
   acquire(&lock);
   while ((AW + AR) > 0) {
      WW++;
      cond_wait(&okContinue,&lock);
   WW--;
   }
   AW++;
   release(&lock);

   // read/write access
   AccessDbase(ReadWrite);

   // check out of system
   acquire(&lock);
   AW--;
   if (WW > 0 || WR > 0){
      cond_broadcast(&okContinue);
   }
   release(&lock);
}
```

Must broadcast() to sort things out!

Can we construct Monitors from Semaphores?

- Locking aspect is easy: Just use a mutex
- Can we implement condition variables this way?

```
Wait(Semaphore *thesema)   { semaP(thesema); }
Signal(Semaphore *thesema) { semaV(thesema); }
```

- Does this work better?

```
Wait(Lock *thelock, Semaphore *thesema) {
   release(thelock);
   semaP(thesema);
   acquire(thelock);
}
Signal(Semaphore *thesema) {
  semaV(thesema);
}
```


Construction of Monitors from Semaphores (con't)

- Problem with previous try:
  – P and V are commutative – result is the same no matter what order they occur
  – Condition variables are NOT commutative
- Does this fix the problem?

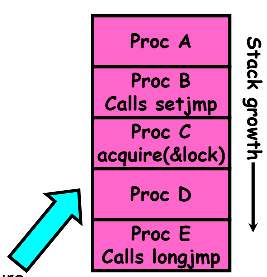
```
Wait(Lock *thelock, Semaphore *thesema) {
   release(thelock);
   semaP(thesema);
   acquire(thelock);
}
Signal(Semaphore *thesema) {
   if semaphore queue is not empty
      semaV(thesema);
}
```

  – Not legal to look at contents of semaphore queue
  – There is a race condition – signaler can slip in after lock release and before waiter executes semaphore.P()
- It is actually possible to do this correctly
  – Complex solution for Hoare scheduling in book
  – Can you come up with simpler Mesa-scheduled solution?

C-Language Support for Synchronization

- C language: Pretty straightforward synchronization
  – Just make sure you know *all* the code paths out of a critical section

```
int Rtn() {
   acquire(&lock);
   …
   if (exception) {
      release(&lock);
      return errReturnCode;
   }
   …
   release(&lock);
   return OK;
}
```



  – Watch out for `setjmp`/`longjmp`!
    » Can cause a non-local jump out of procedure
    » In example, procedure E calls longjmp, poping stack back to procedure B
    » If Procedure C had lock.acquire, problem!

Concurrency and Synchronization in C

- Harder with more locks

```
void Rtn() {
  lock1.acquire();
  …
  if (error) {
    lock1.release();
    return;
  }
  …
  lock2.acquire();
  …
  if (error) {
    lock2.release()
    lock1.release();
    return;
  }
  …
  lock2.release();
  lock1.release();
}
```

- Is goto a solution???

```
void Rtn() {
  lock1.acquire();
  …
  if (error) {
    goto release_lock1_and_return;
  }
  …
  lock2.acquire();
  …
  if (error) {
    goto release_both_and_return;
  }
  …
release_both_and_return:
  lock2.release();
release_lock1_and_return:
  lock1.release();
}
```

C++ Language Support for Synchronization

- Languages with exceptions like C++
  - Languages that support exceptions are problematic (easy to make a non-local exit without releasing lock)
  - Consider:

```
void Rtn() {
   lock.acquire();
   …
   DoFoo();
   …
   lock.release();
}
void DoFoo() {
   …
   if (exception) throw errException;
   …
}
```

  - Notice that an exception in DoFoo() will exit without releasing the lock!

C++ Language Support for Synchronization (con't)

- Must catch all exceptions in critical sections
  - Catch exceptions, release lock, and re-throw exception:

```
void Rtn() {
   lock.acquire();
   try {
      …
      DoFoo();
      …
   } catch (…) {       // catch exception
      lock.release();  // release lock
      throw;           // re-throw the exception
   }
   lock.release();
}
void DoFoo() {
   …
   if (exception) throw errException;
   …
}
```


Much better: C++ Lock Guards

```
#include <mutex>
int global_i = 0;
std::mutex global_mutex;

void safe_increment() {
  std::lock_guard<std::mutex> lock(global_mutex);
  …
  global_i++;
  // Mutex released when 'lock' goes out of scope
}
```


Python with Keyword

- More versatile than we show here (can be used to close files, database connections, etc.)

```
lock = threading.Lock()
…
with lock: # Automatically calls acquire()
  some_var += 1
  …
# release() called however we leave block
```

Java `synchronized` Keyword

- Every Java object has an associated lock:
  - Lock is acquired on entry and released on exit from a synchronized method
  - Lock is properly released if exception occurs inside a synchronized method
  - Mutex execution of synchronized methods (beware deadlock)

```
class Account {
   private int balance;

   // object constructor
   public Account (int initialBalance) {
      balance = initialBalance;
   }
   public synchronized int getBalance() {
      return balance;
   }
   public synchronized void deposit(int amount) {
      balance += amount;
   }
}
```


Java Support for Monitors

- Along with a lock, every object has a single condition variable associated with it

- To wait inside a synchronized method:
  - `void wait();`
  - `void wait(long timeout);`

- To signal while in a synchronized method:
  - `void notify();`
  - `void notifyAll();`

Administrivia

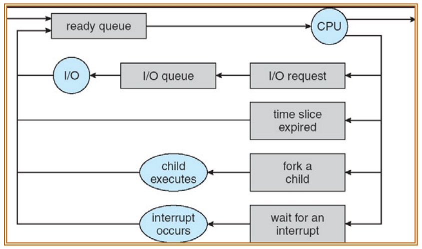
- Still grading Midterm 1 (Sorry)0
  - Finishing soon!
  - Solutions are up
- No major deadlines this week!

Goal for Today

```
if ( readyThreads(TCBs) ) {
       nextTCB = selectThread(TCBs);
       run( nextTCB );
} else {
       run_idle_thread();
}
```

- Discussion of Scheduling:
  - Which thread should run on the CPU next?
- Scheduling goals, policies
- Look at a number of different schedulers

Recall: Scheduling



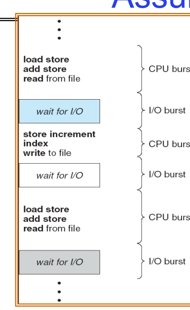
- Question: How is the OS to decide which of several tasks to take off a queue?
- Scheduling: deciding which threads are given access to resources from moment to moment
  – Often, we think in terms of CPU time, but could also think about access to resources like network BW or disk access

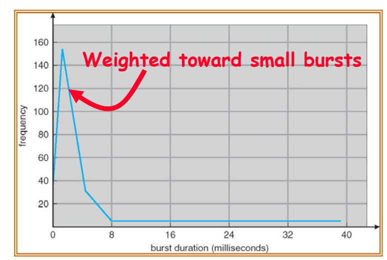
Scheduling: All About Queues

Scheduling Assumptions

- CPU scheduling big area of research in early 70's
- Many implicit assumptions for CPU scheduling:
  – One program per user
  – One thread per program
  – Programs are independent
- Clearly, these are unrealistic but they simplify the problem so it can be solved
  – For instance: is "fair" about fairness among users or programs?
    » If I run one compilation job and you run five, you get five times as much CPU on many operating systems
- The high-level goal: Dole out CPU time to optimize some desired parameters of system

Assumption: CPU Bursts





- Execution model: programs alternate between bursts of CPU and I/O
  – Program typically uses the CPU for some period of time, then does I/O, then uses CPU again
  – Each scheduling decision is about which job to give to the CPU for use by its next CPU burst
  – With timeslicing, thread may be forced to give up CPU before finishing current CPU burst

Scheduling Policy Goals/Criteria

- Minimize Response Time
  - Minimize elapsed time to do an operation (or job)
  - Response time is what the user sees:
    - » Time to echo a keystroke in editor
    - » Time to compile a program
    - » Real-time Tasks: Must meet deadlines imposed by World
- Maximize Throughput
  - Maximize operations (or jobs) per second
  - Throughput related to response time, but not identical:
    - » Minimizing response time will lead to more context switching than if you only maximized throughput
  - Two parts to maximizing throughput
    - » Minimize overhead (for example, context-switching)
    - » Efficient use of resources (CPU, disk, memory, etc)
- Fairness
  - Share CPU among users in some equitable way
  - Fairness is not minimizing average response time:
    - » Better *average* response time by making system *less* fair

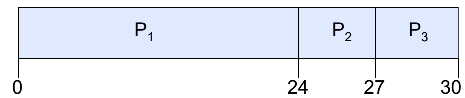
First-Come, First-Served (FCFS) Scheduling

- First-Come, First-Served (FCFS)
  - Also "First In, First Out" (FIFO) or "Run until done"
    - » In early systems, FCFS meant one program scheduled until done (including I/O)
    - » Now, means keep CPU until thread blocks

- Example:

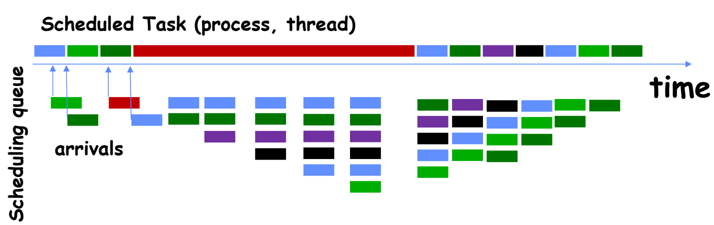
Process	Burst Time
P_1	24
P_2	3
P_3	3

  - Suppose processes arrive in the order: P_1 , P_2 , P_3 The Gantt Chart for the schedule is:



  - Waiting time for P_1 = 0; P_2 = 24; P_3 = 27
  - Average waiting time: (0 + 24 + 27)/3 = 17
  - Average Completion time: (24 + 27 + 30)/3 = 27
- *Convoy effect:* short process stuck behind long process

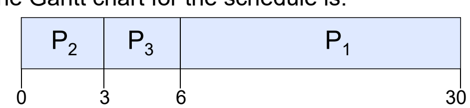
Convoy effect



- With FCFS non-preemptive scheduling, convoys of small tasks tend to build up when a large one is running.

FCFS Scheduling (Cont.)

- Example continued:
  - Suppose that processes arrive in order: P2 , P3 , P1 Now, the Gantt chart for the schedule is:



  - Waiting time for P1 = 6; P2 = 0; P3 = 3
  - Average waiting time: (6 + 0 + 3)/3 = 3
  - Average Completion time: (3 + 6 + 30)/3 = 13
- In second case:
  - Average waiting time is much better (before it was 17)
  - Average completion time is better (before it was 27)
- FIFO Pros and Cons:
  - Simple (+)
  - Short jobs get stuck behind long ones (-)
    - » Safeway: Getting milk, always stuck behind cart full of items! Upside: get to read about Space Aliens!

Round Robin (RR) Scheduling

- FCFS Scheme: Potentially bad for short jobs!
  - Depends on submit order
  - If you are first in line at supermarket with milk, you don't care who is behind you, on the other hand…
- Round Robin Scheme: Preemption!
  - Each process gets a small unit of CPU time (*time quantum*), usually 10-100 milliseconds
  - After quantum expires, the process is preempted and added to the end of the ready queue.
  - n processes in ready queue and time quantum is $q \Rightarrow$
    - » Each process gets $1/n$ of the CPU time
    - » In chunks of at most q time units
    - » No process waits more than $(n-1)q$ time units

RR Scheduling (Cont.)

- Performance
  - q large $\Rightarrow$ FCFS
  - q small $\Rightarrow$ Interleaved (really small $\Rightarrow$ hyperthreading?)
  - q must be large with respect to context switch, otherwise overhead is too high (all overhead)

Example of RR with Time Quantum = 20

- Example:

Process	Burst Time
P_1	53
P_2	8
P_3	68
P_4	24

  – The Gantt chart is:

P_1	P_2	P_3	P_4	P_1	P_3	P_4	P_1	P_3	P_3

0 20 28 48 68 88 108 112 125 145 153

  – Waiting time for
  $P_1=(68-20)+(112-88)=72$
  $P_2=(20-0)=20$
  $P_3=(28-0)+(88-48)+(125-108)=85$
  $P_4=(48-0)+(108-68)=88$

  – Average waiting time = (72+20+85+88)/4=66¼

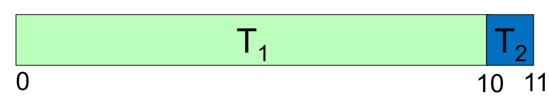
  – Average completion time = (125+28+153+112)/4 = 104½

- Thus, Round-Robin Pros and Cons:
  - Better for short jobs, Fair (+)
  - Context-switching time adds up for long jobs (-)

Decrease Response Time

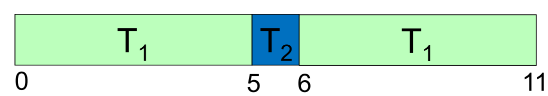
- T_1: Burst Length 10
- T_2: Burst Length 1

- $Q = 10$



  – Average Response Time = (10 + 11)/2 = 10.5

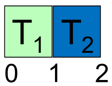
- $Q = 5$



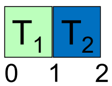
  – Average Response Time = (6 + 11)/2 = 8.5

Same Response Time

- T_1: Burst Length 1
- T_2: Burst Length 1
- $Q = 10$



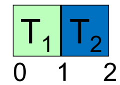
  – Average Response Time = (1 + 2)/2 = 1.5
- $Q = 1$



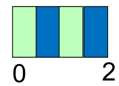
  – Average Response Time = (1 + 2)/2 = 1.5

Increase Response Time

- T_1: Burst Length 1
- T_2: Burst Length 1
- $Q = 1$



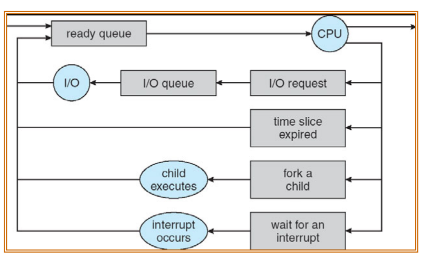
  – Average Response Time = (1 + 2)/2 = 1.5
- $Q = 0.5$



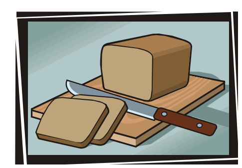
  – Average Response Time = (1.5 + 2)/2 = 1.75

How to Implement RR in the Kernel?

- FIFO Queue, as in FCFS
- But preempt job after quantum expires, and send it to the back of the queue
  – How? Timer interrupt!
  – And, of course, careful synchronization



Round-Robin Discussion



- How do you choose time slice?
  – What if too big?
    » Response time suffers
  – What if infinite (∞)?
    » Get back FIFO
  – What if time slice too small?
    » Throughput suffers!
- Actual choices of timeslice:
  – Initially, UNIX timeslice one second:
    » Worked ok when UNIX was used by one or two people.
    » What if three compilations going on? 3 seconds to echo each keystroke!
  – Need to balance short-job performance and long-job throughput:
    » Typical time slice today is between 10ms – 100ms
    » Typical context-switching overhead is 0.1ms – 1ms
    » Roughly 1% overhead due to context-switching

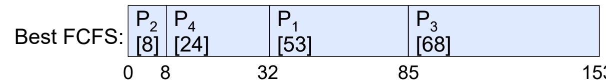
Comparisons between FCFS and Round Robin

- Assuming zero-cost context-switching time, is RR always better than FCFS?
- Simple example: 10 jobs, each take 100s of CPU time
  RR scheduler quantum of 1s
  All jobs start at the same time
- Completion Times:

Job #	FIFO	RR
1	100	991
2	200	992
…	…	…
9	900	999
10	1000	1000

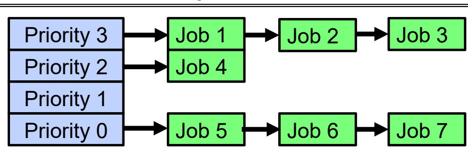
  – Both RR and FCFS finish at the same time
  – Average response time is much worse under RR!
    » Bad when all jobs same length
- Also: Cache state must be shared between all jobs with RR but can be devoted to each job with FIFO
  – Total time for RR longer even for zero-cost switch!

Earlier Example with Different Time Quantum



	Quantum	P_1	P_2	P_3	P_4	Average
Wait Time	Best FCFS	32	0	85	8	31¼
	Q = 1	84	22	85	57	62
	Q = 5	82	20	85	58	61¼
	Q = 8	80	8	85	56	57¼
	Q = 10	82	10	85	68	61¼
	Q = 20	72	20	85	88	66¼
	Worst FCFS	68	145	0	121	83½
Completion Time	Best FCFS	85	8	153	32	69½
	Q = 1	137	30	153	81	100½
	Q = 5	135	28	153	82	99½
	Q = 8	133	16	153	80	95½
	Q = 10	135	18	153	92	99½
	Q = 20	125	28	153	112	104½
	Worst FCFS	121	153	68	145	121¾

Handling Differences in Importance: Strict Priority Scheduling



- Execution Plan
  – Always execute highest-priority runable jobs to completion
  – Each queue can be processed in RR with some time-quantum
- Problems:
  – Starvation:
    » Lower priority jobs don't get to run because higher priority jobs
  – Deadlock: Priority Inversion
    » Happens when low priority task has lock needed by high-priority task
    » Usually involves third, intermediate priority task preventing high-priority task from running
- How to fix problems?
  – Dynamic priorities – adjust base-level priority up or down based on heuristics about interactivity, locking, burst behavior, etc…

Scheduling Fairness

- What about fairness?
  – Strict fixed-priority scheduling between queues is unfair (run highest, then next, etc):
    » long running jobs may never get CPU
    » Urban legend: In Multics, shut down machine, found 10-year-old job ⇒ Ok, probably not…
  – Must give long-running jobs a fraction of the CPU even when there are shorter jobs to run
  – Tradeoff: fairness gained by hurting avg response time!

Scheduling Fairness

- How to implement fairness?
  - Could give each queue some fraction of the CPU
    - » What if one long-running job and 100 short-running ones?
    - » Like express lanes in a supermarket—sometimes express lanes get so long, get better service by going into one of the other lines
  - Could increase priority of jobs that don't get service
    - » What is done in some variants of UNIX
    - » This is ad hoc—what rate should you increase priorities?
    - » And, as system gets overloaded, no job gets CPU time, so everyone increases in priority⇒Interactive jobs suffer

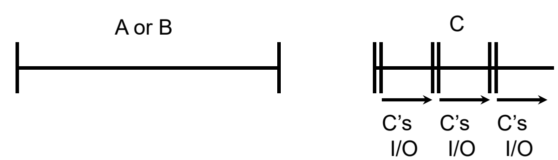
What if we Knew the Future?

- Could we always mirror best FCFS?
- Shortest Job First (SJF):
  - Run whatever job has least amount of computation to do
  - Sometimes called "Shortest Time to Completion First" (STCF)
- Shortest Remaining Time First (SRTF):
  - Preemptive version of SJF: if job arrives and has a shorter time to completion than the remaining time on the current job, immediately preempt CPU
  - Sometimes called "Shortest Remaining Time to Completion First" (SRTCF)
- These can be applied to whole program or current CPU burst
  - Idea is to get short jobs out of the system
  - Big effect on short jobs, only small effect on long ones
  - Result is better average response time

Discussion

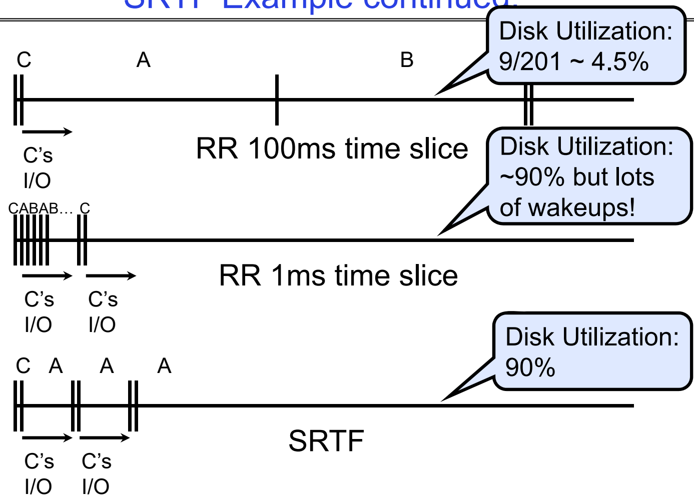
- SJF/SRTF are the best you can do at minimizing average response time
  - Provably optimal (SJF among non-preemptive, SRTF among preemptive)
  - Since SRTF is always at least as good as SJF, focus on SRTF
- Comparison of SRTF with FCFS
  - What if all jobs the same length?
    - » SRTF becomes the same as FCFS (i.e. FCFS is best can do if all jobs the same length)
  - What if jobs have varying length?
    - » SRTF: short jobs not stuck behind long ones

Example to illustrate benefits of SRTF



- Three jobs:
  - A, B: both CPU bound, run for week
    C: I/O bound, loop 1ms CPU, 9ms disk I/O
  - If only one at a time, C uses 90% of the disk, A or B could use 100% of the CPU
- With FCFS:
  - Once A or B get in, keep CPU for two weeks
- What about RR or SRTF?
  - Easier to see with a timeline

SRTF Example continued:

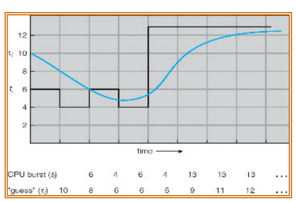


SRTF Further discussion

- Starvation
  - SRTF can lead to starvation if many small jobs!
  - Large jobs never get to run
- Somehow need to predict future
  - How can we do this?
  - Some systems ask the user
    - » When you submit a job, have to say how long it will take
    - » To stop cheating, system kills job if takes too long
  - But: hard to predict job's runtime even for non-malicious users
- Bottom line, can't really know how long job will take
  - However, can use SRTF as a yardstick for measuring other policies
  - Optimal, so can't do any better
- SRTF Pros & Cons
  - Optimal (average response time) (+)
  - Hard to predict future (-)
  - Unfair (-)

Predicting the Length of the Next CPU Burst

- Adaptive: Changing policy based on past behavior
  - CPU scheduling, in virtual memory, in file systems, etc
  - Works because programs have predictable behavior
    - » If program was I/O bound in past, likely in future
    - » If computer behavior were random, wouldn't help
- Example: SRTF with estimated burst length
  - Use an estimator function on previous bursts: Let t_{n-1}, t_{n-2}, t_{n-3}, etc. be previous CPU burst lengths. Estimate next burst $\tau_n = f(t_{n-1}, t_{n-2}, t_{n-3}, \ldots)$
  - Function f could be one of many different time series estimation schemes (Kalman filters, etc)
  - For instance, exponential averaging $\tau_n = \alpha t_{n-1} + (1-\alpha)\tau_{n-1}$ with $(0<\alpha\le1)$



Lottery Scheduling

- Yet another alternative: Lottery Scheduling
  - Give each job some number of lottery tickets
  - On each time slice, randomly pick a winning ticket
  - On average, CPU time is proportional to number of tickets given to each job
- How to assign tickets?
  - To approximate SRTF, short running jobs get more, long running jobs get fewer
  - To avoid starvation, every job gets at least one ticket (everyone makes progress)
- Advantage over strict priority scheduling: behaves gracefully as load changes
  - Adding or deleting a job affects all jobs proportionally, independent of how many tickets each job possesses

Lottery Scheduling Example (Cont.)

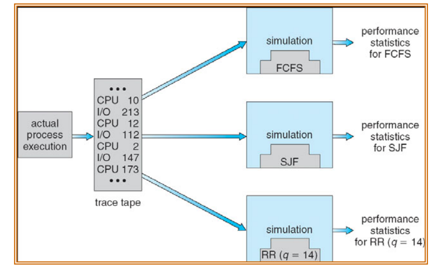
- Lottery Scheduling Example
  - Assume short jobs get 10 tickets, long jobs get 1 ticket

# short jobs/ # long jobs	% of CPU each short jobs gets	% of CPU each long jobs gets
1/1	91%	9%
0/2	N/A	50%
2/0	50%	N/A
10/1	9.9%	0.99%
1/10	50%	5%

  - What if too many short jobs to give reasonable response time?
    - » If load average is 100, hard to make progress
    - » One approach: log some user out

How to Evaluate a Scheduling algorithm?

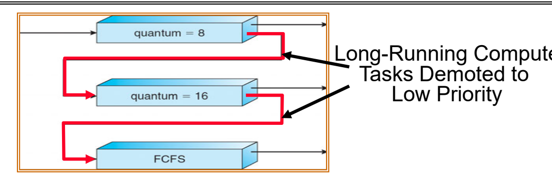
- Deterministic modeling
  - takes a predetermined workload and compute the performance of each algorithm for that workload
- Queueing models
  - Mathematical approach for handling stochastic workloads
- Implementation/Simulation:
  - Build system which allows actual algorithms to be run against actual data
  - Most flexible/general



How to Handle Simultaneous Mix of Diff Types of Apps?

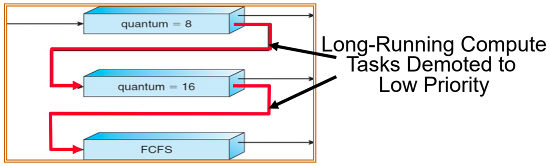
- Consider mix of interactive and high throughput apps:
  - How to best schedule them?
  - How to recognize one from the other?
    - » Do you trust app to say that it is "interactive"?
  - Should you schedule the set of apps identically on servers, workstations, pads, and cellphones?
- For instance, is Burst Time (observed) useful to decide which application gets CPU time?
  - Short Bursts ⇒ Interactivity ⇒ High Priority?
- Assumptions encoded into many schedulers:
  - Apps that sleep a lot and have short bursts must be interactive apps – they should get high priority
  - Apps that compute a lot should get low(er?) priority, since they won't notice intermittent bursts from interactive apps
- Hard to characterize apps:
  - What about apps that sleep for a long time, but then compute for a long time?
  - Or, what about apps that must run under all circumstances (say periodically)

Multi-Level Feedback Scheduling



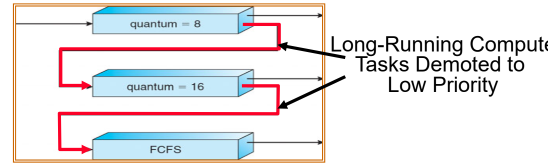
- Another method for exploiting past behavior (first use in CTSS)
  - Multiple queues, each with different priority
    - » Higher priority queues often considered "foreground" tasks
  - Each queue has its own scheduling algorithm
    - » e.g. foreground – RR, background – FCFS
    - » Sometimes multiple RR priorities with quantum increasing exponentially (highest:1ms, next: 2ms, next: 4ms, etc)
- Adjust each job's priority as follows (details vary)
  - Job starts in highest priority queue
  - If timeout expires, drop one level
  - If timeout doesn't expire, push up one level (or to top)

Scheduling Details



- Result approximates SRTF:
  – CPU bound jobs drop like a rock
  – Short-running I/O bound jobs stay near top
- Scheduling must be done between the queues
  – Fixed priority scheduling:
    » serve all from highest priority, then next priority, etc.
  – Time slice:
    » each queue gets a certain amount of CPU time
    » e.g., 70% to highest, 20% next, 10% lowest

Scheduling Details



- Countermeasure: user action that can foil intent of the OS designers
  – For multilevel feedback, put in a bunch of meaningless I/O to keep job's priority high
  – Of course, if everyone did this, wouldn't work!
- Example of Othello program:
  – Playing against competitor, so key was to do computing at higher priority the competitors.
    » Put in printf's, ran much faster!

So, Does the OS Schedule Processes or Threads?

- Many textbooks use the "old model"—one thread per process
- Usually it's really: **threads** (e.g., in Linux)

- One point to notice: switching threads vs. switching processes incurs different costs:
  – Switch threads: Save/restore registers
  – Switch processes: Change active address space too!
    » Expensive
    » Disrupts caching

Multi-Core Scheduling

- Algorithmically, not a huge difference from single-core scheduling

- Implementation-wise, helpful to have *per-core* scheduling data structures
  – Cache coherence

- *Affinity scheduling*: once a thread is scheduled on a CPU, OS tries to reschedule it on the same CPU
  – Cache reuse

Recall: *Spinlock*

- Spinlock implementation:

```
int value = 0; // Free
Acquire() {
   while (test&set(value)) {}; // spin while busy
}
Release() {
   value = 0;                  // atomic store
}
```

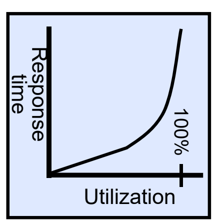
- Spinlock doesn't put the calling thread to sleep—it just busy waits
  – When might this be preferable?
- For multiprocessor cache coherence: every test&set() is a write, which makes value ping-pong around in cache (using lots of memory BW)

Gang Scheduling and Parallel Applications

- When multiple threads work together on a multi-core system, try to schedule them together
  – Makes spin-waiting more efficient (inefficient to spin-wait for a thread that's suspended)
- Alternative: OS informs a parallel program how many processors its threads are scheduled on (*Scheduler Activations*)
  – Application adapts to number of cores that it has scheduled
  – "Space sharing" with other parallel programs can be more efficient, because parallel speedup is often sublinear with the number of cores

A Final Word On Scheduling

- When do the details of the scheduling policy and fairness really matter?
  – When there aren't enough resources to go around
- When should you simply buy a faster computer?
  – (Or network link, or expanded highway, or …)
  – One approach: Buy it when it will pay for itself in improved response time
    » Perhaps you're paying for worse response time in reduced productivity, customer angst, etc…
    » Might think that you should buy a faster X when X is utilized 100%, but usually, response time goes to infinity as utilization⇒100%
- An interesting implication of this curve:
  – Most scheduling algorithms work fine in the "linear" portion of the load curve, fail otherwise
  – Argues for buying a faster X when hit "knee" of curve



Conclusion

- Round-Robin Scheduling:
  – Give each thread a small amount of CPU time when it executes; cycle between all ready threads
  – Pros: Better for short jobs
- Shortest Job First (SJF)/Shortest Remaining Time First (SRTF):
  – Run whatever job has the least amount of computation to do/least remaining amount of computation to do
  – Pros: Optimal (average response time)
  – Cons: Hard to predict future, Unfair
- Multi-Level Feedback Scheduling:
  – Multiple queues of different priorities and scheduling algorithms
  – Automatic promotion/demotion of process priority in order to approximate SJF/SRTF
- Lottery Scheduling:
  – Give each thread a priority-dependent number of tokens (short tasks⇒more tokens)