

CS162
Operating Systems and
Systems Programming
Lecture 1

What is an Operating System?

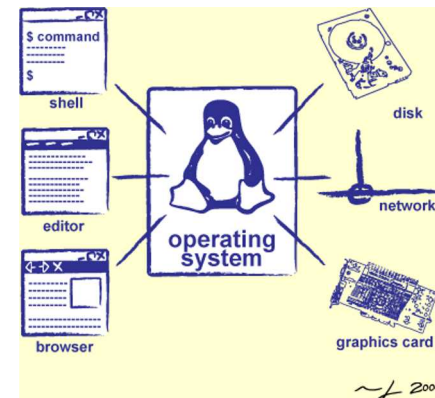
January 19th, 2021

Profs. Natacha Crooks and Anthony D. Joseph

<http://cs162.eecs.Berkeley.edu>

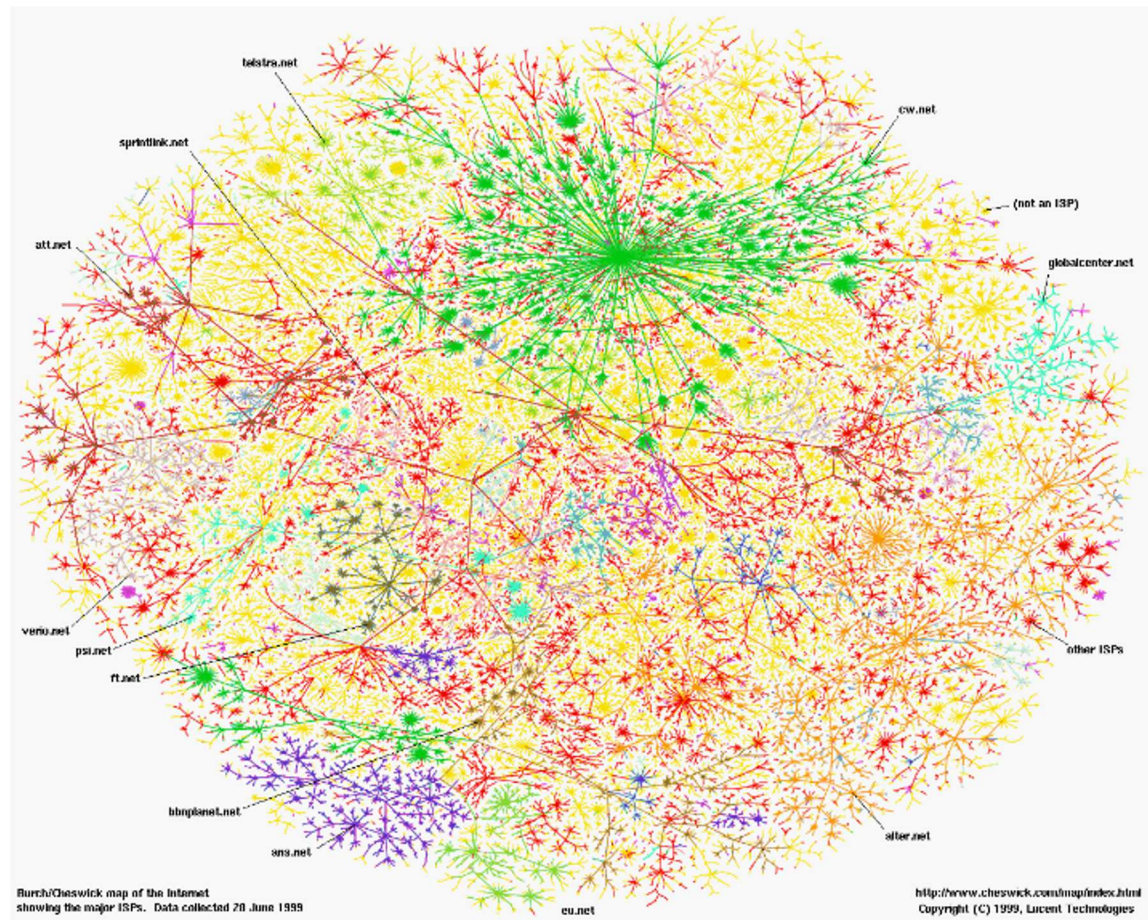
Goals for Today

- What is an Operating System?
 - And – what is it not?
- What makes Operating Systems so exciting?
- Oh, and “How does this class operate?”

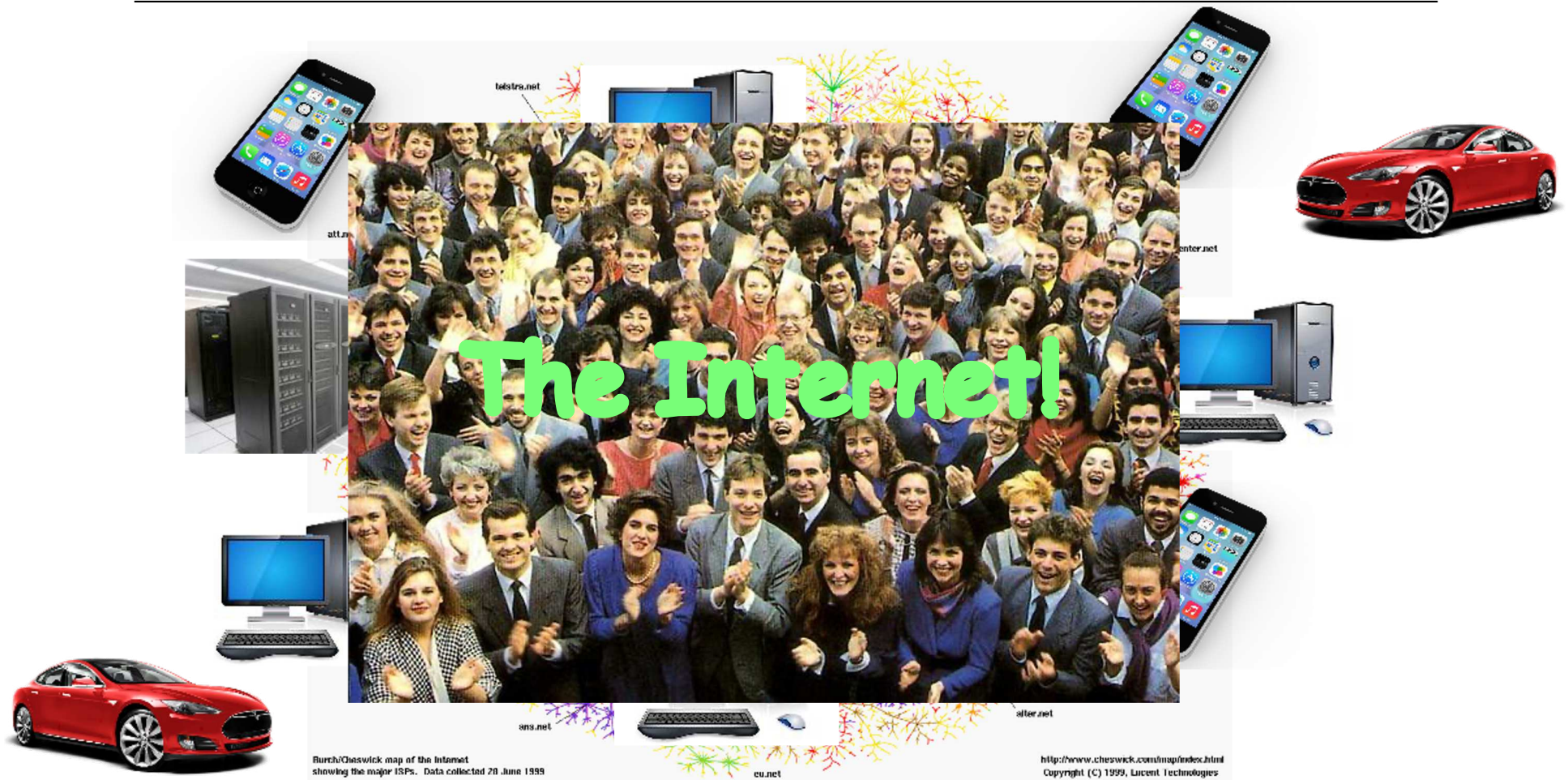


Slides courtesy of David Culler, Anthony D. Joseph, John Kubiatawicz, AJ Shankar, George Necula, Alex Aiken, Eric Brewer, Ras Bodik, Ion Stoica, Doug Tygar, and David Wagner.

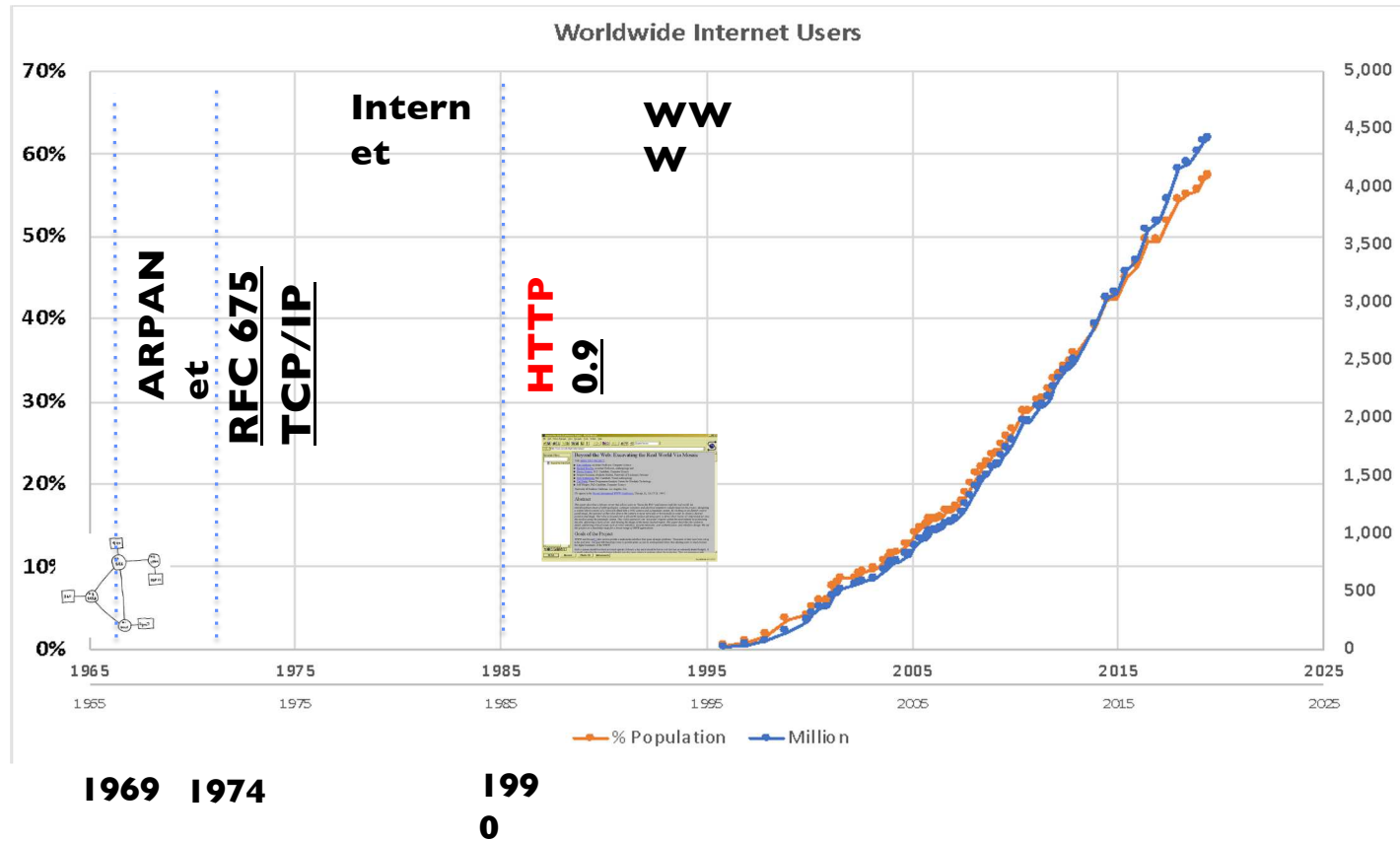
Greatest Artifact of Human Civilization...



Greatest Artifact of Human Civilization...

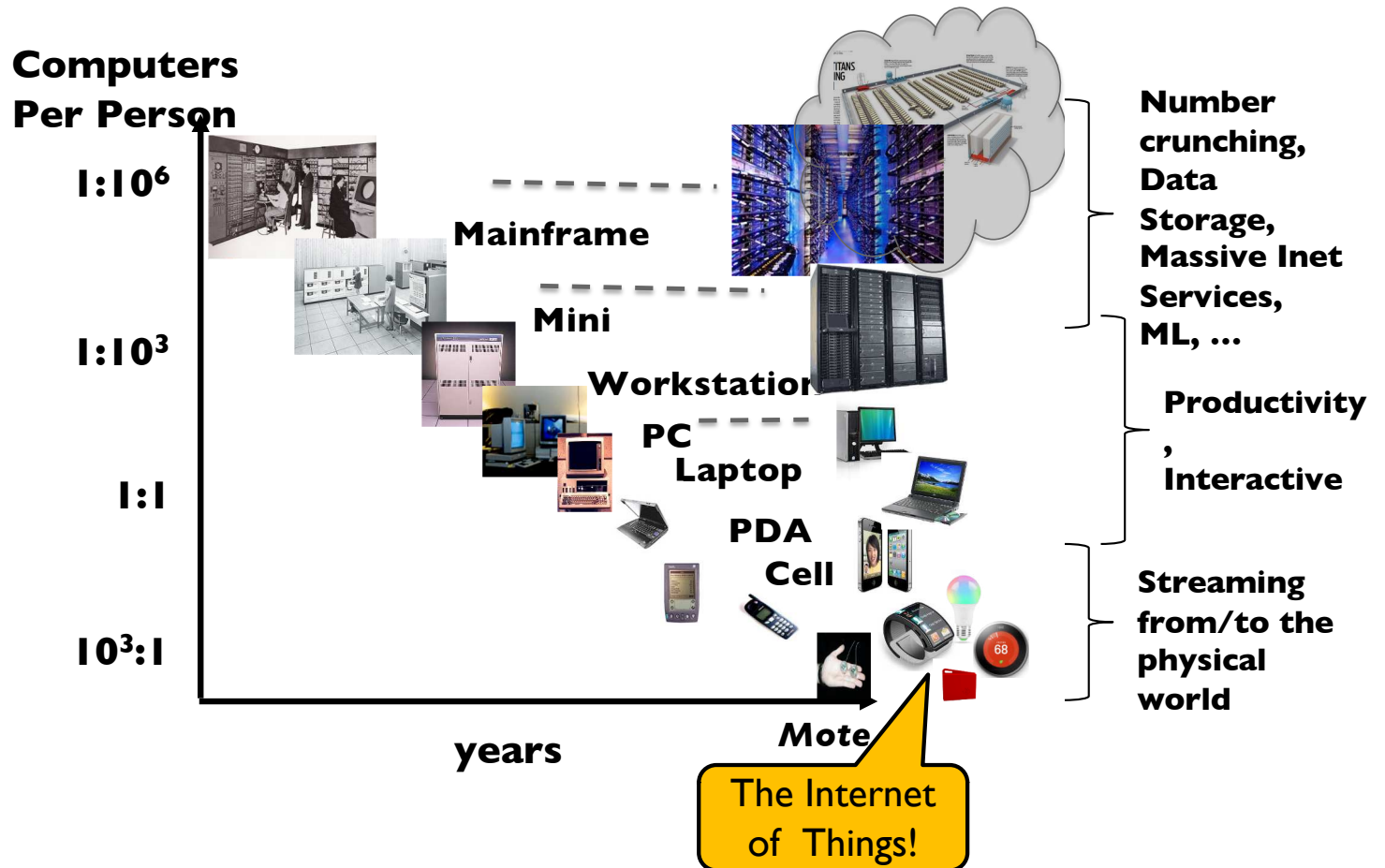


Running Systems at Internet Scale



Across Incredible Diversity

**Bell's Law:
New
computer
class every
10 years**



And Range of Timescales

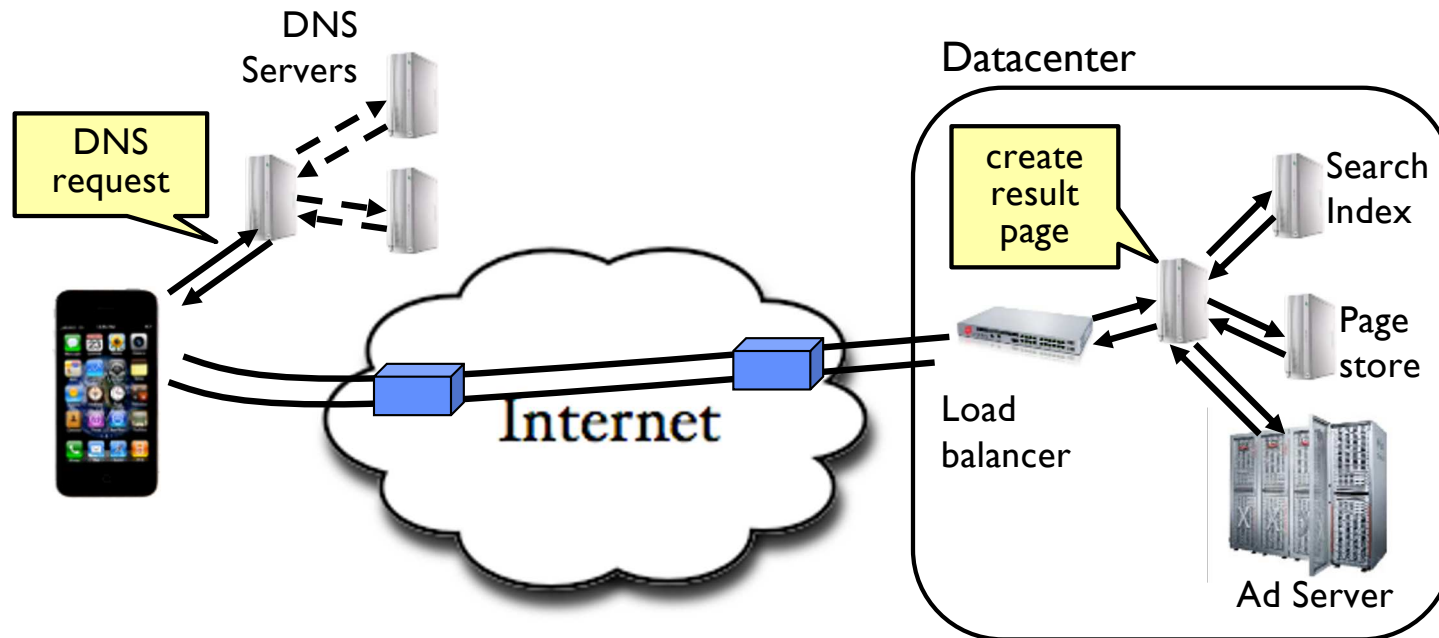
**Jeff Dean:
“Numbers
Everyone Should
Know”**

L1 cache reference	0.5 ns
Branch mispredict	5 ns
L2 cache reference	7 ns
Mutex lock/unlock	25 ns
Main memory reference	100 ns
Compress 1K bytes with Zippy	3,000 ns
Send 2K bytes over 1 Gbps network	20,000 ns
Read 1 MB sequentially from memory	250,000 ns
Round trip within same datacenter	500,000 ns
Disk seek	10,000,000 ns
Read 1 MB sequentially from disk	20,000,000 ns
Send packet CA->Netherlands->CA	150,000,000 ns

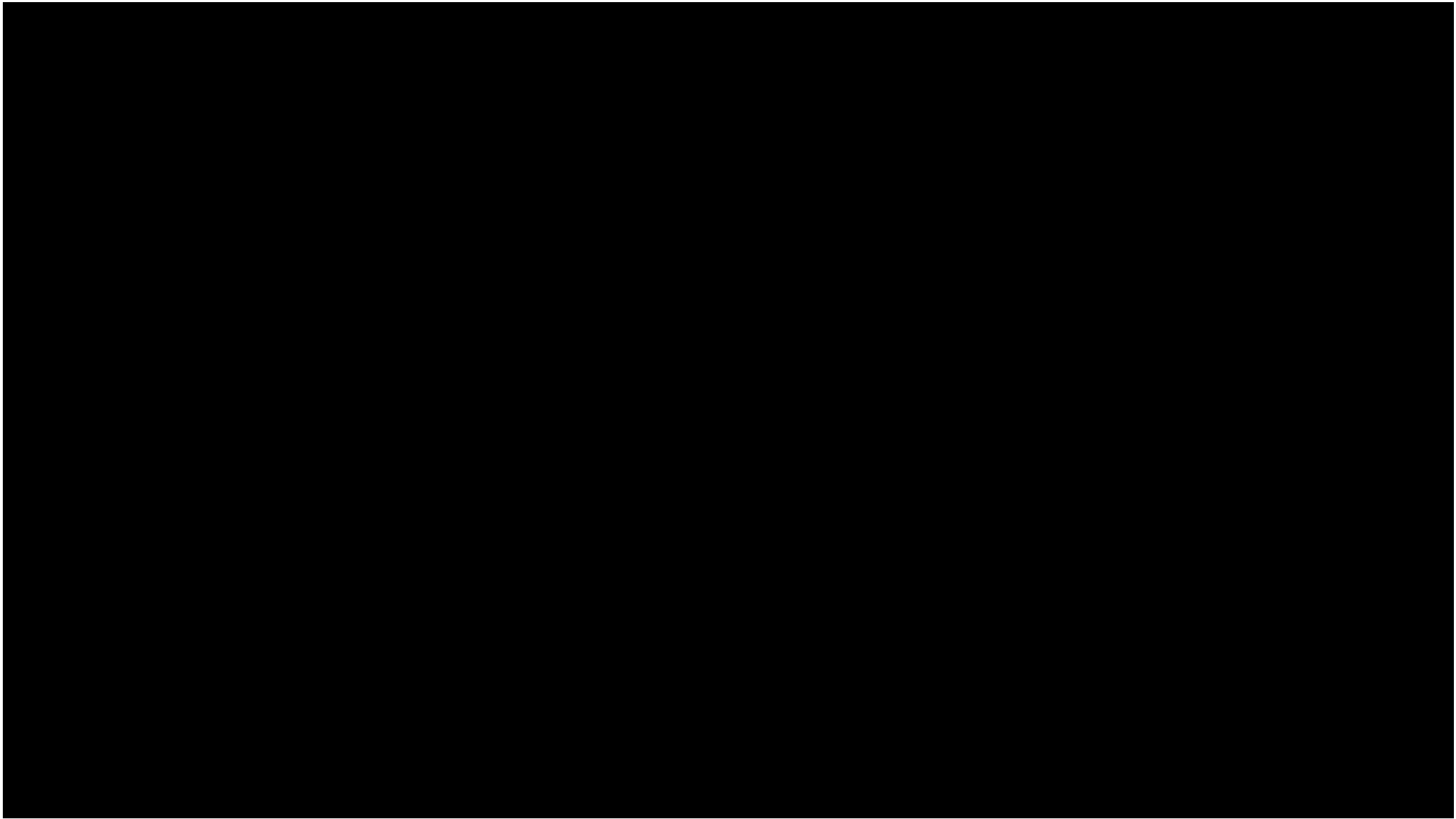
Operating Systems are at the Heart of it All!

- Make the incredible advance in the underlying technology available to a rapidly evolving body of applications
 - Provide **consistent abstractions** to applications, even on different hardware
 - Manage **sharing of resources** among multiple applications
- The key building blocks:
 - Processes
 - Threads, Concurrency, Scheduling, Coordination
 - Address Spaces
 - Protection, Isolation, Sharing, Security
 - Communication, Protocols
 - Persistent storage, transactions, consistency, resilience
 - Interfaces to all devices

Example: What's in a Search Query?



- Complex interaction of multiple components in multiple administrative domains
 - Systems, services, protocols, ...



But: What is an operating system?

What does an Operating System *do*?

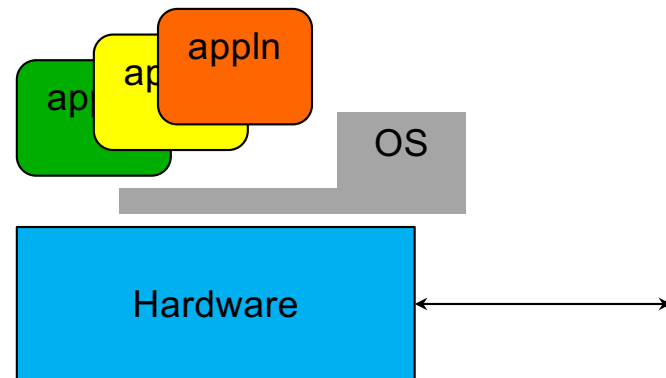
- Most Likely:
 - Memory Management
 - I/O Management
 - CPU Scheduling
 - Communications? (Does Email belong in OS?)
 - Multitasking/multiprogramming?
- What about?
 - File System?
 - Multimedia Support?
 - User Interface?
 - Internet Browser? ☺
- Is this only interesting to Academics??

Definition of an Operating System

- No universally accepted definition
- “Everything a vendor ships when you order an operating system” is good approximation
 - But varies wildly
- “The one program running at all times on the computer” is the **kernel**
 - Everything else is either a system program (ships with the operating system) or an application program

One Definition of an Operating System

- Special layer of software that provides application software access to hardware resources
 - Convenient abstraction of complex hardware devices
 - Protected access to shared resources
 - Security and authentication
 - Communication



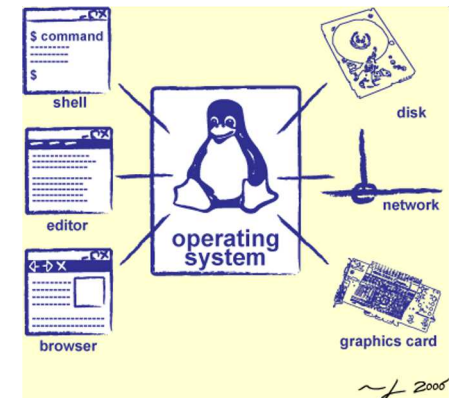
Operating System



**Switchboard
Operator**



**Computer
Operators**

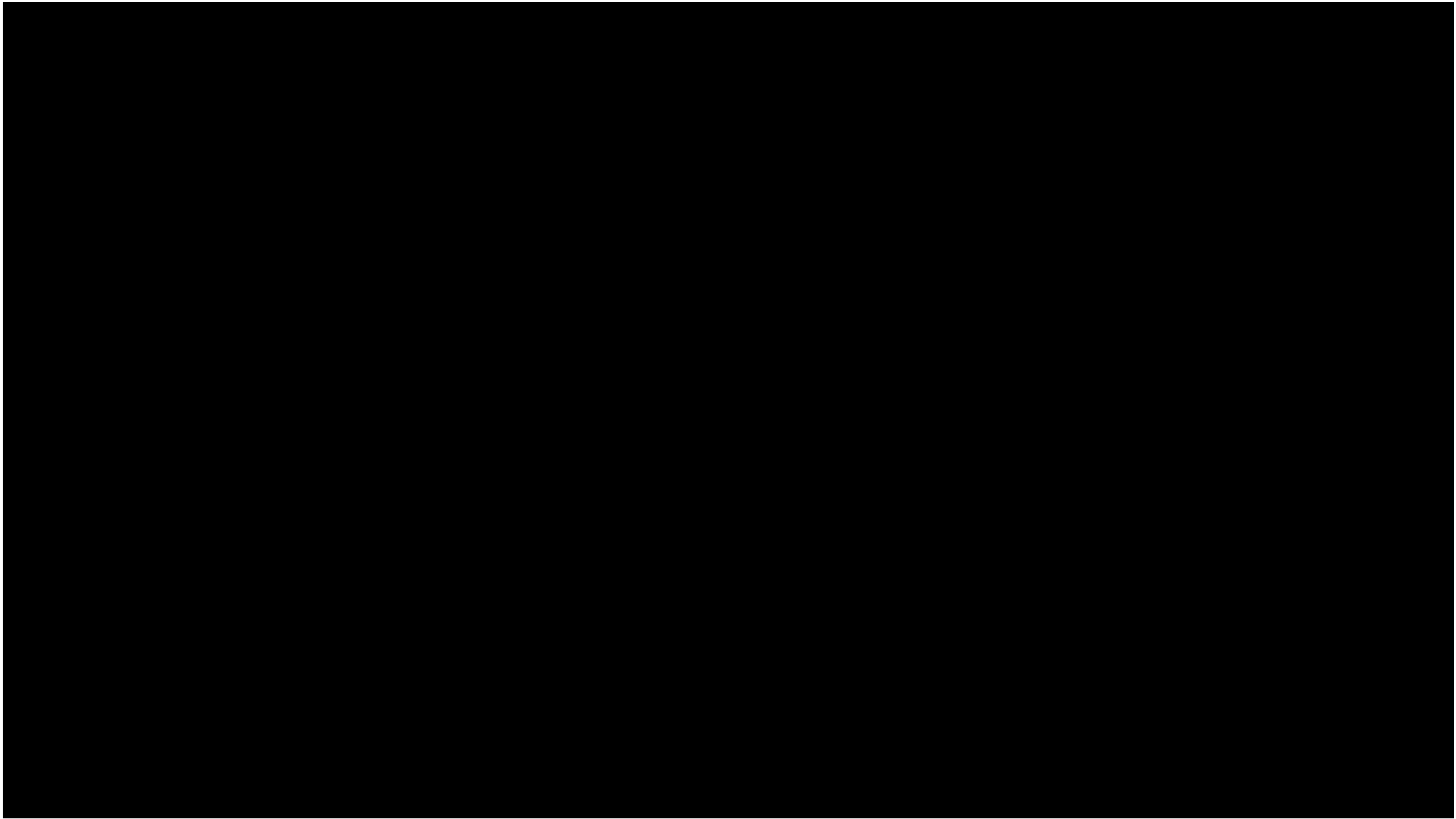


**Operating
System**

What makes something a **system**?

- Multiple interrelated parts
 - Each potentially interacts with the others
- Robustness requires an **engineering mindset**
 - Meticulous error handling, defending against malicious and careless users
 - Treating the computer as a concrete machine, with all of its limitations and possible failure cases

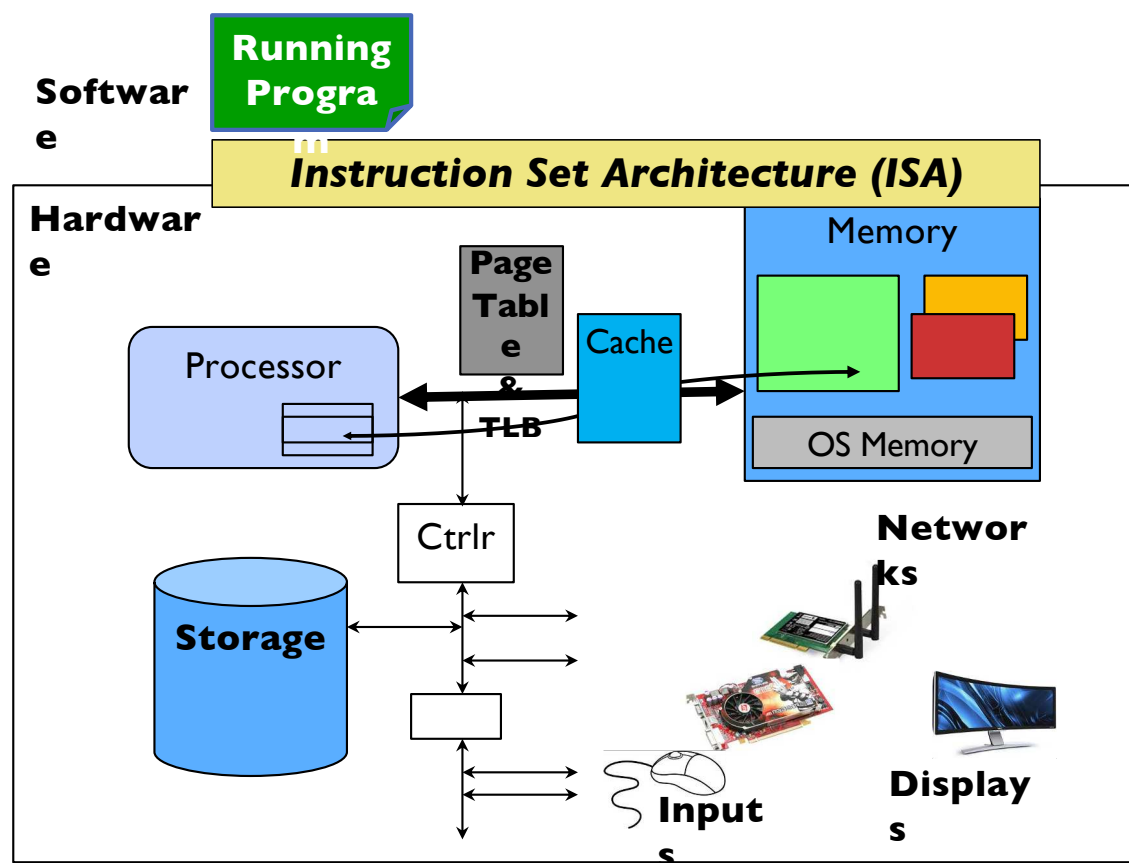
System programming is an important part of this class!



What is an operating system?

Illusionist

Hardware/Software Interface



**What you learned
in CS 61C – Machine
Structures (and C)**

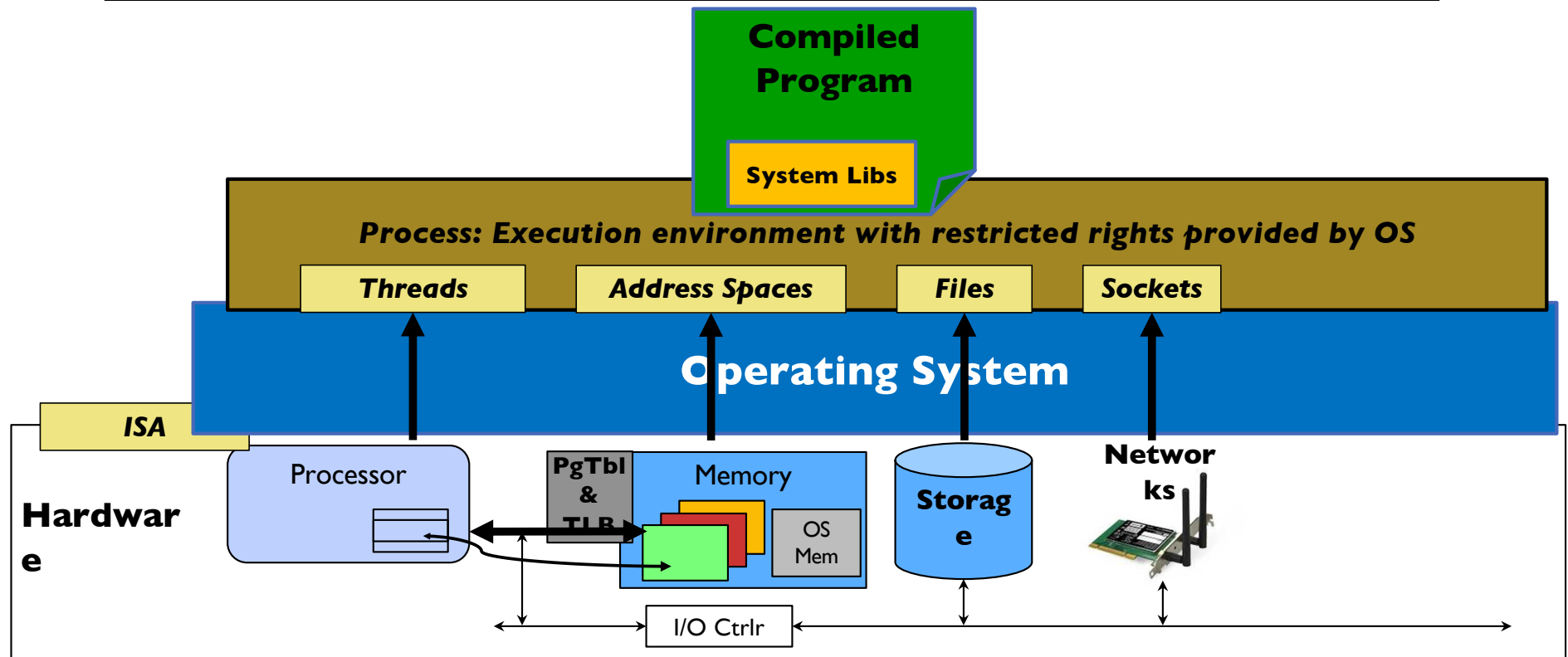
**The OS *abstracts*
these hardware
details from the
application**

What is an Operating System?

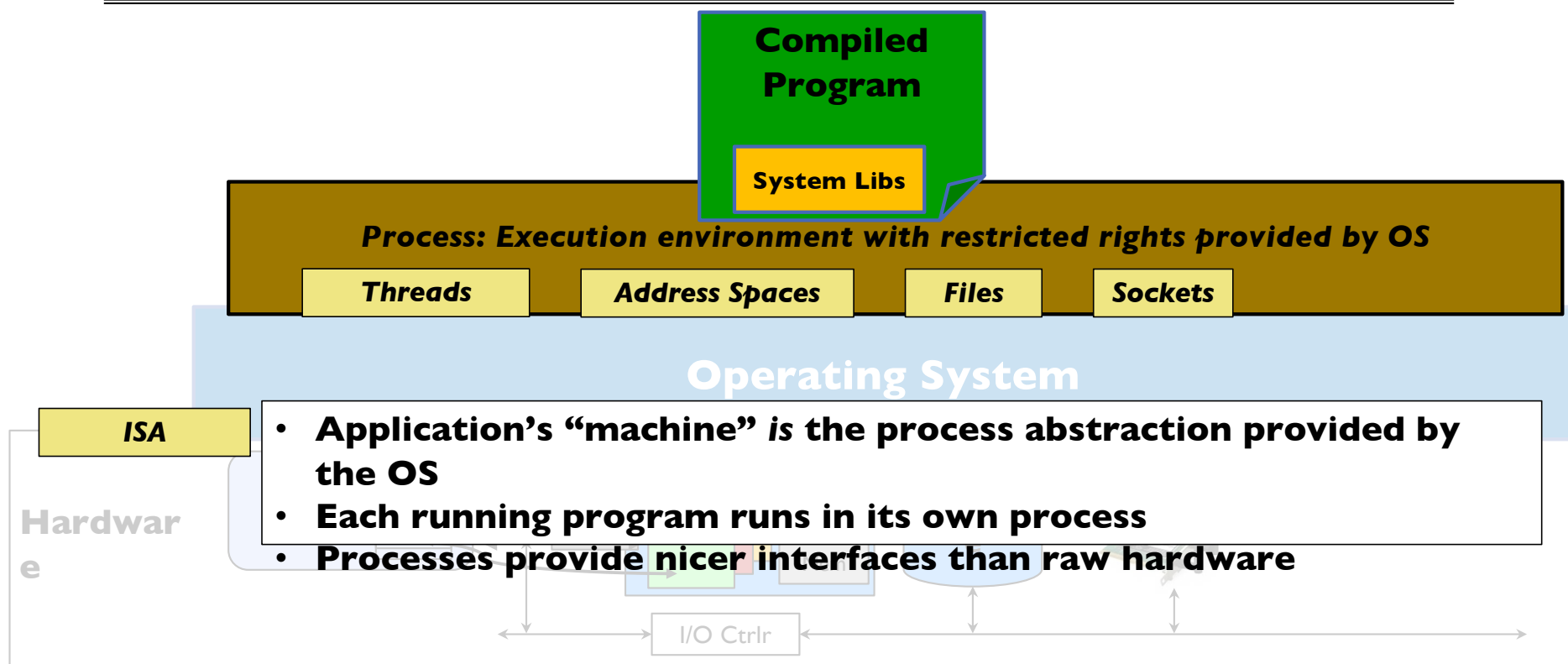


- Illusionist
 - Provide clean, easy-to-use abstractions of physical resources
 - » Infinite memory, dedicated machine
 - » Higher level objects: files, users, messages
 - » Masking limitations, virtualization

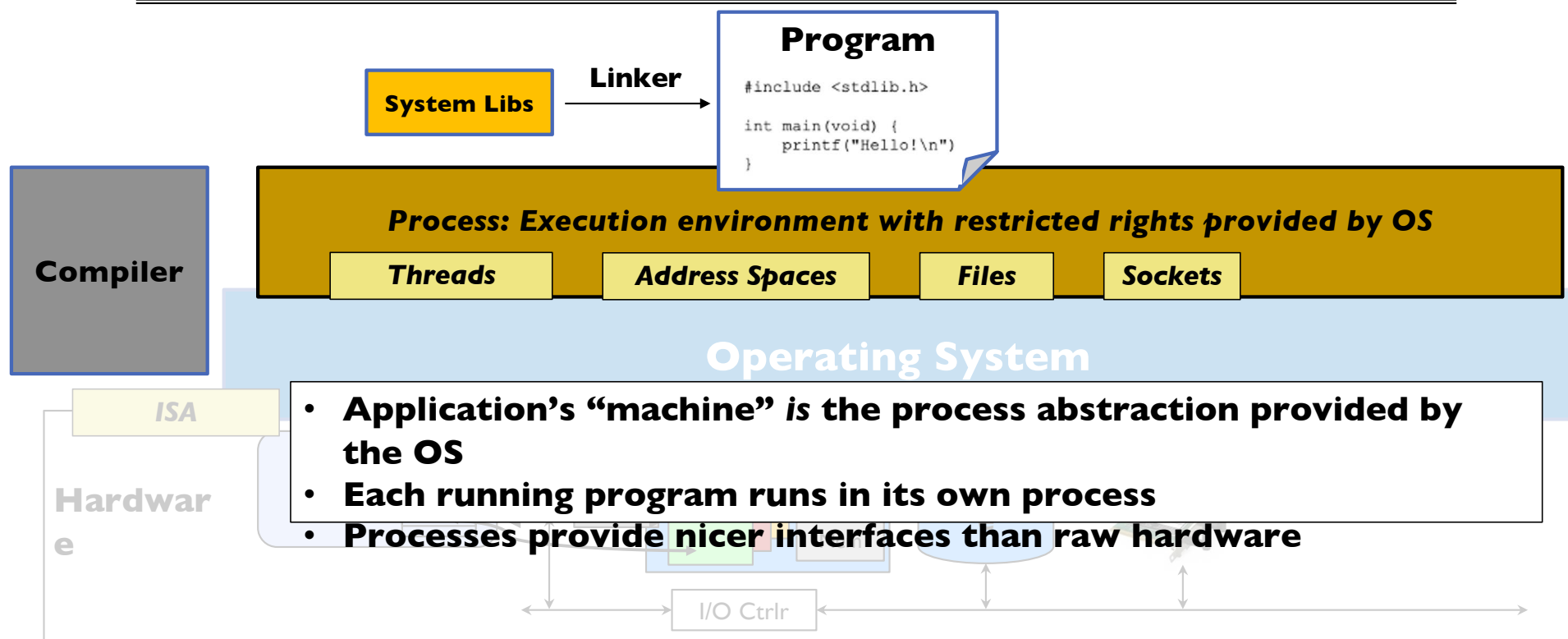
OS Basics: Virtualizing the Machine



Compiled Program's View of the World



System Programmer's View of the World

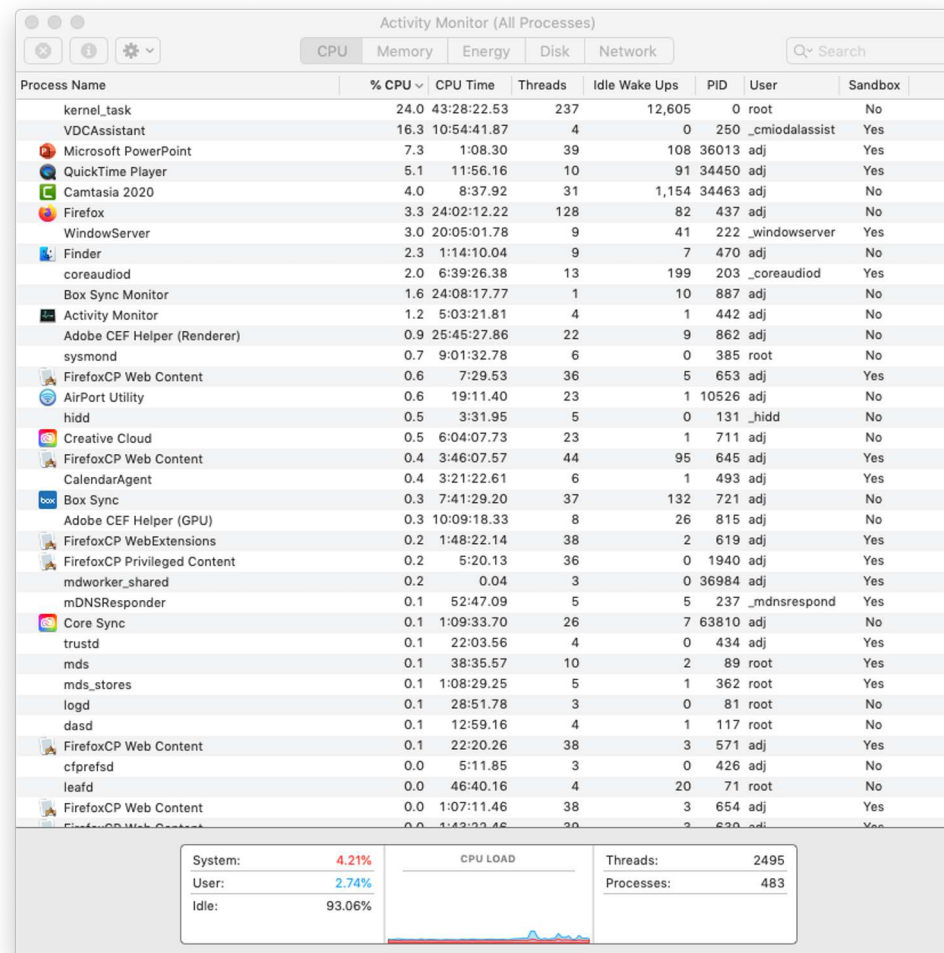


What's in a Process?

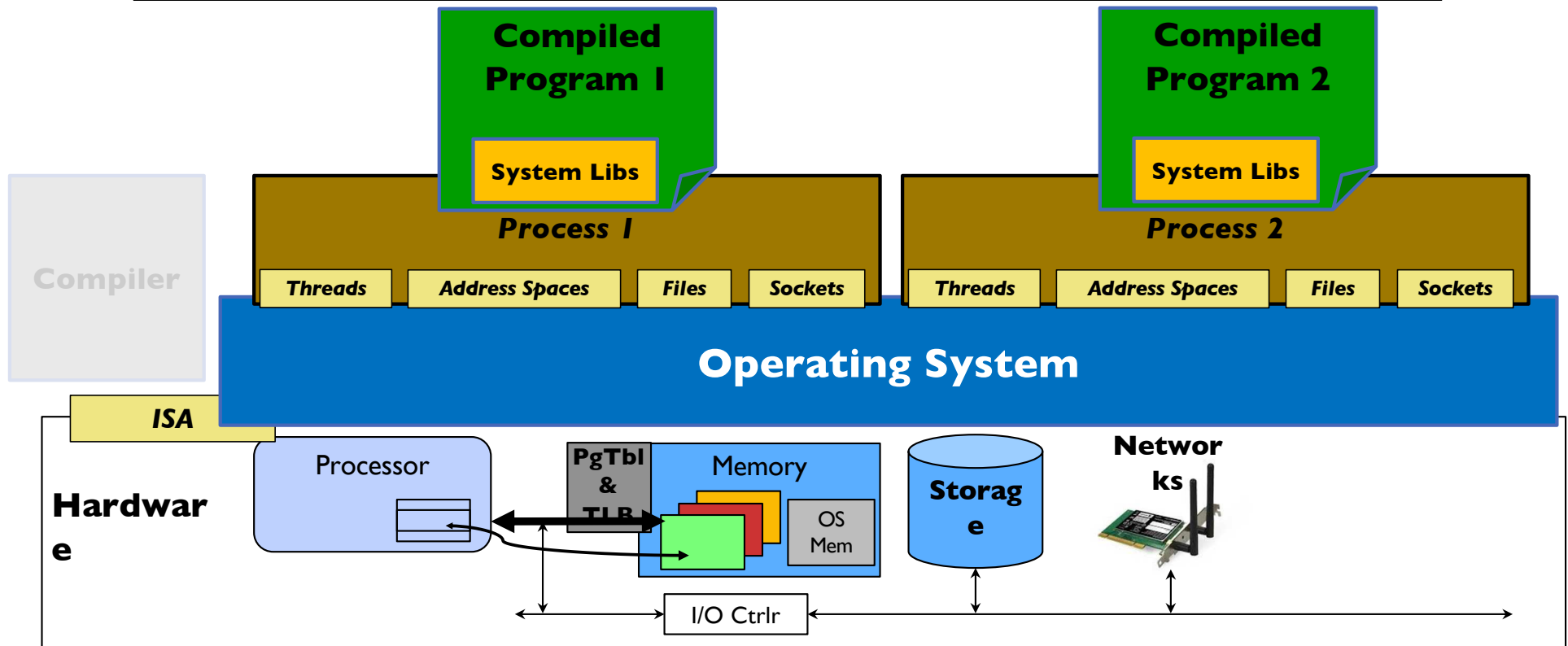
A process consists of:

- Address Space
- One or more threads of control executing in that address space
- Additional system state associated with it
 - Open files
 - Open sockets (network connections)
 - ...

For Example...

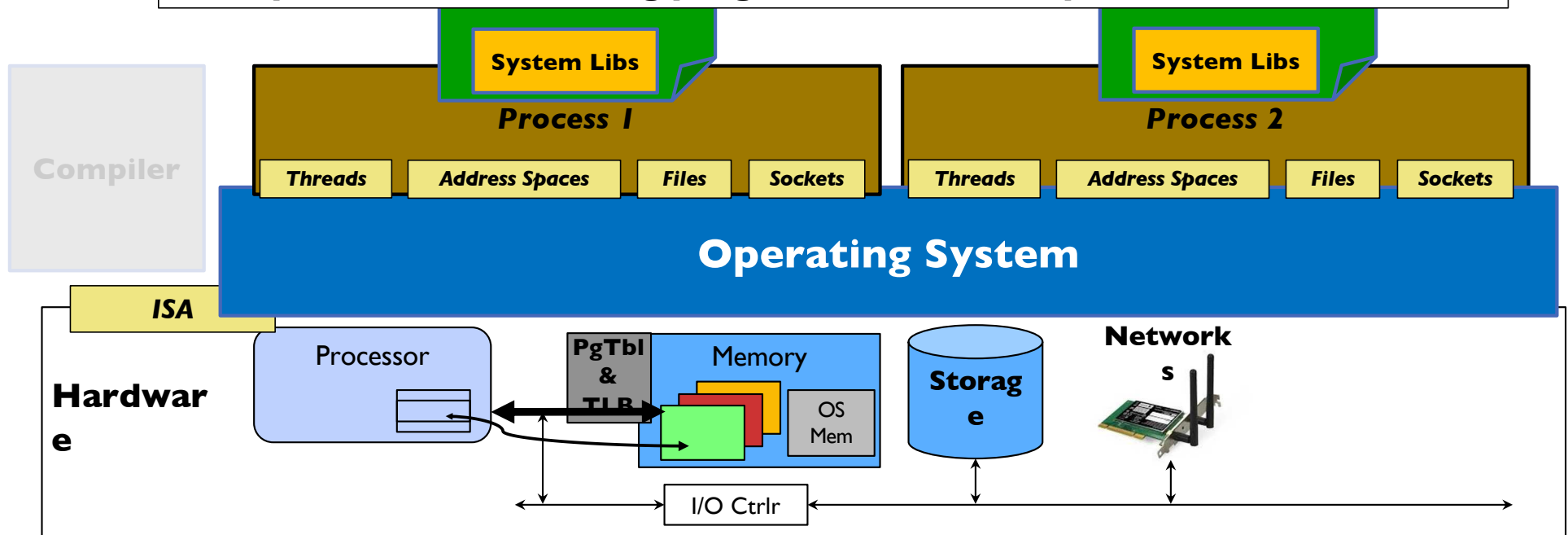


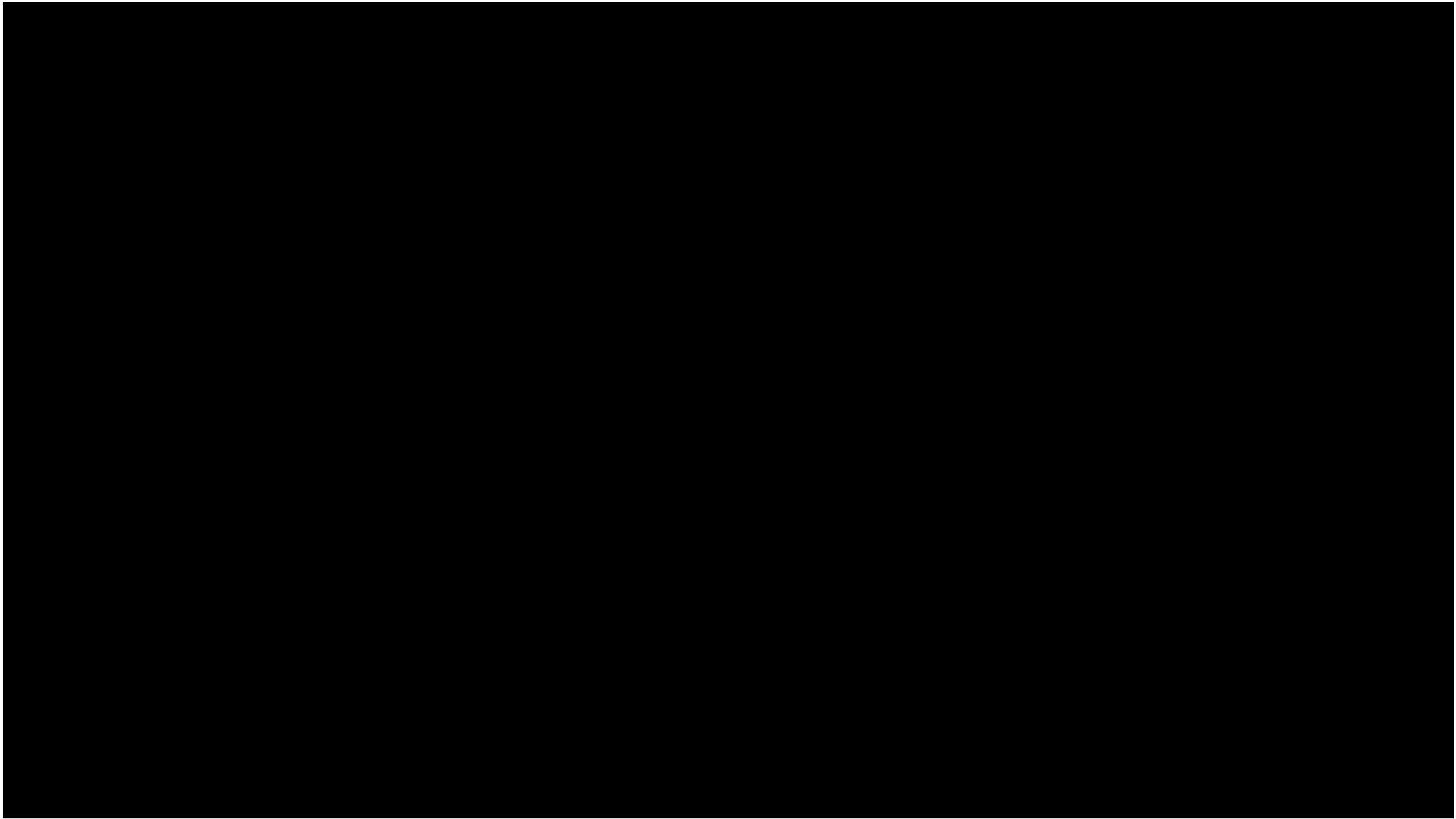
Operating System's View of the World



Operating System's View of the World

- OS translates from hardware interface to application interface
- OS provides each running program with its own process





What is an operating system?

Referee

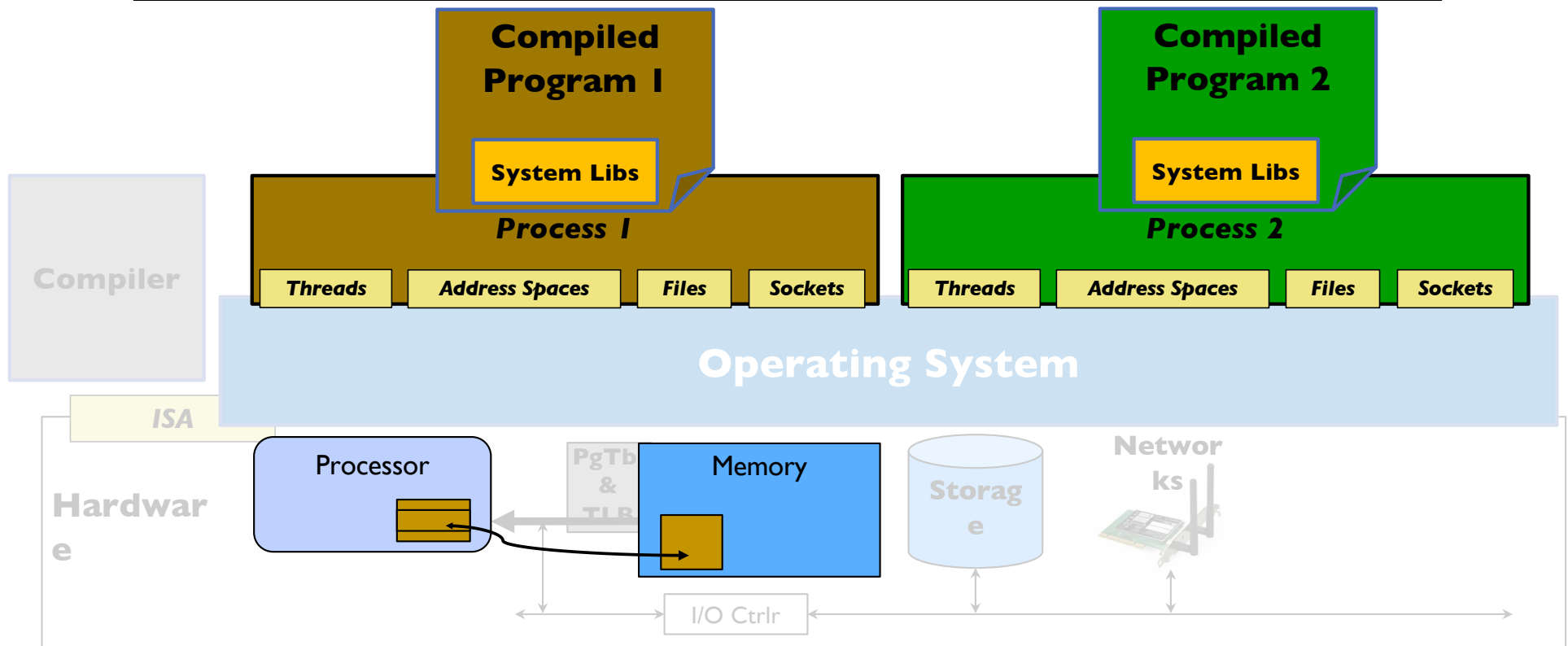
What is an Operating System?



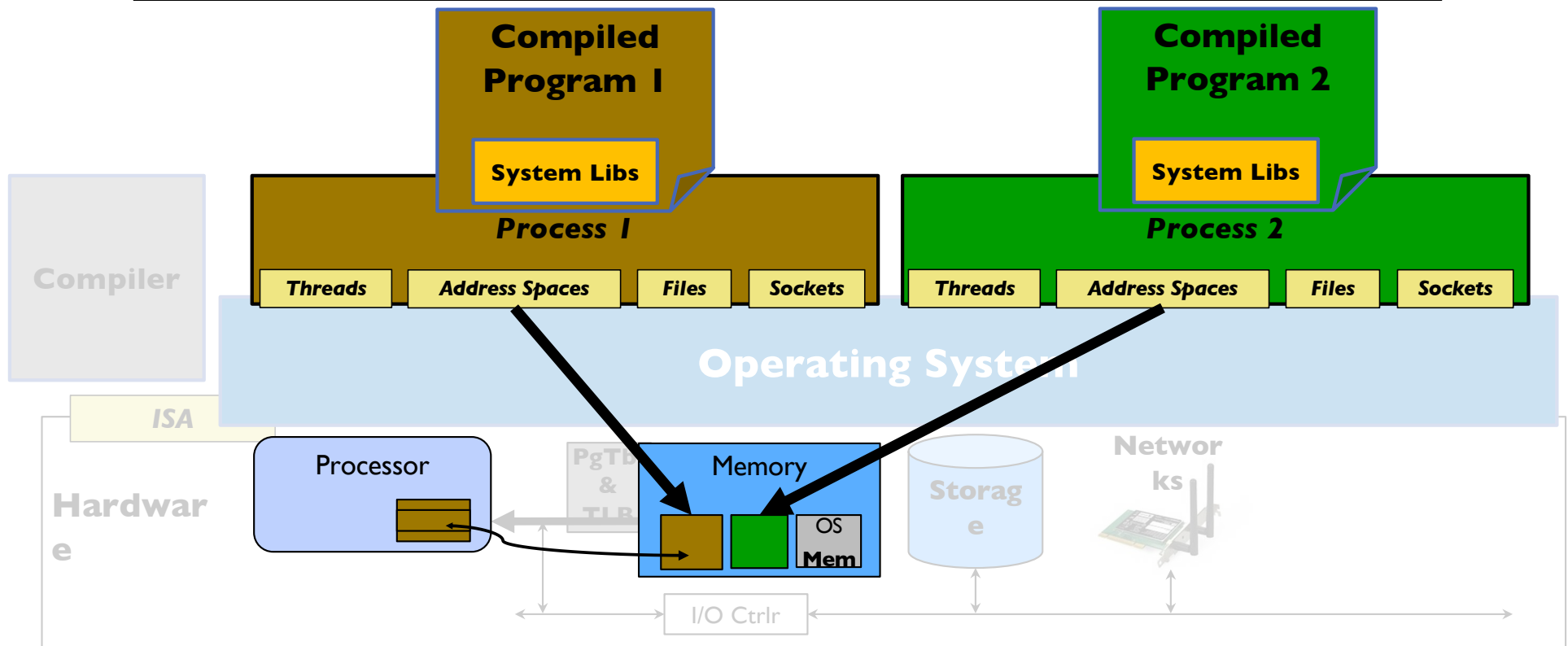
- Referee
 - Manage protection, isolation, and sharing of resources
 - » Resource allocation and communication
- Illusionist
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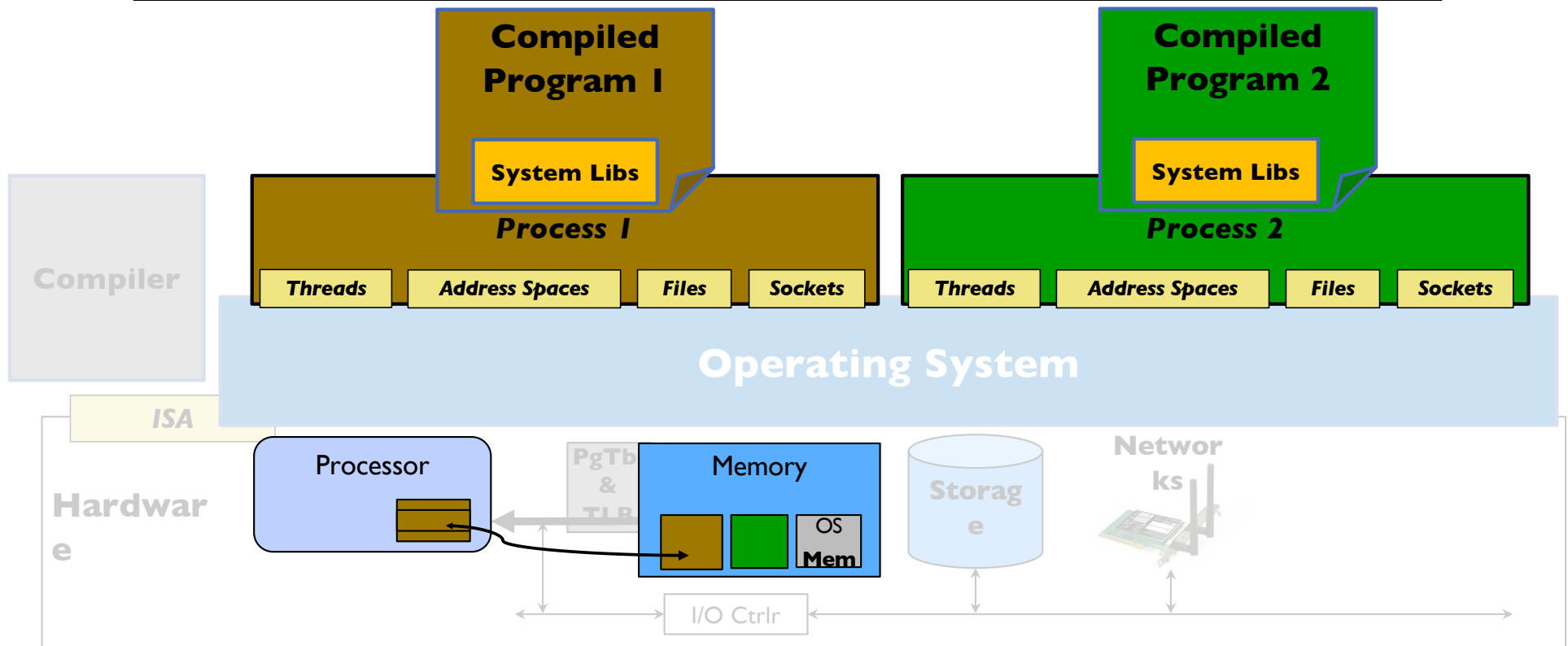
OS Basics: Running a Process



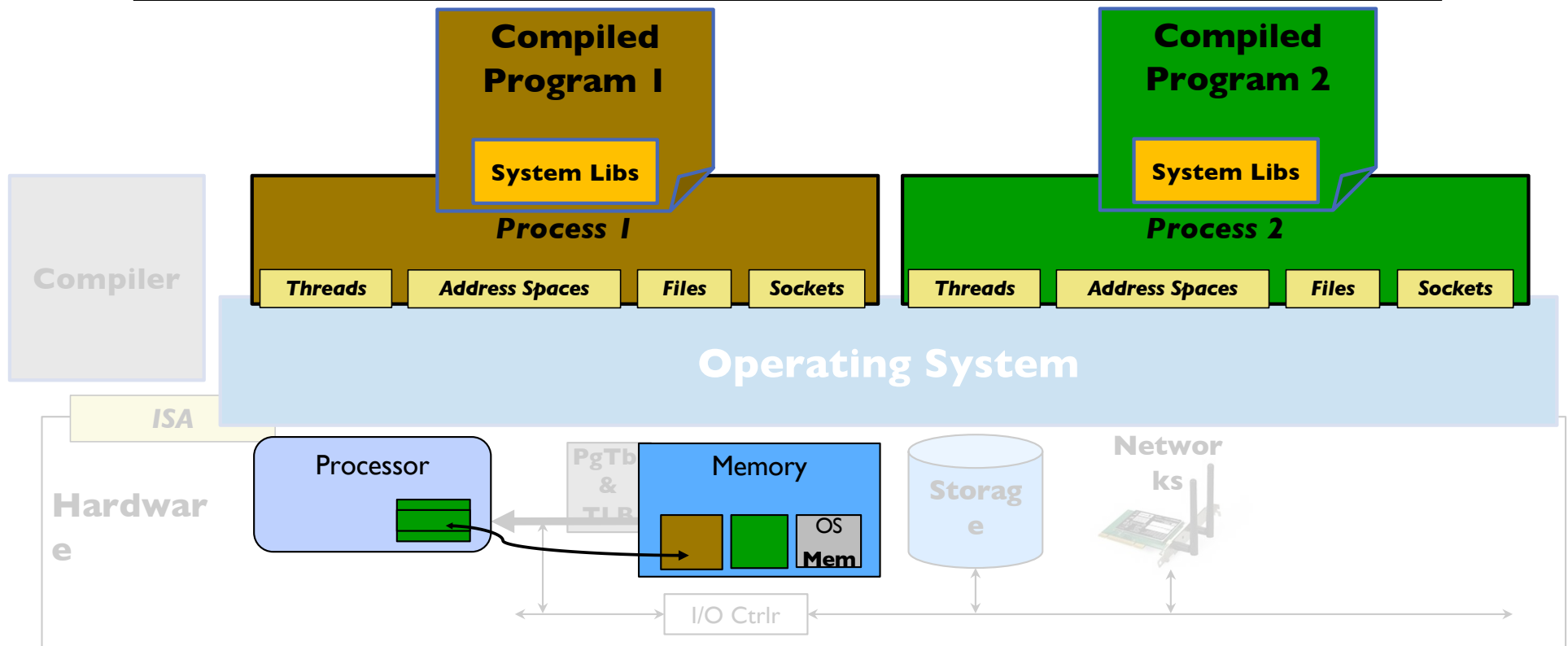
OS Basics: Switching Processes



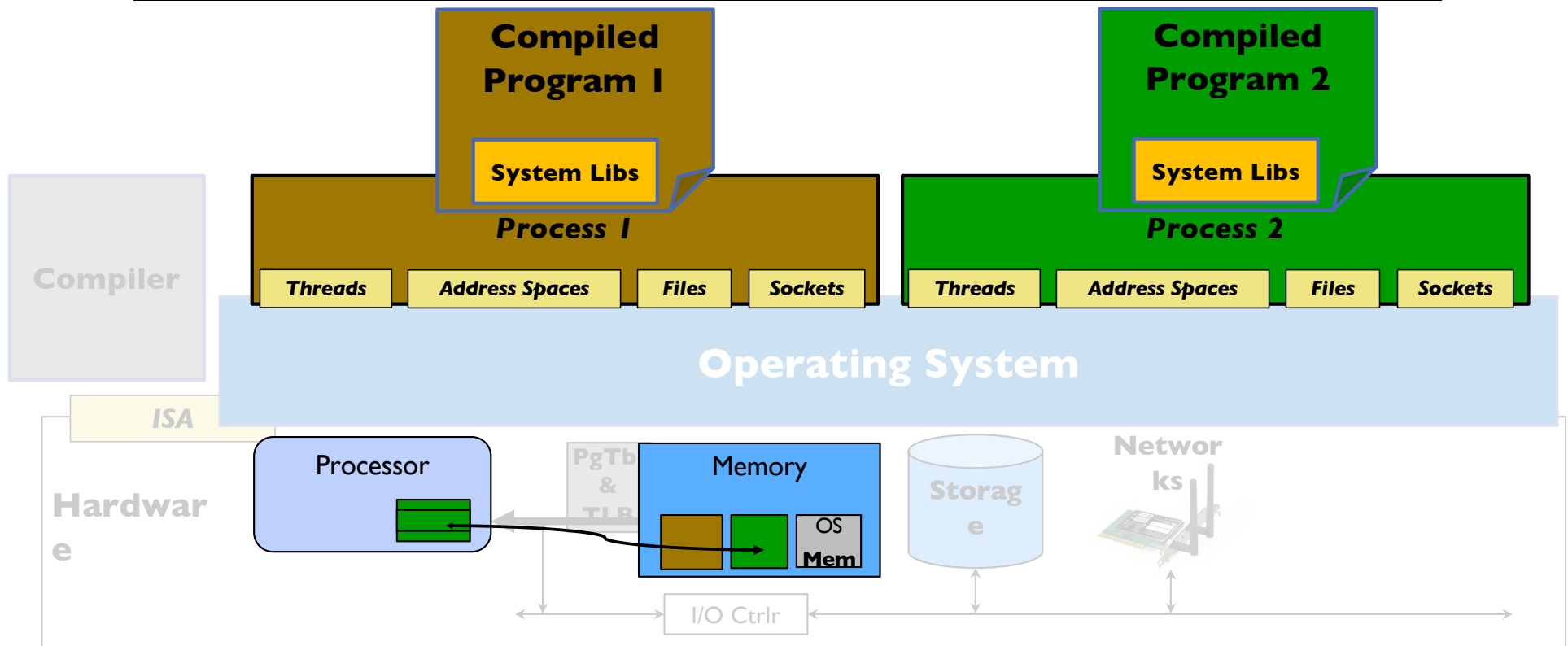
OS Basics: Switching Processes



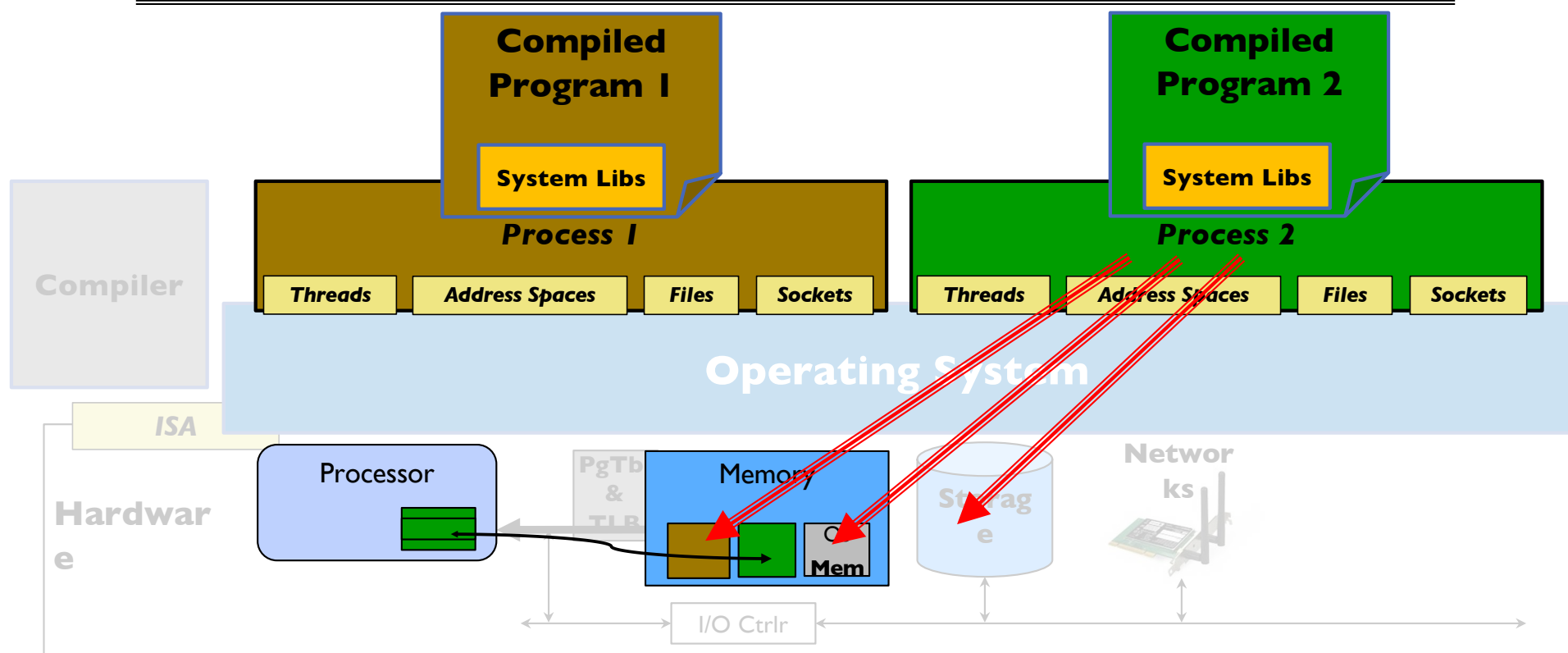
OS Basics: Switching Processes



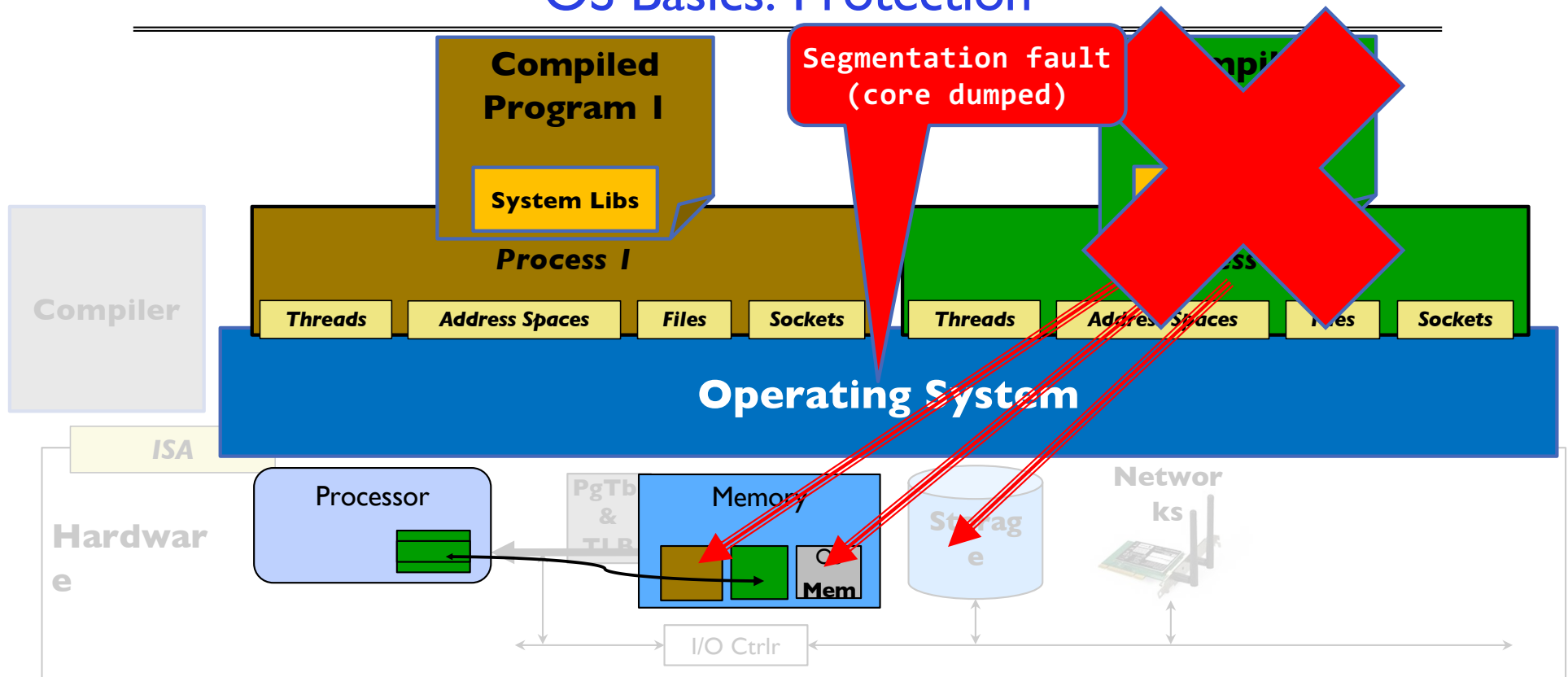
OS Basics: Switching Processes



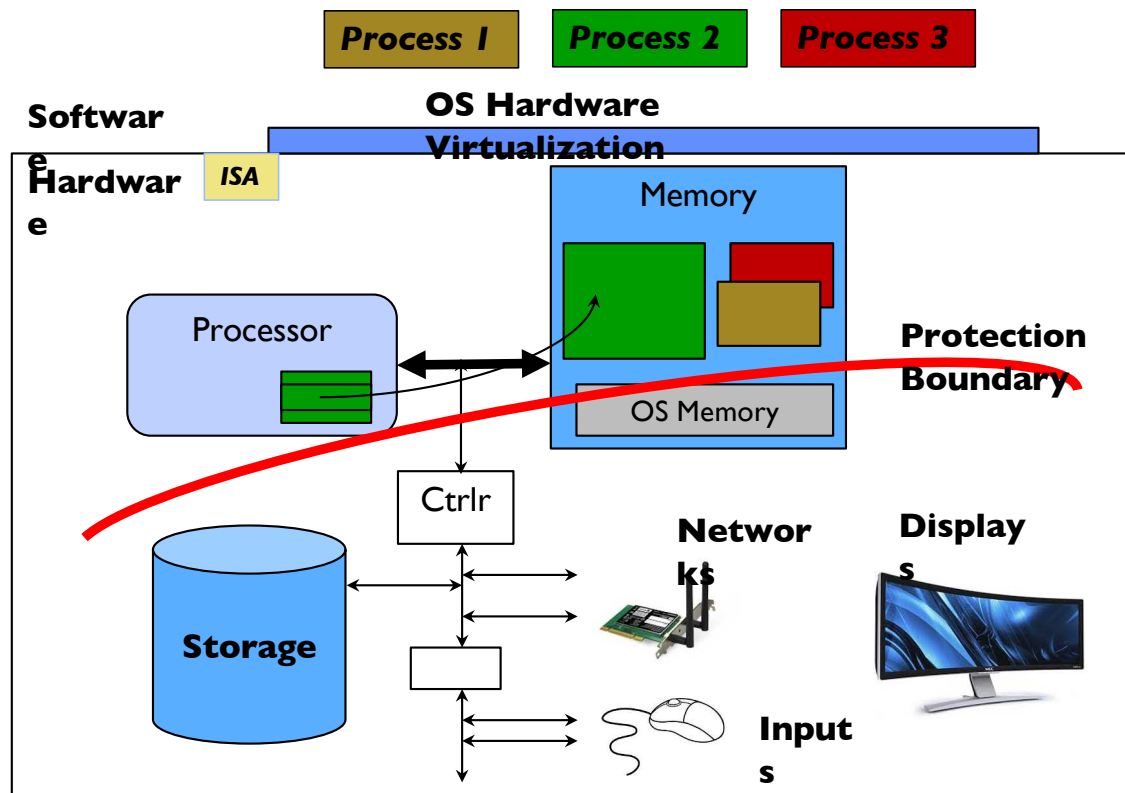
OS Basics: Protection



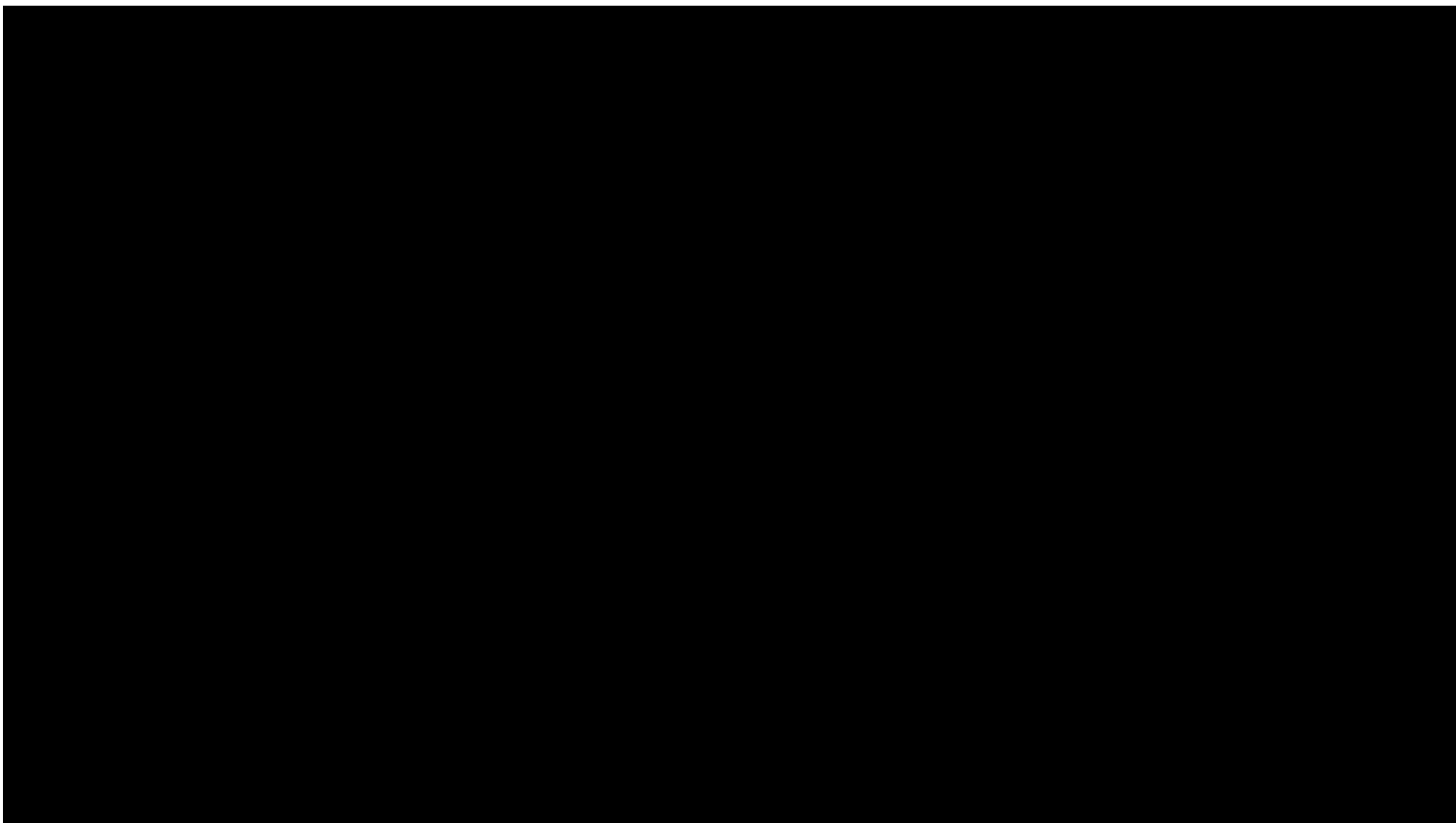
OS Basics: Protection



OS Basics: Protection



- **OS isolates processes from each other**
- **OS isolates itself from other processes**
- **... even though they are actually running on the same hardware!**



What is an operating system?

Glue

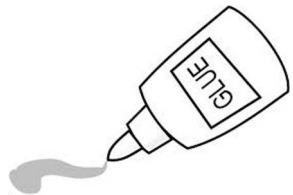
What is an Operating System?



- Referee
 - Manage protection, isolation, and sharing of resources
 - » Resource allocation and communication

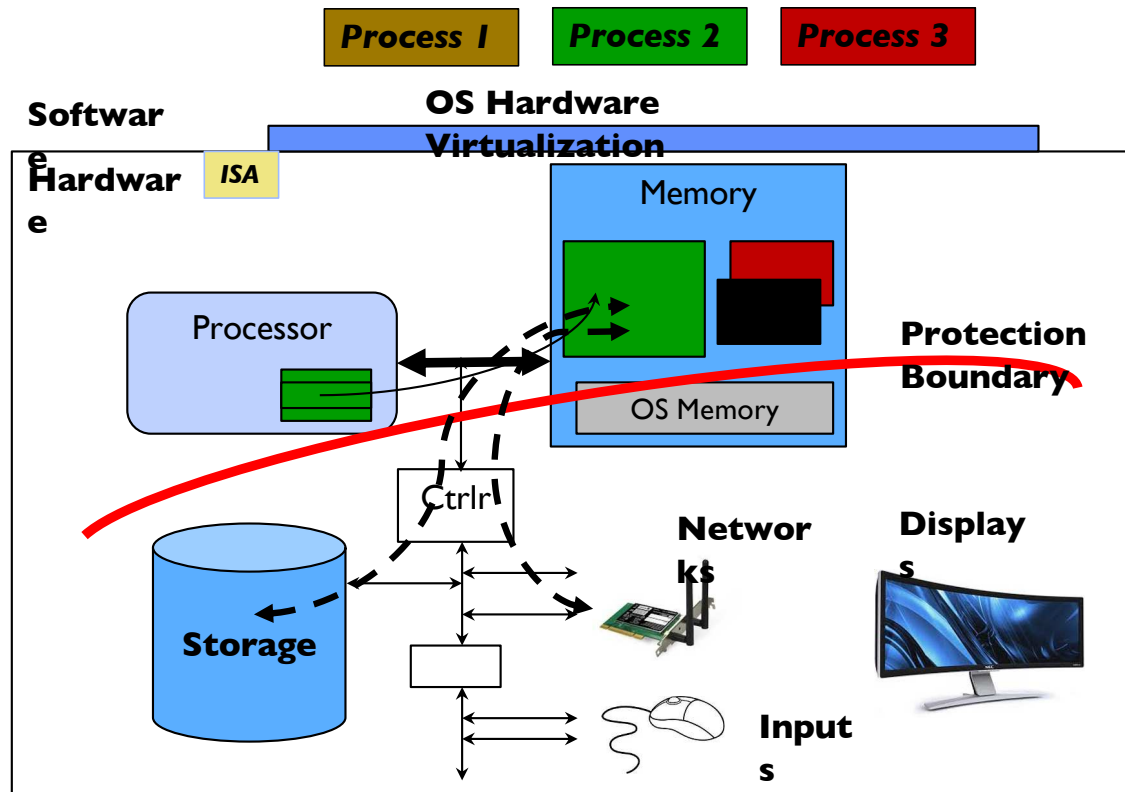


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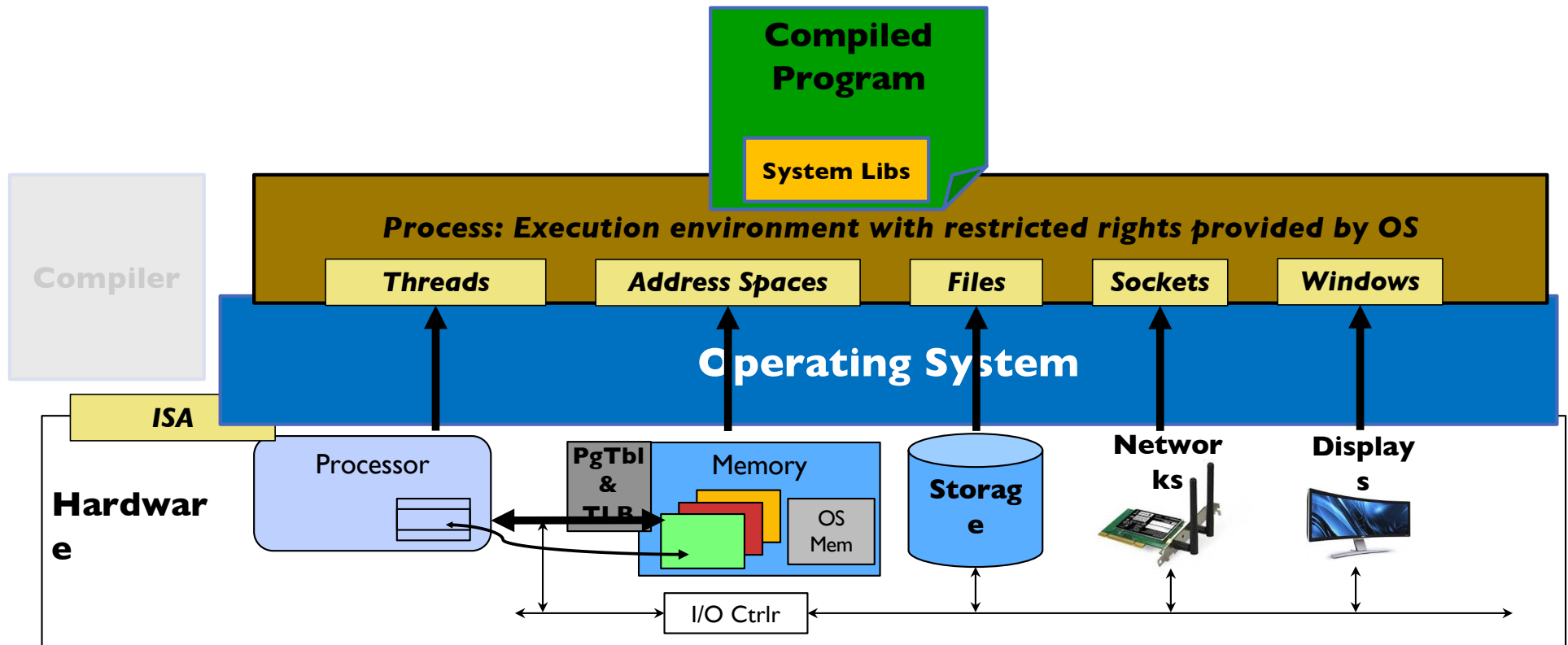
- Glue
 - Common services
 - » Storage, Window system, Networking
 - » Sharing, Authorization
 - » Look and feel

OS Basics: I/O

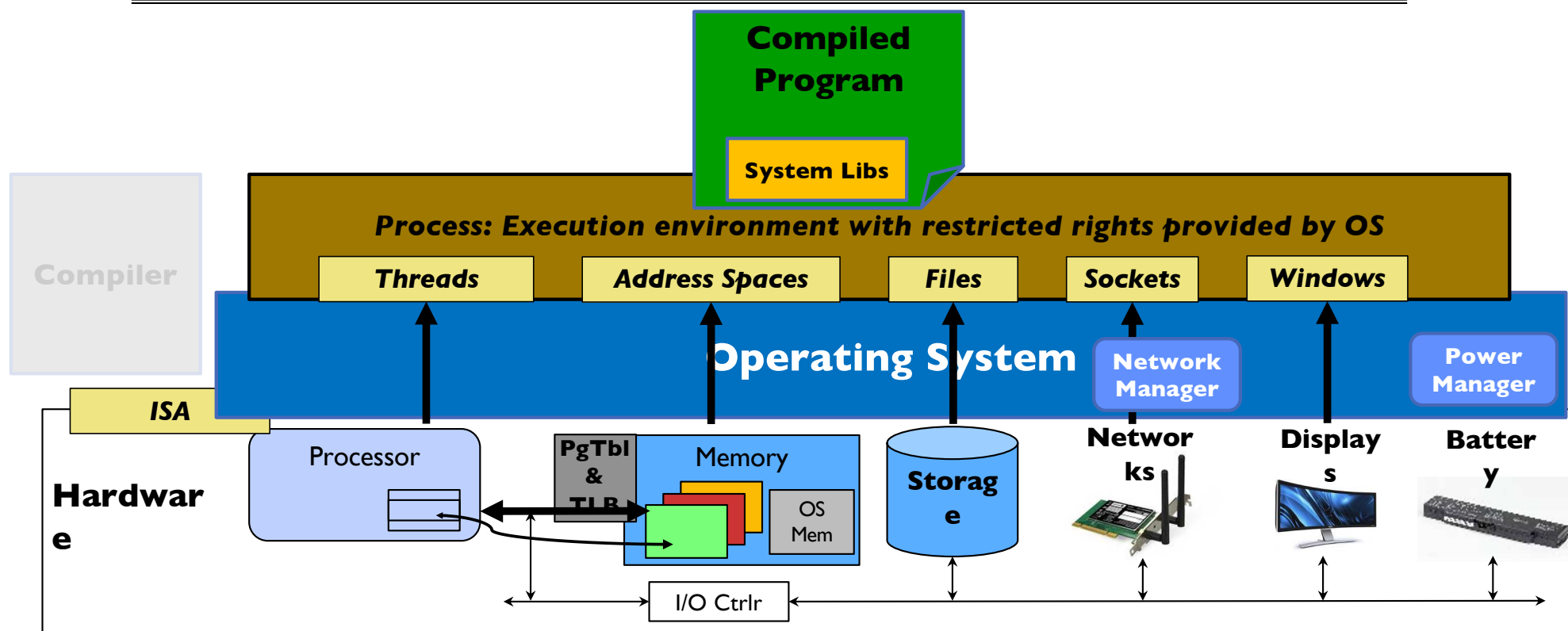


- **OS provides common services in the form of I/O**

OS Basics: Look and Feel



OS Basics: Background Management



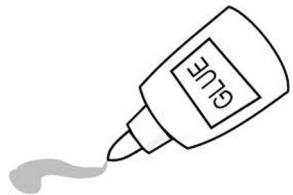
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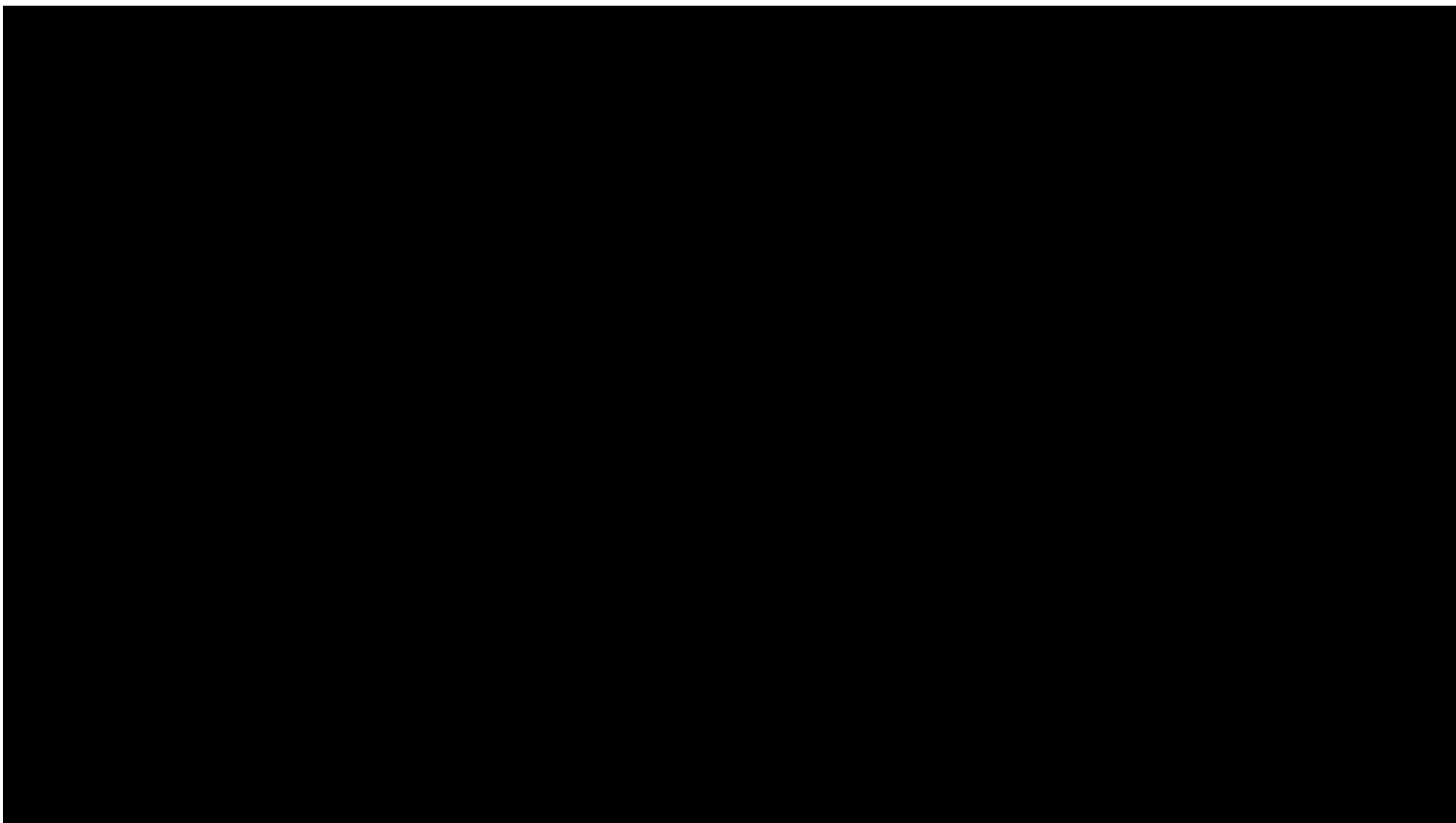
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- Glue
 - Common services
 - » Storage, Window system, Networking
 - » Sharing, Authorization
 - » Look and feel



[REDACTED]

CS 162

Why take CS162?

- Some of you will actually design and build operating systems or components of them.
 - Perhaps more now than ever
- Many of you will create systems that utilize the core concepts in operating systems.
 - Whether you build software or hardware
 - The concepts and design patterns appear at many levels
- All of you will build applications, etc. that utilize operating systems
 - The better you understand their design and implementation, the better use you'll make of them.

Intros - Natacha Crooks

- Assistant Professor in the Data Science and Foundation Group, EECS
 - PhD from UT Austin in 2019
 - Started in 2020, no physical office yet ...
- Research Areas
 - Distributed Systems, Databases, and Privacy-Preserving Systems

Intros - Anthony D. Joseph

- Chancellor's Professor in Electrical Engineering and Computer Science
 - 465 Soda Hall (RISE Lab), <http://www.cs.berkeley.edu/~adj/>
 - Campus Cyber-Risk Responsible Executive, co-chair EECS dept IT committee
- Research areas:
 - Modin (drop-in Pandas replacement), Fog Robotics (edge computing), Cancer Genomics/Precision Medicine (ADAM/Apache Spark), Secure Machine Learning (SecML), DETER security testbed
 - Previous: Cloud computing (Apache Mesos), Peer-to-Peer networking (Tapestry), Mobile computing, Wireless/Cellular networking
- Outside Activities
 - Big Data and Apache Spark BerkeleyX MOOCs ('15/'16 >240k students with >11% finishing)
 - Unite Genomics co-founder (focused on rare diseases treatments)

CSI62 TAs: Sections TBA



Akshat Gokhale
(Head TA)



Alina Dan



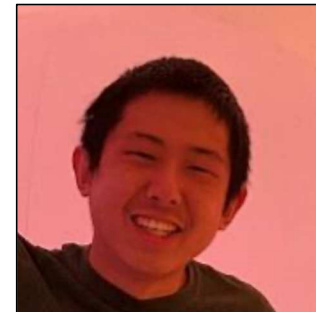
William Hsu



Eleanor
Cawthon



Kevin Svetlitski



Allan Yu

Enrollment

- Class has 310 limit
 - Unable to make class larger
- This is an Early Drop Deadline course (January 29th)
 - If you are not serious about taking, please drop early
 - Department will continue to admit students as other students drop
 - Really hard to drop afterwards!
 - » Don't forget to keep up with work if you are still on the waitlist!
- We are serious about requiring CAMERAs
 - Zoom proctoring of exams
 - Required sections, design reviews, interactions with your group (screen shots!)
 - This is part of keeping people from falling off into /dev/null in cyberspace!
- On the waitlist/Concurrent enrollment ???
 - Unfortunately, we maxed out sections and TA Support
 - If people drop, we can move others off waitlist
 - Concurrent enrollment is after the waitlist

A word cloud visualization featuring various terms related to digital collaboration and business transformation. The central and largest words are "Collaboration" (red), "Cloud" (blue), "New" (purple), "people" (blue), and "Services" (green). Surrounding these are numerous other words in different sizes and colors, including "businesses", "technology", "organization", "information", "software", "work", "use", "web", "company", "email", "online", "support", "time", "value", "tools", "one", "service", "different", "organizations", "now", "trust", "social", "way", "create", "collaborative", "must", "change", "data", "might", "make", "internet", "management", "model", "even", "need", "using", "world", "employees", "many", "used", "together", "important", "like", "working", and "technology". The words are arranged in a circular pattern, with some oriented vertically and others horizontally.

CSI 62 in the age of COVID-19

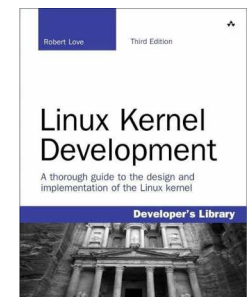
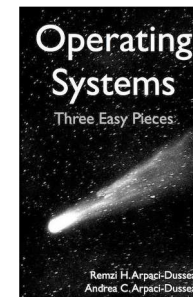
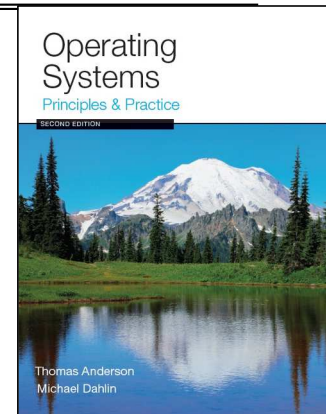


- Well, things are considerably different this term!
 - Many lessons learned from the Spring/Summer/Fall semesters
 - Everything is remote – all term!
- Most important thing: People, Interactions, Collaboration
 - How do we recover collaboration without direct interaction
 - Remember group meetings?
- Must **Work** to bring everyone along (virtually)!
 - Cameras are *essential* components of this class
 - » Must have a camera and plan to turn it on
 - » Will need it for exams, discussion sections, design reviews, OH
 - Need to bring back personal interaction – even if it is virtual
 - » Humans not good at interacting text-only
 - » Virtual coffee hours with your group (camera turned on!)
 - Required attendance at: Discussion sections, Design Reviews
 - » With camera turned on!



Infrastructure, Textbook & Readings

- Infrastructure
 - Website: <http://cs162.eecs.berkeley.edu>
 - Piazza: <https://piazza.com/berkeley/spring2021/cs162>
 - Pre-recorded Lectures, Some Live Lectures (recordings available next day)
- Textbook: Operating Systems: Principles and Practice (2nd Edition)
Anderson and Dahlin
 - Suggested readings posted along with lectures
 - Try to keep up with material in book as well as lectures
- Supplementary Material
 - Operating Systems: Three Easy Pieces, by Remzi and Andrea Arpaci-Dusseau, available for free online
 - Linux Kernel Development, 3rd edition, by Robert Love
- Online supplements
 - See course website
 - Includes Appendices, sample problems, etc.
 - Networking, Databases, Software Engineering, Security
 - **Some Research Papers!**



Syllabus

- OS Concepts: How to Navigate as a Systems Programmer!
 - Process, I/O, Networks and Virtual Machines
- Concurrency
 - Threads, scheduling, locks, deadlock, scalability, fairness
- Address Space
 - Virtual memory, address translation, protection, sharing
- File Systems
 - I/O devices, file objects, storage, naming, caching, performance, paging, transactions, databases
- Distributed Systems
 - Protocols, N-Tiers, RPC, NFS, DHTs, Consistency, Scalability, multicast
- Reliability & Security
 - Fault tolerance, protection, security
- Cloud Infrastructure

Learning by Doing

- Individual Homeworks (2 weeks) - preliminary
 - 0. Tools & Environment, Autograding, recall C, executable
 - 1. Lists in C
 - 2. BYOS – build your own shell
 - 3. Memory allocation (MALLOC)
 - 4. Memory management
 - 5. Sockets & Threads in HTTP server
- Three (and ½) Group Projects
 - 0. Getting Started (Individual, before you have a group)
 - 1. User-programs (exec & syscall)
 - 2. Threads & Scheduling
 - 3. File Systems



Group Projects

- Project teams have 4 members!
 - Never 5, 3 requires serious justification
 - Must work in groups in “the real world”
 - Same section (at least same TA)
- Communication and cooperation will be essential
 - Regular meetings WITH CAMERA TURNED ON!
 - » Extra credit for screen shots of all of you together in zoom with camera enabled
 - Design Documents
 - Slack/Messenger/whatever doesn't replace **face-to-face!**
- Everyone should do work and have clear responsibilities
 - You will evaluate your teammates at the end of each project
 - Dividing up by Task is the worst approach. Work as a team.
- Communicate with supervisor (TAs)
 - What is the team's plan?
 - What is each member's responsibility?
 - Short progress reports are required
 - **Design Documents: High-level description for a manager!**



Getting started

- Time-zone Survey!
 - We need to know where you are so that we can plan section/midterm times
- Start homework 0 and Project 0 right away (hopefully Today!)
 - Github account
 - Registration survey
 - Vagrant virtualbox – VM environment for the course
 - » Consistent, managed environment on your machine
 - Get familiar with all the cs162 tools
 - Submit to autograder via git
- Sections on Friday – attend any section you want
 - We'll assign permanent sections after forming project groups
 - Section attendance will be mandatory after we form groups
 - These section times will be adjusted after we have a better idea where people are

Preparing Yourself for this Class

- The projects will require you to be very comfortable with programming and debugging C
 - Pointers (including function pointers, void*)
 - Memory Management (malloc, free, stack vs heap)
 - Debugging with GDB
- You will be working on a larger, more sophisticated code base than anything you've likely seen in 61C!
- Review Session on C/C++:
 - Monday, 1/25, Time and logistics TBA
- "Resources" page on course website
 - Ebooks on “git” and “C”
- C programming reference (still in beta):
 - <https://cs162.eecs.berkeley.edu/ladder/>
- First two sections are also dedicated to programming and debugging review:
 - Attend ANY sections in first two weeks

Grading (Tentative breakdown)

- 36% three midterms (12% each)
 - Thursday, 2/18, TBA, time set after survey
 - Thursday, 3/18, TBA, time set after survey
 - Thursday, 4/29, TBA, time set after survey
 - These will be ZOOM-Proctored. Camera REQUIRED.
- 36% projects
- 18% homework
- 10% participation (Sections, Lecture, ...)
- *No final exam*
- Projects
 - Initial design document, Design review, Code, Final design
 - Submission via *git push* triggers autograder

Personal Integrity

- UCB Academic Honor Code: "As a member of the UC Berkeley community, I act with honesty, integrity, and respect for others."

<https://asuc.org/honor-code-landing/>

CS 162 Collaboration Policy

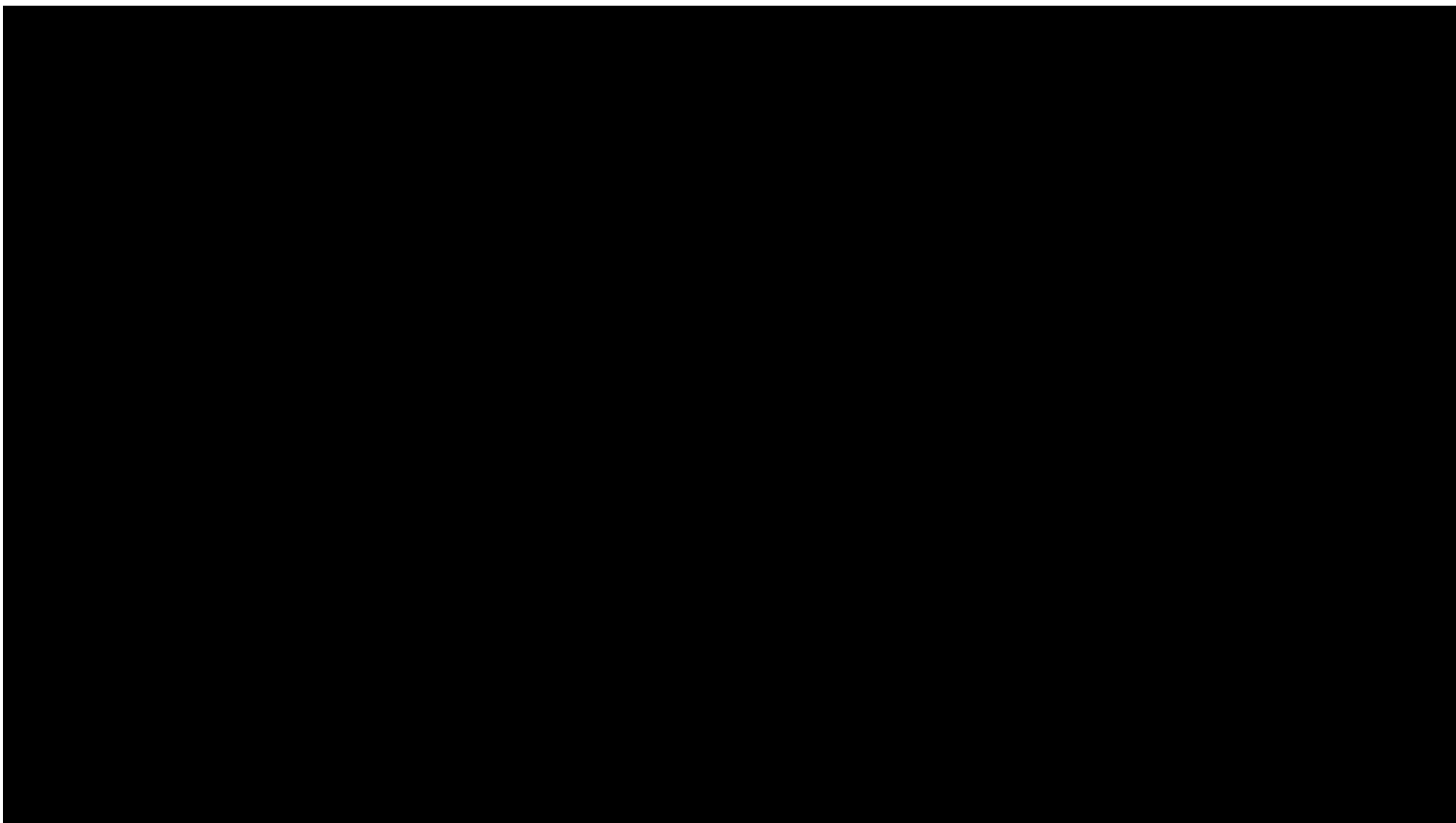


Explaining a concept to someone in another group
Discussing algorithms/testing strategies with other groups
Discussing debugging approaches with other groups
Searching online for generic algorithms (e.g., hash table)



Sharing code or test cases with another group
Copying OR reading another group's code or test cases
Copying OR reading online code or test cases from prior years
Helping someone in another group to debug their code

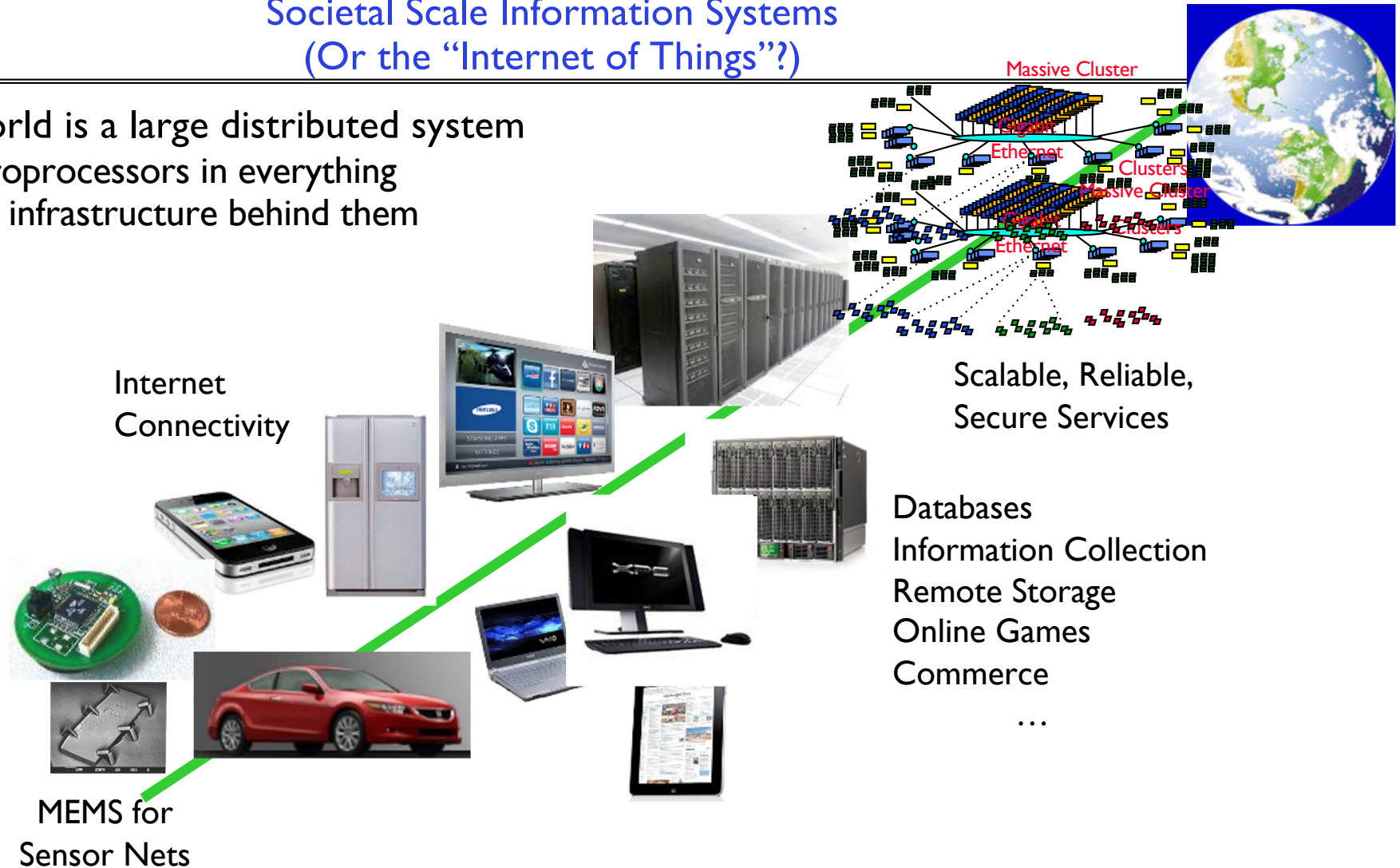
- We compare all project submissions against prior year submissions and online solutions and will take actions (described on the course overview page) against offenders
- Don't put a friend in a bad position by asking for help that they shouldn't give!



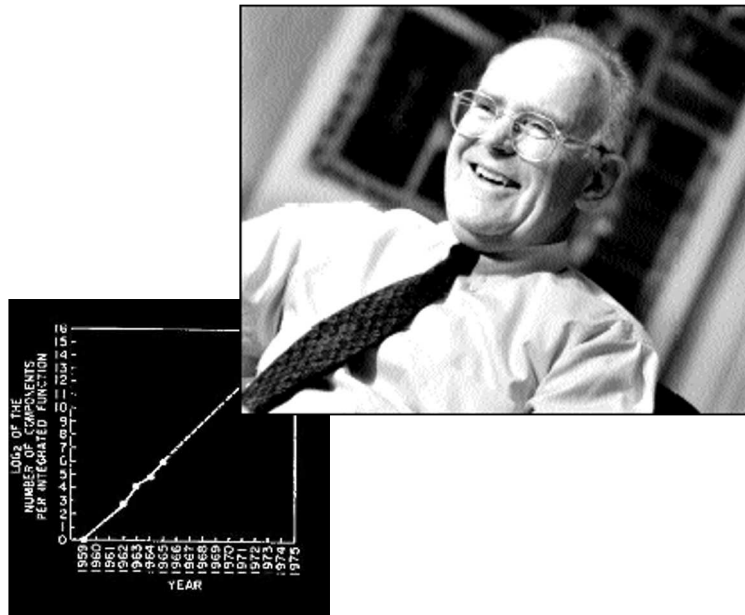
What makes Operating Systems Exciting and Challenging?

Societal Scale Information Systems (Or the “Internet of Things”?)

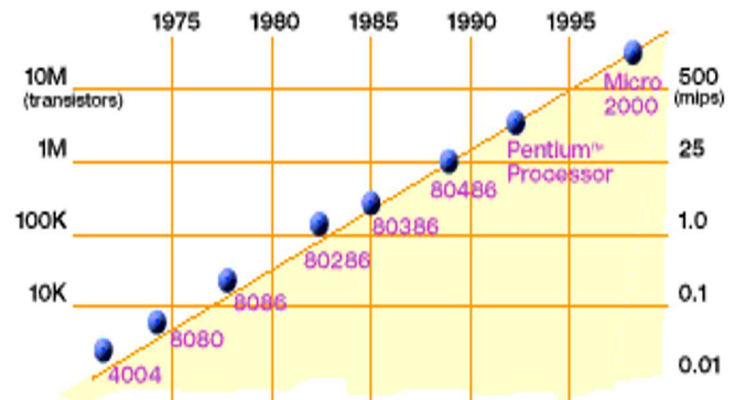
- The world is a large distributed system
 - Microprocessors in everything
 - Vast infrastructure behind them



Technology Trends: Moore's Law



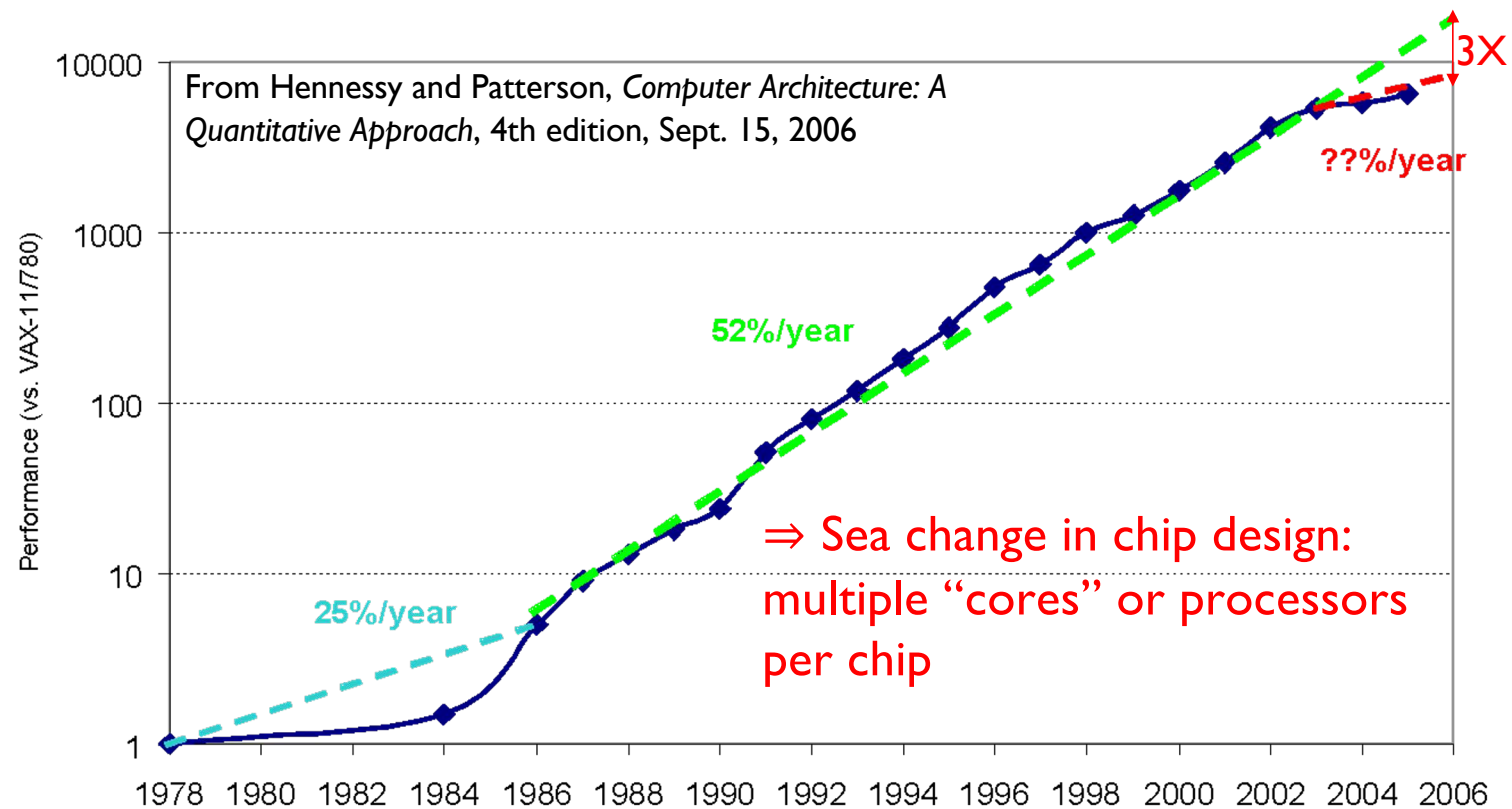
Gordon Moore (co-founder of Intel) predicted in 1965 that the transistor density of semiconductor chips would double roughly every 18 months



2X transistors/Chip Every 1.5 years
Called “Moore’s Law”

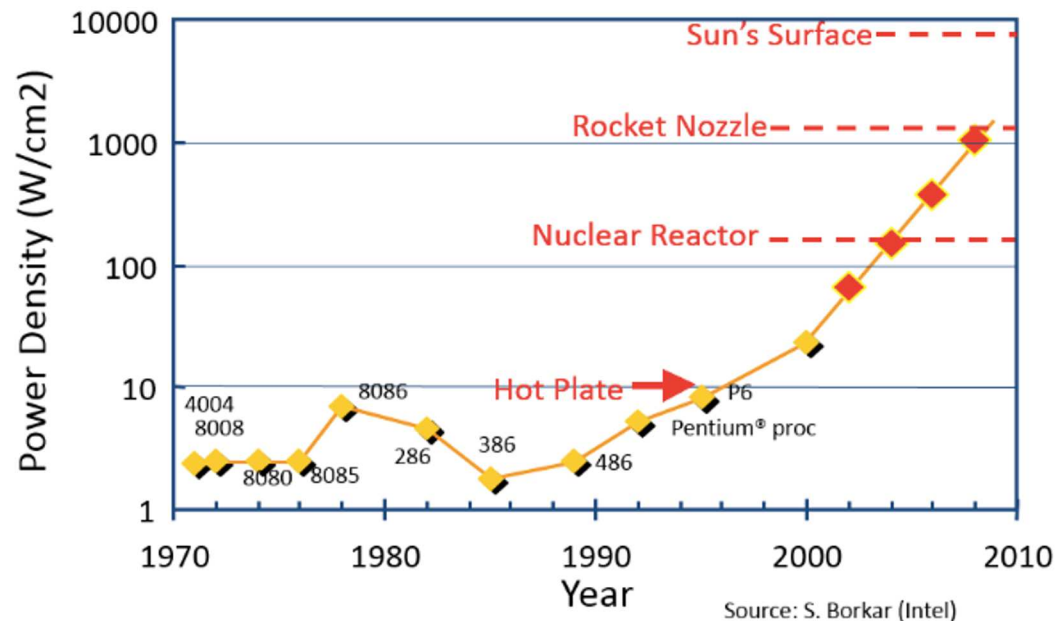
Microprocessors have become smaller, denser, and more powerful

Big Challenge: Slowdown in Joy's law of Performance



- VAX : 25%/year 1978 to 1986
- RISC + x86 : 52%/year 1986 to 2002
- RISC + x86 : ??%/year 2002 to present

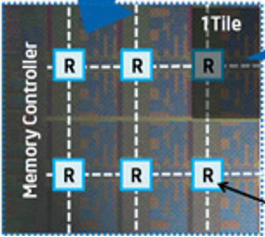
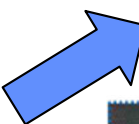
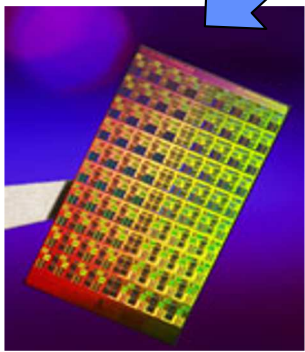
Another Challenge: Power Density



- Moore's law extrapolation
 - Potential power density reaching amazing levels!
- Flip side: battery life very important
 - Moore's law yielded more functionality at equivalent (or less) total energy consumption

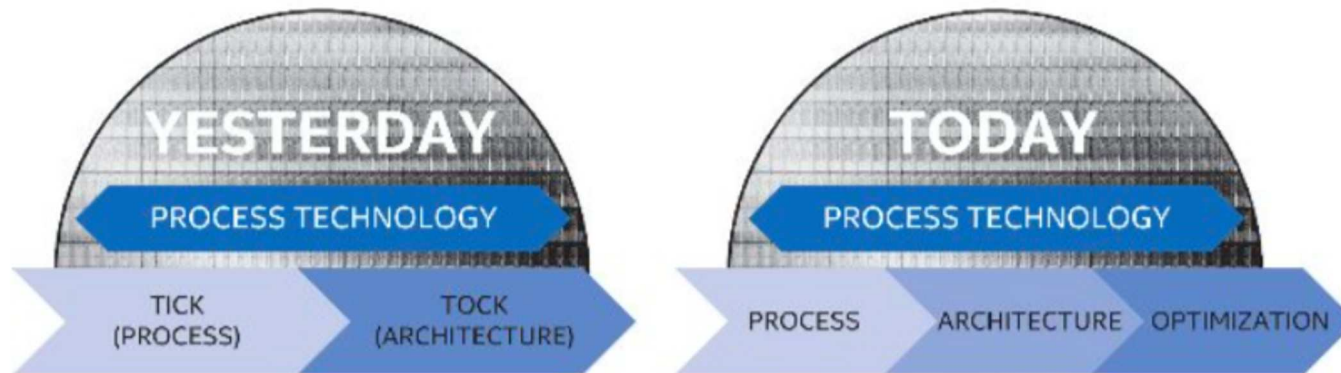
ManyCore Chips: The future arrived in 2007

- Intel 80-core multicore chip (Feb 2007)
 - 80 simple cores
 - Two FP-engines / core
 - Mesh-like network
 - 100 million transistors
 - 65nm feature size
- Intel Single-Chip Cloud Computer (August 2010)
 - 24 “tiles” with two cores/tile
 - 24-router mesh network
 - 4 DDR3 memory controllers
 - Hardware support for message-passing



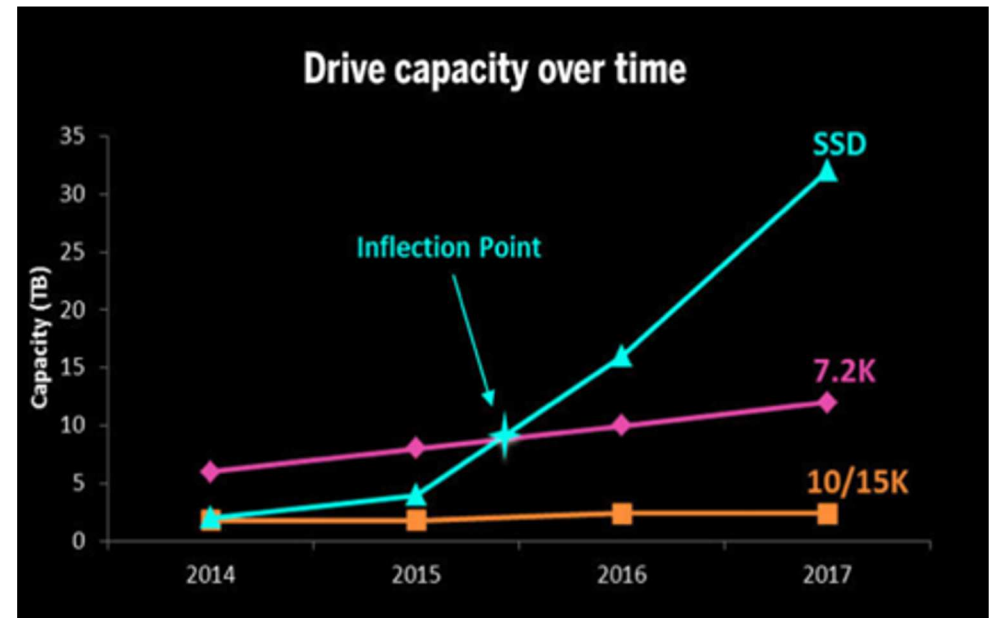
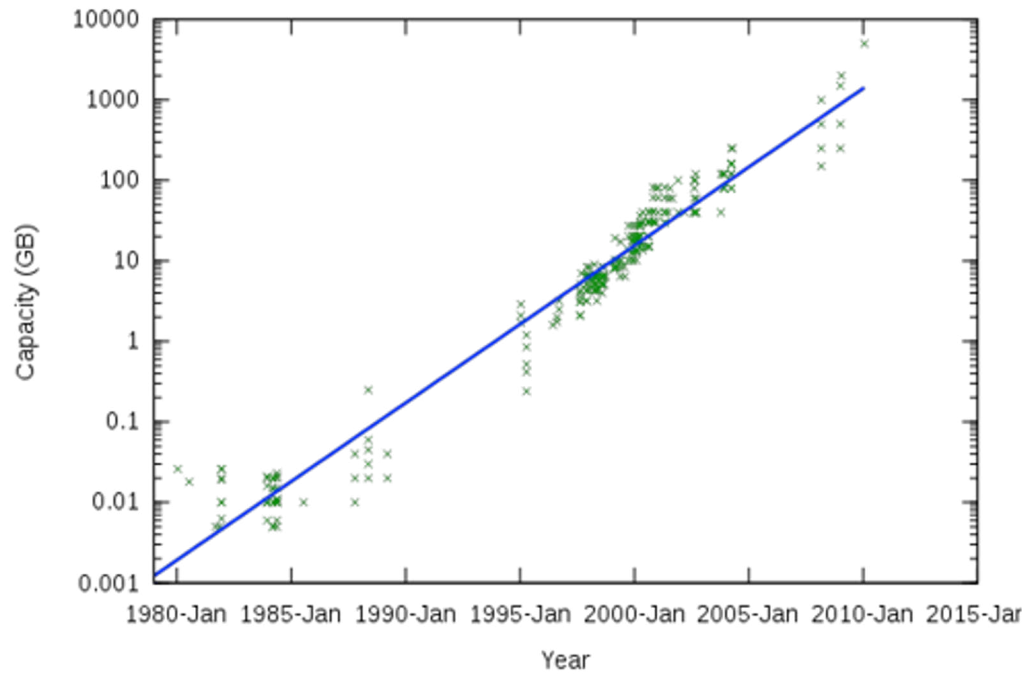
- How to program these?
 - Use 2 CPUs for video/audio
 - Use 1 for word processor, 1 for browser
 - 76 for virus checking???
- **Parallelism must be exploited at all levels**
- Amazon X1 instances (2016)
 - 128 virtual cores, 2 TB RAM

But then Moore's Law Ended...

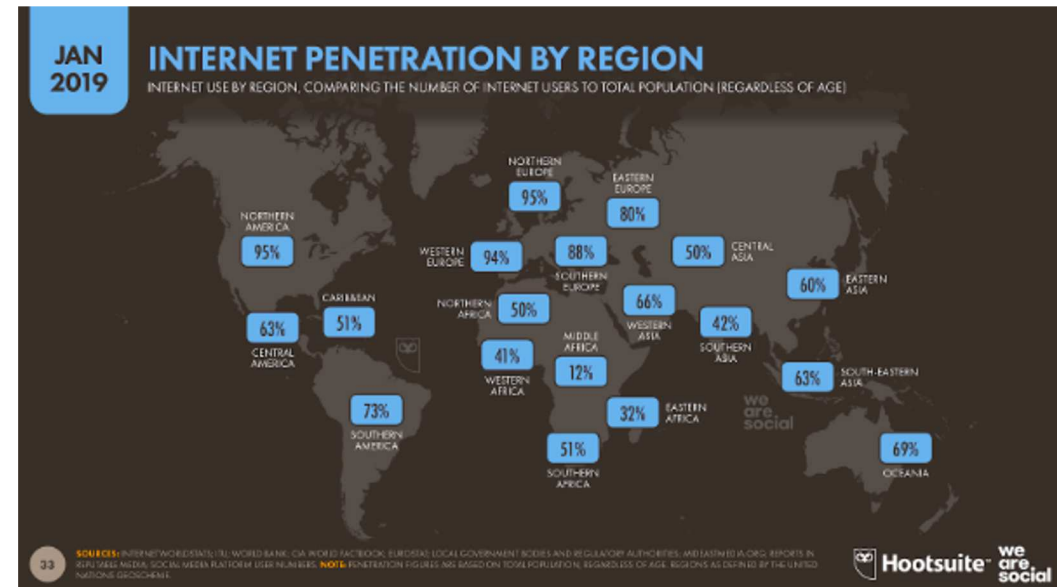


- Moore's Law has (officially) ended -- Feb 2016
 - No longer getting 2 x transistors/chip every 18 months...
 - or even every 24 months
- May have only 2-3 smallest geometry fabrication plants left:
 - Intel and Samsung and/or TSMC
- Vendors moving to 3D stacked chips
 - More layers in old geometries

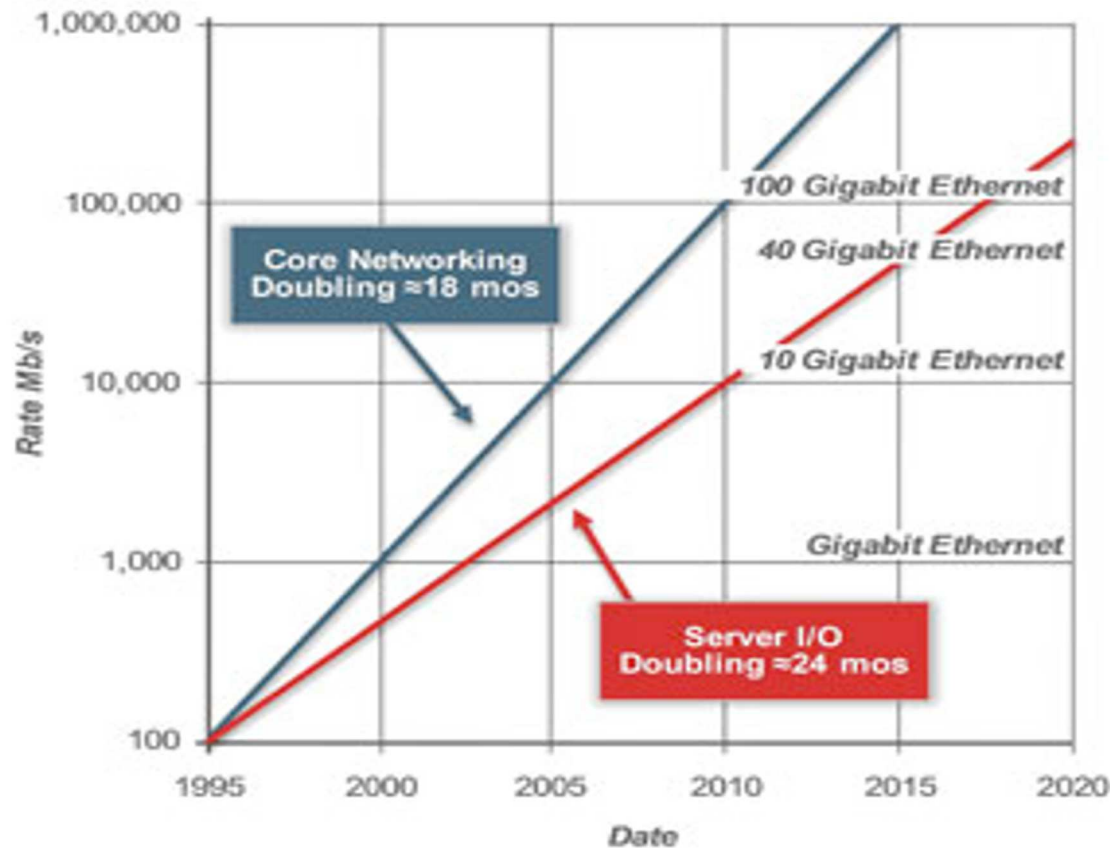
Storage Capacity is Still Growing!



Society is Increasingly Connected...



Network Capacity Still Increasing



(source: <http://www.ospmag.com/issue/article/Time-Is-Not-Always-On-Our-Side>)

Not Only PCs connected to the Internet

- In 2011, smartphone shipments exceeded PC shipments!

- 2011 shipments:

- 487M smartphones

1.53B in 2017

- 414M PC clients

262.5M in 2017

- » 210M notebooks

- » 112M desktops

- » 63M tablets

164M in 2017

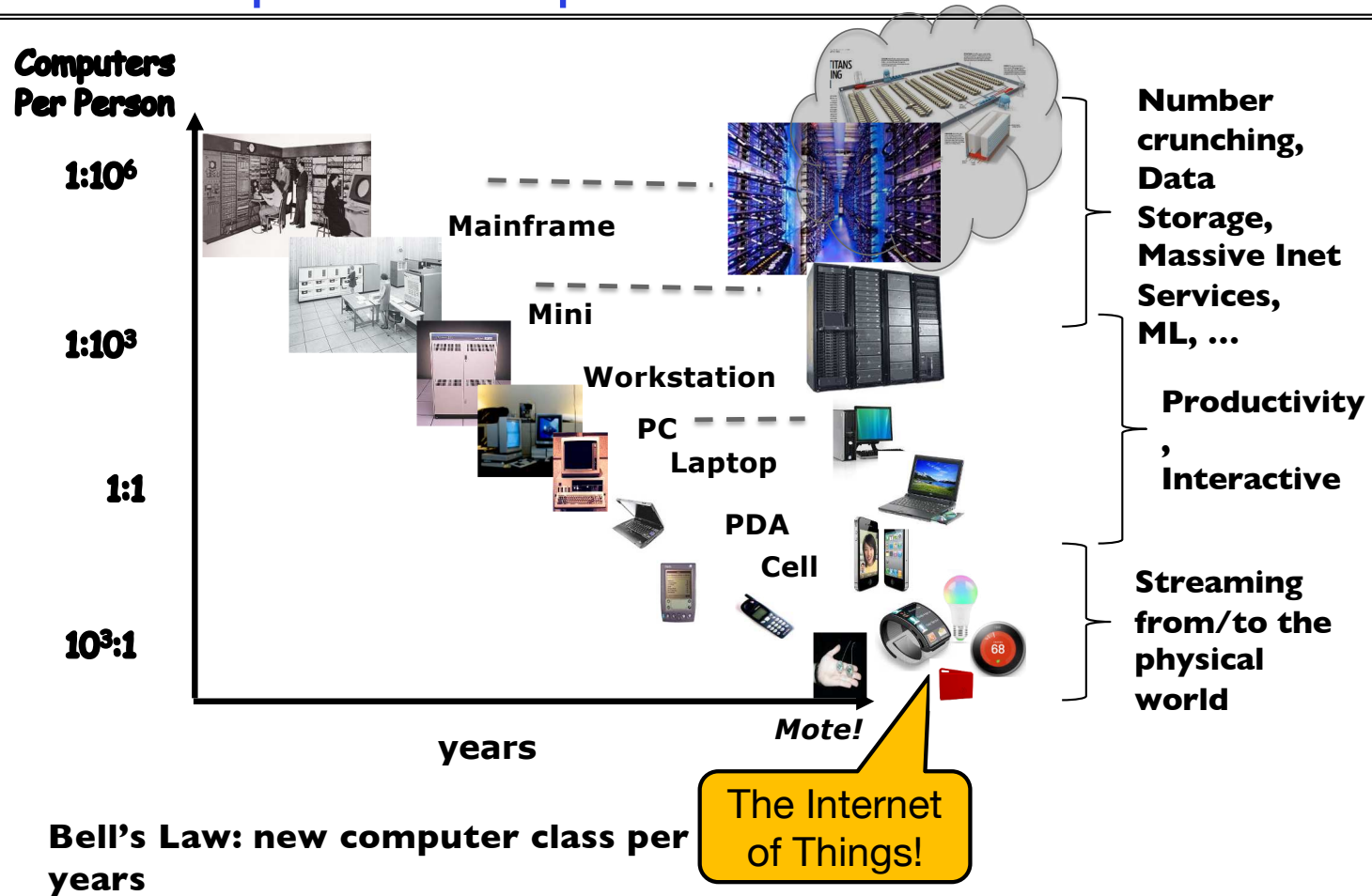
- 25M smart TVs

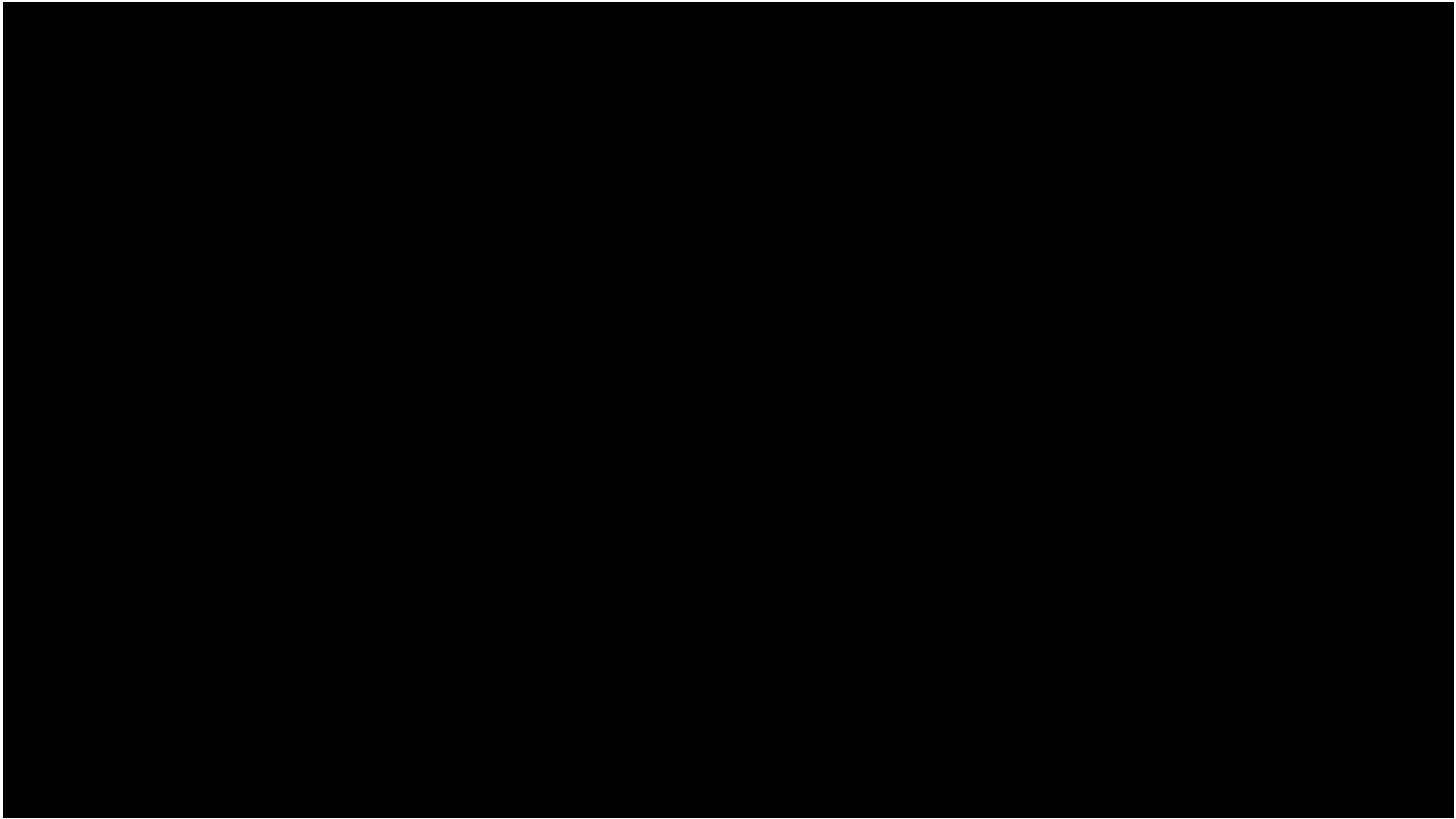
39.5M in 2017



- 4 billion phones in the world □ smartphones over next few years
- Then...

People-to-Computer Ratio Over Time





What is an Operating System?

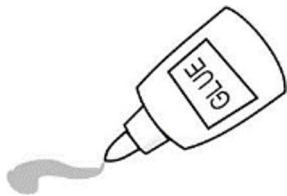
What is an Operating System Again?



- Referee
 - Manage sharing of resources, Protection, Isolation
 - » Resource allocation, isolation, communication



- Illusionist
 - Provide clean, easy to use abstractions of physical resources
 - » Infinite memory, dedicated machine
 - » Higher level objects: files, users, messages
 - » Masking limitations, virtualization



Glue

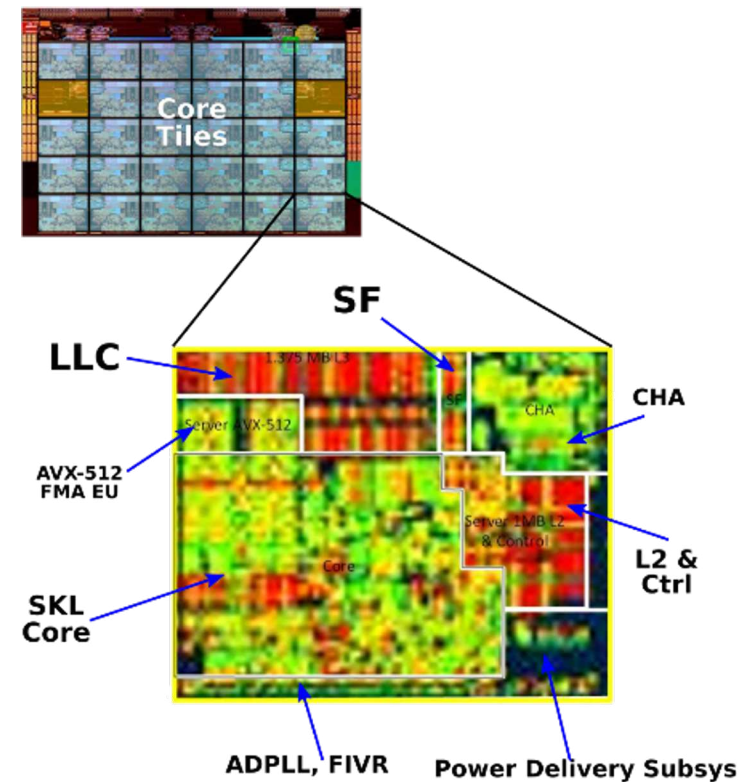
- Common services
 - » Storage, Window system, Networking
 - » Sharing, Authorization
 - » Look and feel

Challenge: Complexity

- Applications consisting of...
 - ... a variety of software modules that ...
 - ... run on a variety of devices (machines) that
 - » ... implement different hardware architectures
 - » ... run competing applications
 - » ... fail in unexpected ways
 - » ... can be under a variety of attacks
- Not feasible to test software for all possible environments and combinations of components and devices
 - The question is not whether there are bugs but how serious are the bugs!

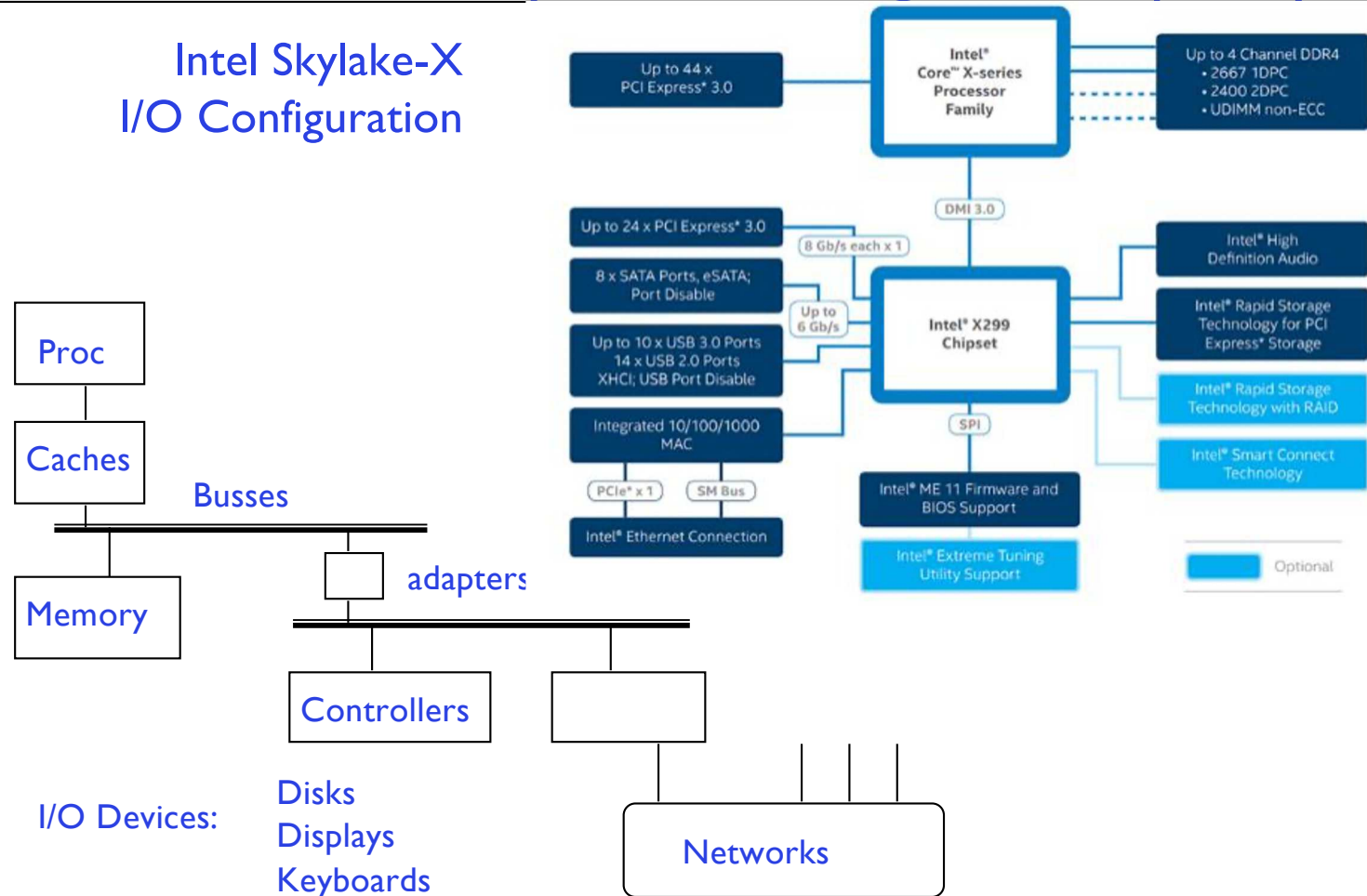
The World Is Parallel: Intel SkyLake (2017)

- Up to 28 Cores, 56 Threads
 - 694 mm² die size (estimated)
- Many different instructions
 - Security, Graphics
- Caches on chip:
 - L2: 28 MiB
 - Shared L3: 38.5 MiB (non-inclusive)
 - Directory-based cache coherence
- Network:
 - On-chip Mesh Interconnect
 - Fast off-chip network directly supports 8-chips connected
- DRAM/chips
 - Up to 1.5 TiB
 - DDR4 memory

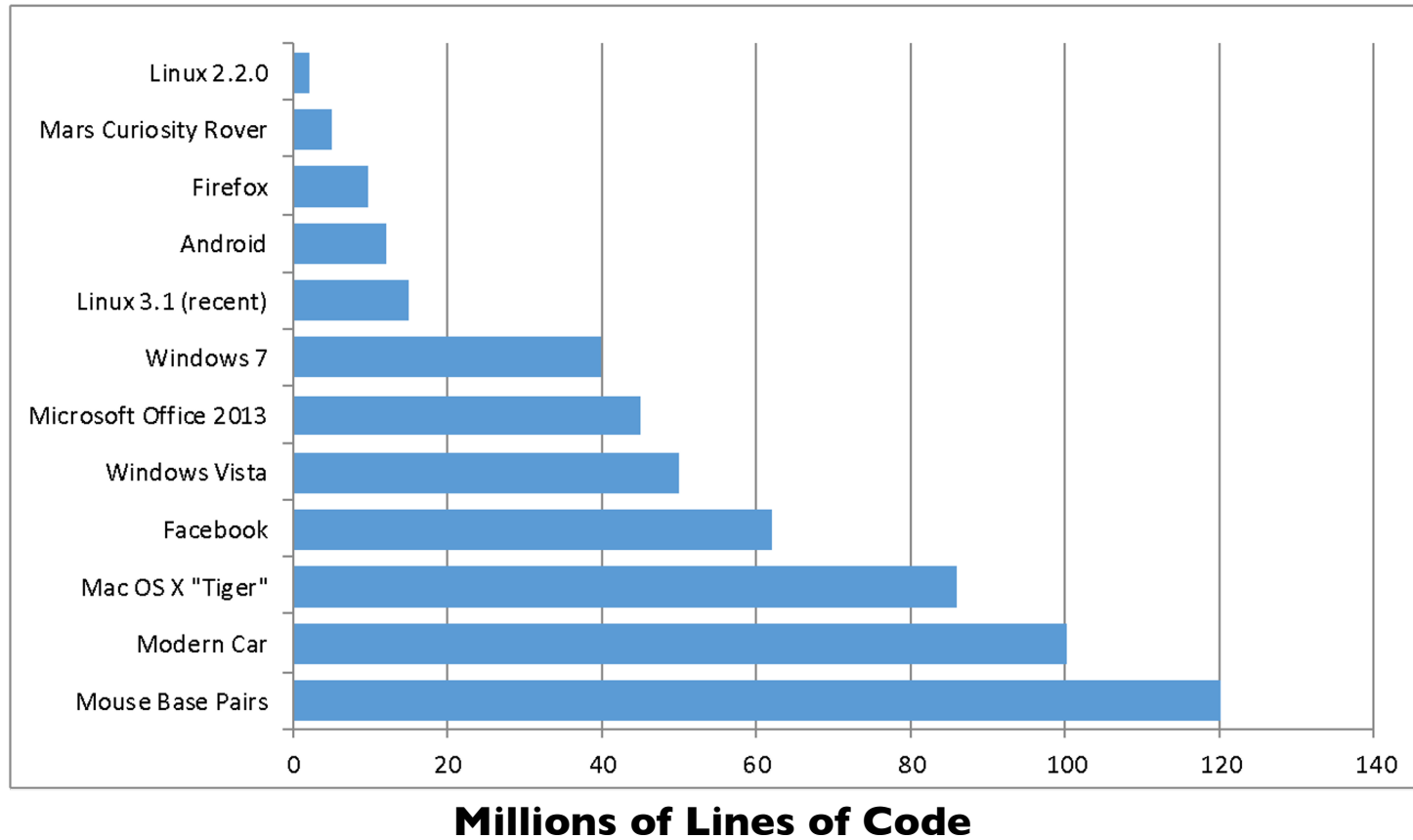


HW Functionality comes with great complexity!

Intel Skylake-X I/O Configuration



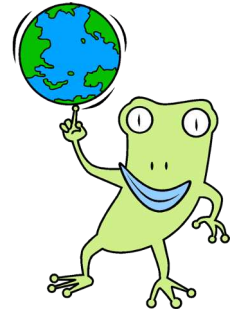
Increasing Software Complexity



(source <https://informationisbeautiful.net/visualizations/million-lines-of-code/>)

Example: Some Mars Rover (“Pathfinder”) Requirements

- Pathfinder hardware limitations/complexity:
 - 20Mhz processor, 128MB of DRAM, VxWorks OS
 - cameras, scientific instruments, batteries, solar panels, and locomotion equipment
 - Many independent processes work together
- Can’t hit reset button very easily!
 - Must reboot itself if necessary
 - Must always be able to receive commands from Earth
- Individual Programs must not interfere
 - Suppose the MUT (Martian Universal Translator Module) buggy
 - Better not crash antenna positioning software!
- Further, all software may crash occasionally
 - Automatic restart with diagnostics sent to Earth
 - Periodic checkpoint of results saved?
- Certain functions time critical:
 - Need to stop before hitting something
 - Must track orbit of Earth for communication
- **A lot of similarity with the Internet of Things?**
 - **Complexity, QoS, Inaccessibility, Power limitations ... ?**



Questions

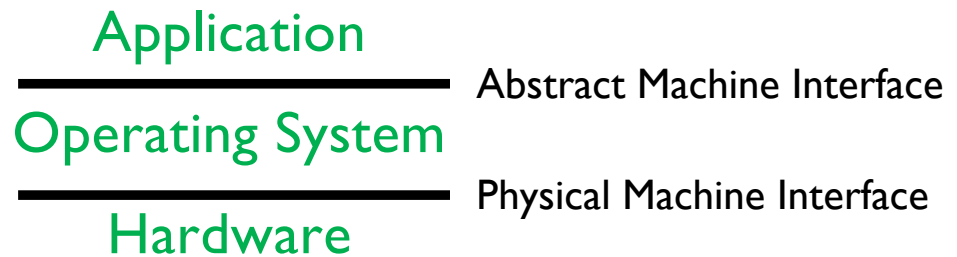
- Does the programmer need to write a single program that performs many independent activities?
- Does every program have to be altered for every piece of hardware?
- Does a faulty program crash everything?
- Does every program have access to all hardware?

Hopefully, no!

Operating Systems help the programmer write robust programs!

OS Abstracts the Underlying Hardware

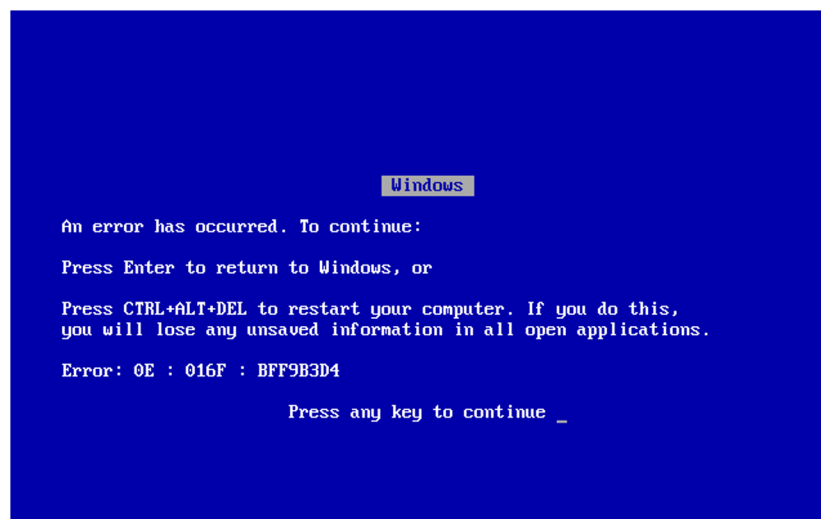
- Processor → Thread
- Memory → Address Space
- Disks, SSDs, ... → Files
- Networks → Sockets
- Machines → Processes



- OS as an *Illusionist*:
 - Remove software/hardware quirks (*fight complexity*)
 - Optimize for convenience, utilization, reliability, ... (*help the programmer*)
- For any OS area (e.g. file systems, virtual memory, networking, scheduling):
 - What hardware interface to handle? (physical reality)
 - What's software interface to provide? (nicer abstraction)

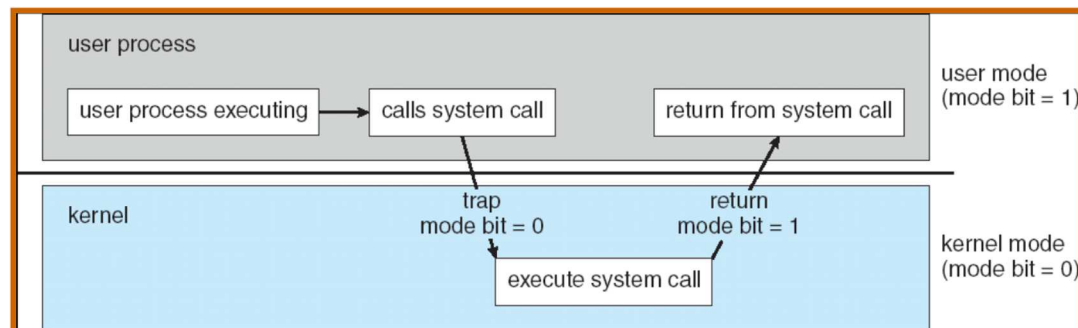
OS Protects Processes and the Kernel

- Run multiple applications and:
 - Keep them from interfering with or crashing the operating system
 - Keep them from interfering with or crashing each other

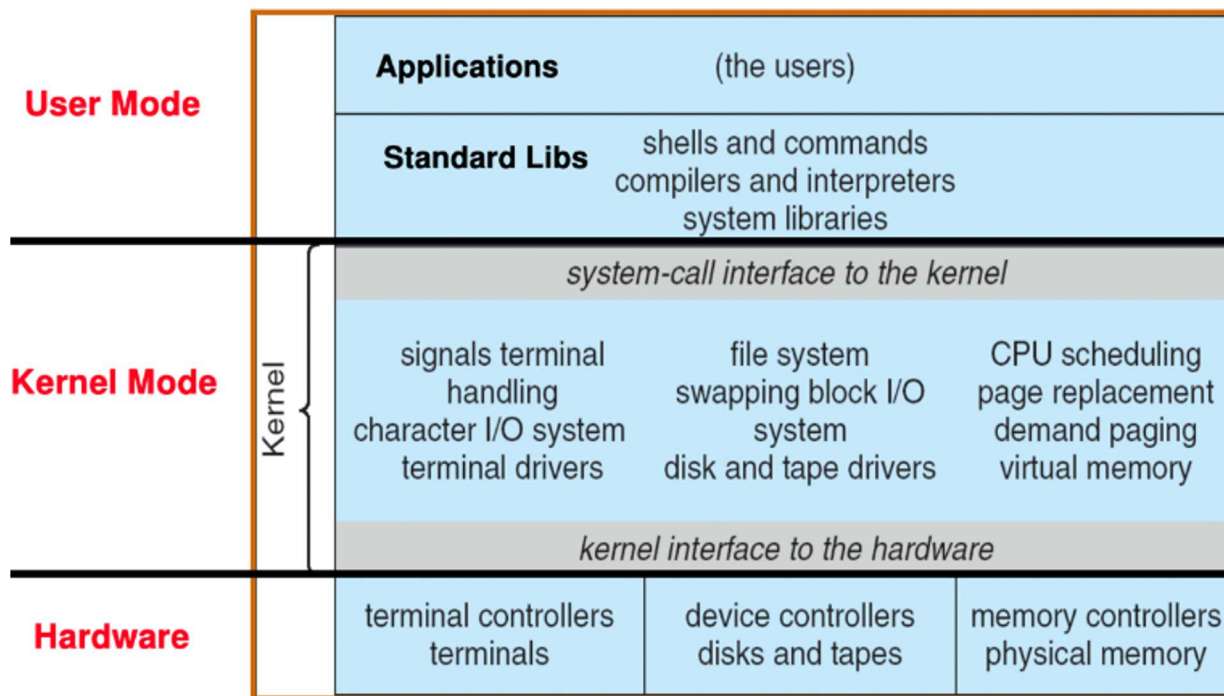


Basic Tool: Dual-Mode Operation

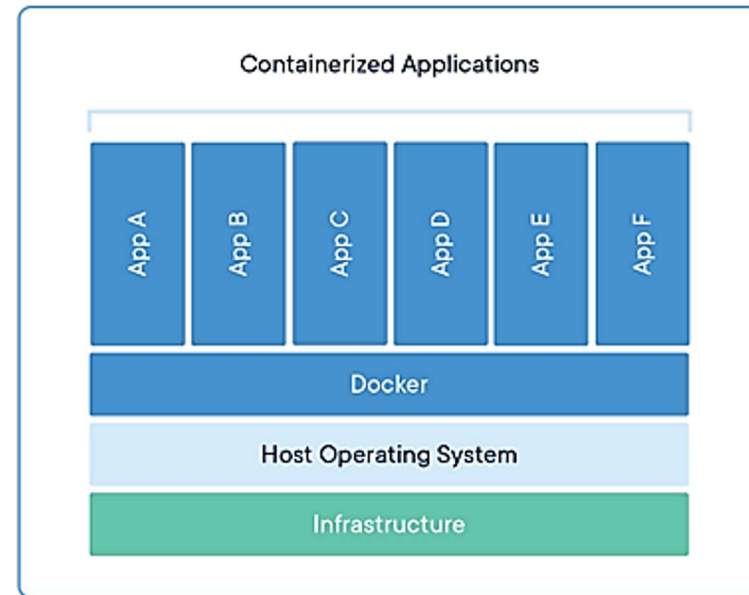
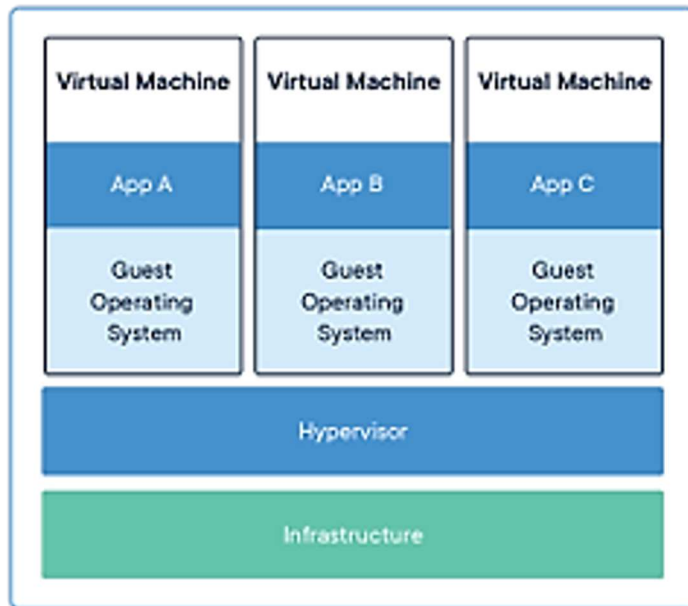
- Hardware provides at least two modes:
 1. Kernel Mode (or “supervisor” mode)
 2. User Mode
- Certain operations are **prohibited** when running in user mode
 - Changing the page table pointer, disabling interrupts, interacting directly w/ hardware, writing to kernel memory
- Carefully controlled transitions between user mode and kernel mode
 - System calls, interrupts, exceptions



UNIX System Structure



Virtualization: Execution Environments for Systems



Additional layers of protection and isolation can help further manage complexity

What is an Operating System,... Really?

- Most Likely:
 - Memory Management
 - I/O Management
 - CPU Scheduling
 - Communications? (Does Email belong in OS?)
 - Multitasking/multiprogramming?
- What about?
 - File System?
 - Multimedia Support?
 - User Interface?
 - Internet Browser? ☺
- Is this only interesting to Academics??

Operating System Definition (Cont.)

- No universally accepted definition
- “Everything a vendor ships when you order an operating system” is good approximation
 - But varies wildly
- “The one program running at all times on the computer” is the **kernel**
 - Everything else is either a system program (ships with the operating system) or an application program

“In conclusion...Operating Systems:”

- Provide convenient abstractions to handle diverse hardware
 - Convenience, protection, reliability obtained in creating the illusion
- Coordinate resources and protect users from each other
 - Using a few critical hardware mechanisms
- Simplify application development by providing standard services
- Provide fault containment, fault tolerance, and fault recovery

- CS162 combines things from many other areas of computer science:
 - Languages, data structures, hardware, and algorithms